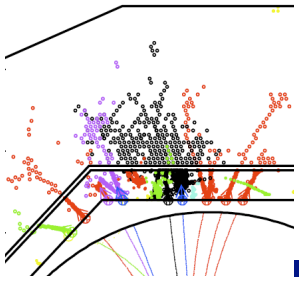


Recent trends in calorimetry: the new image of hadrons

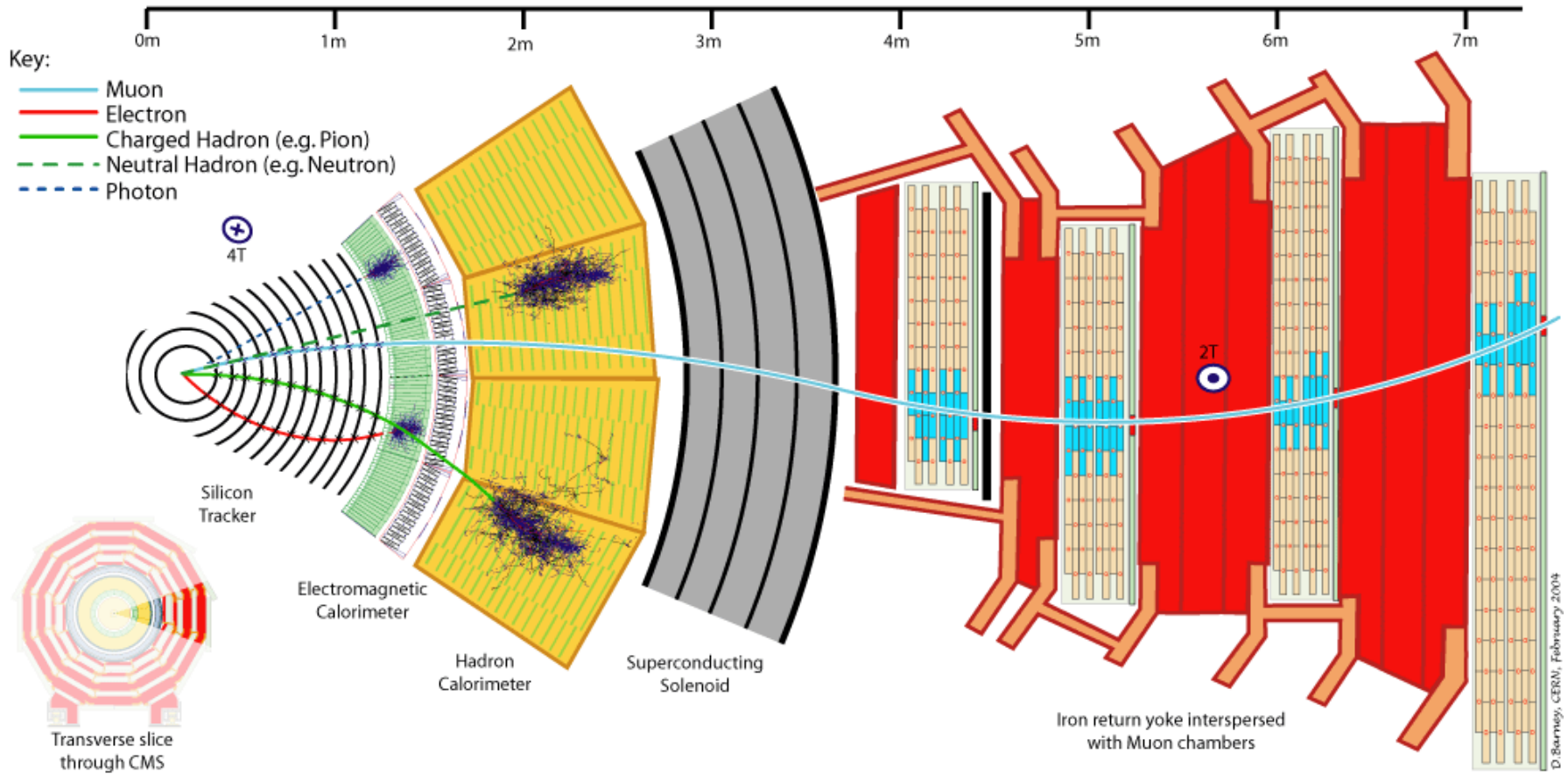
Felix Sefkow

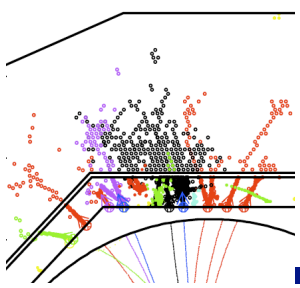


DESY instrumentation seminar, April 29, 2011

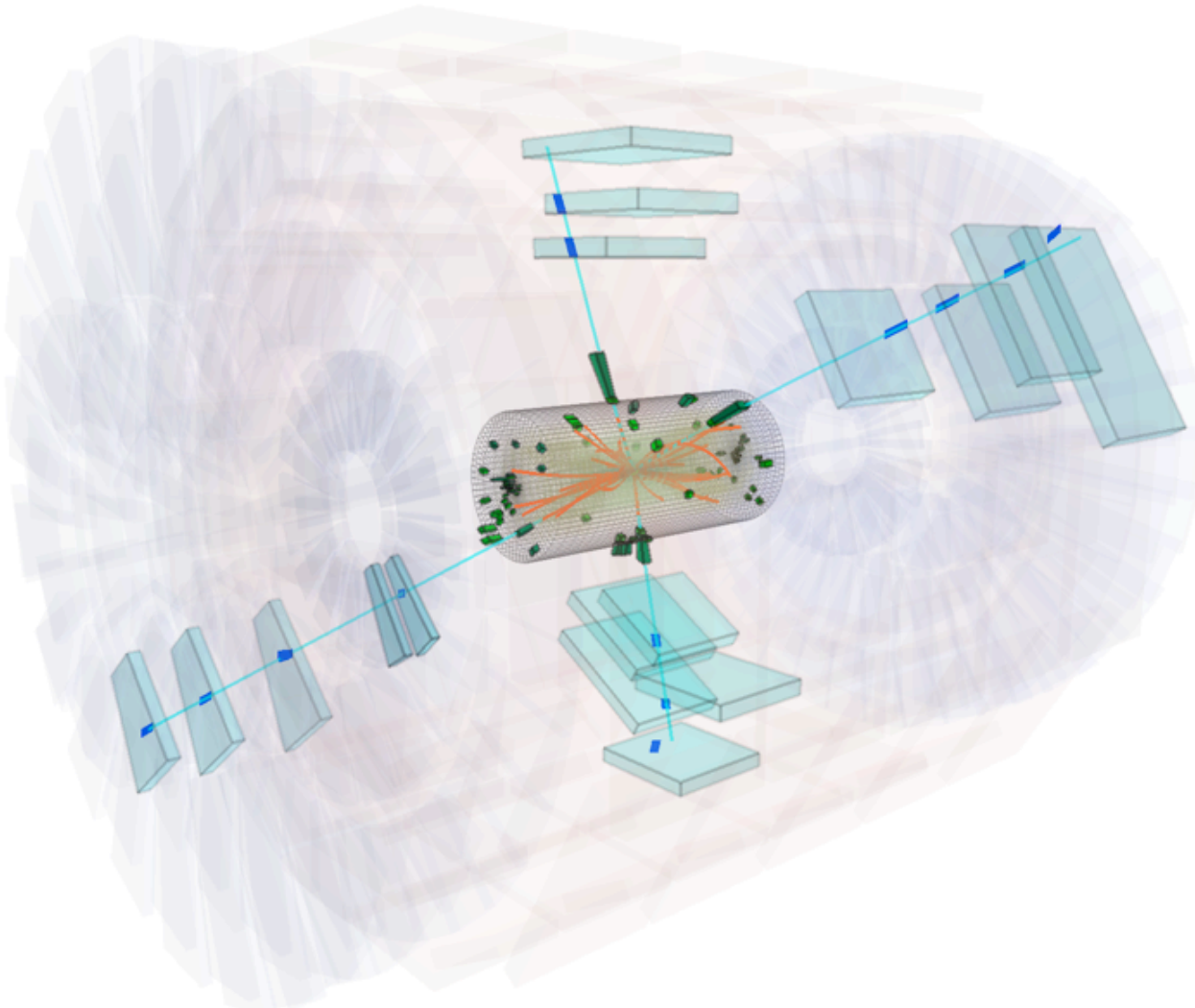


A generic collider detector

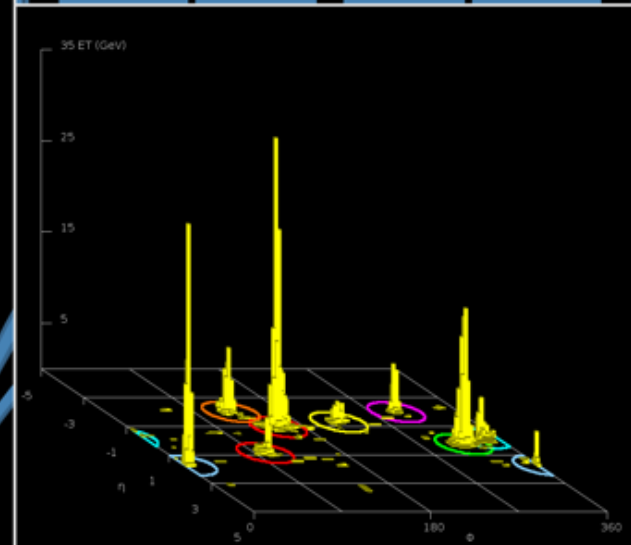
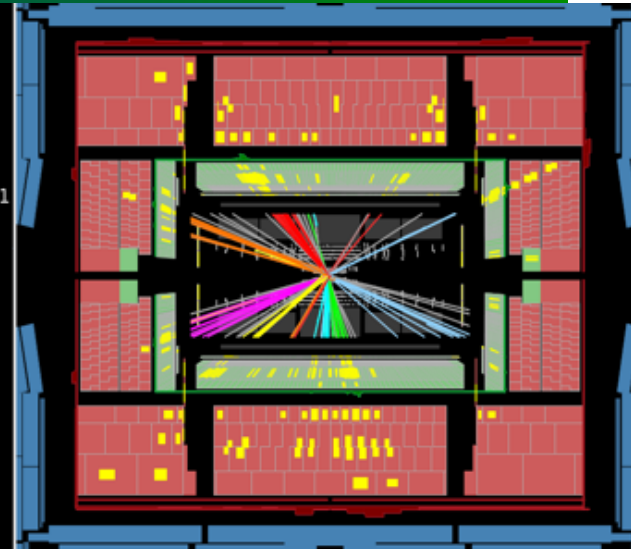
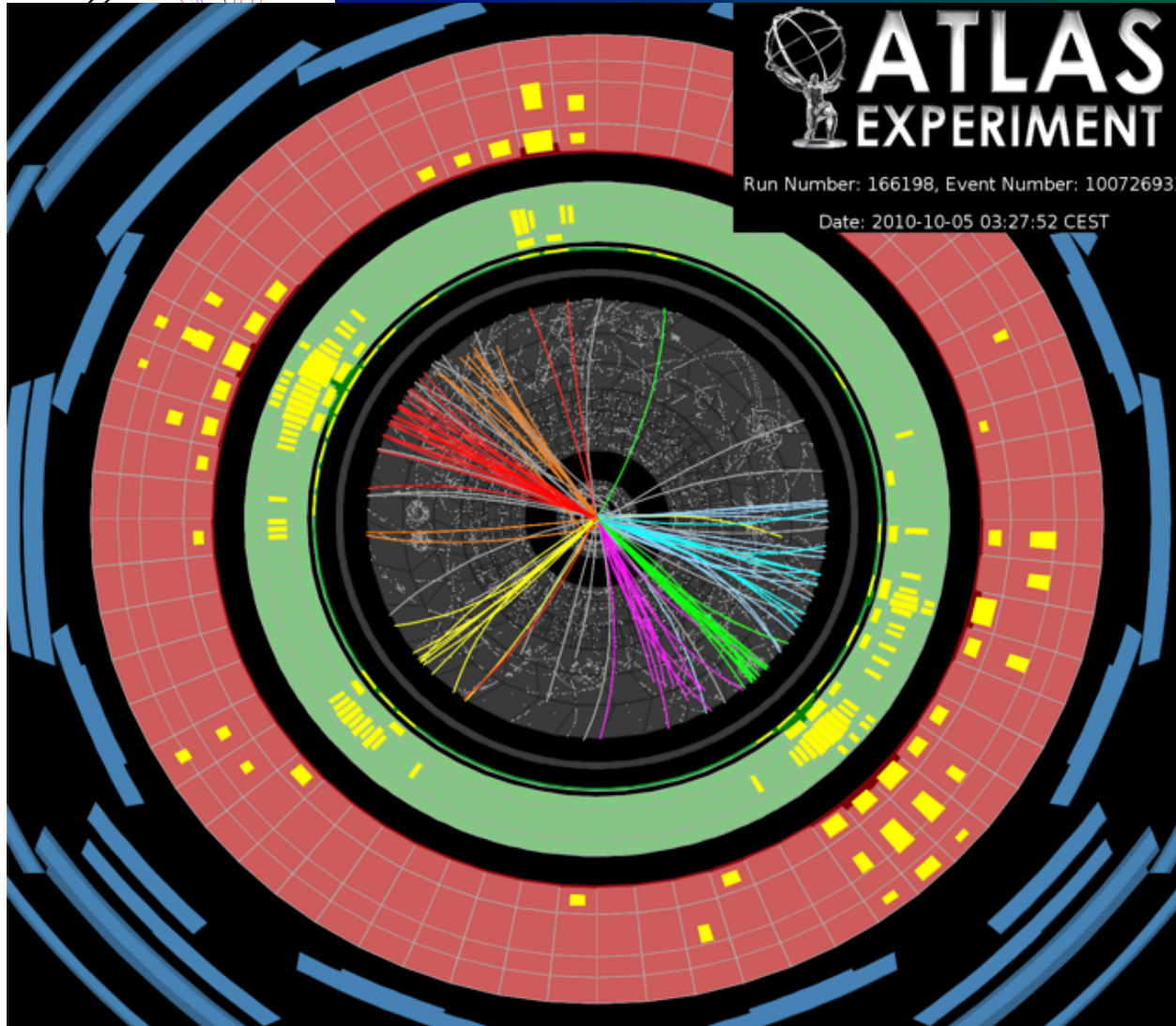
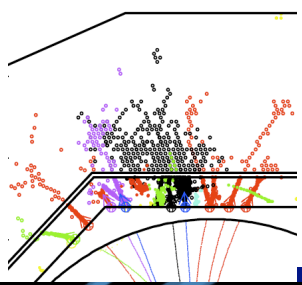




Today's detectors



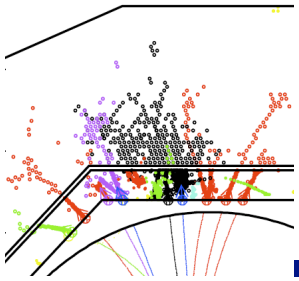
Today's detectors



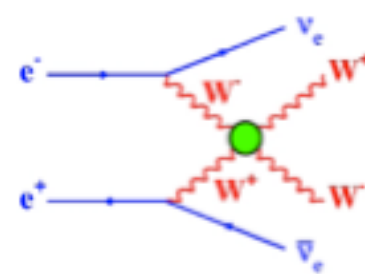
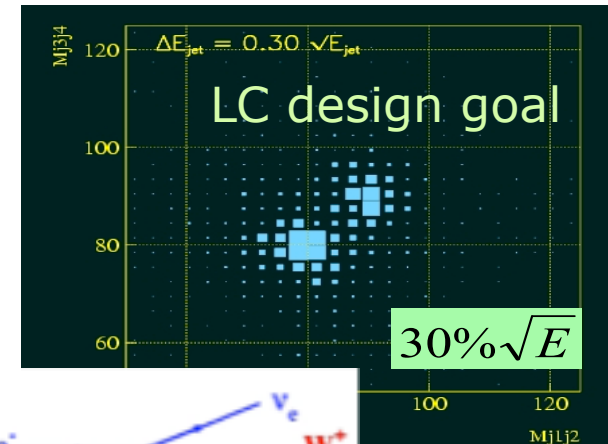
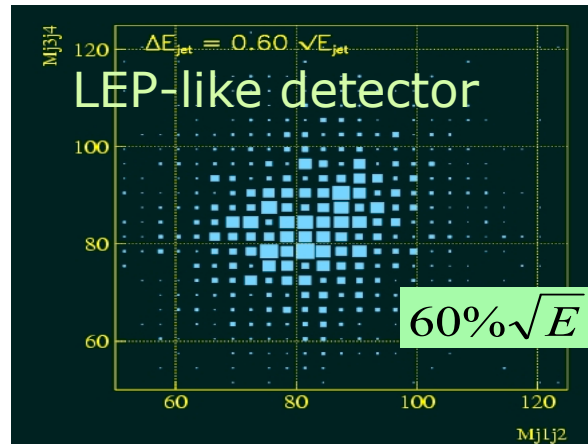
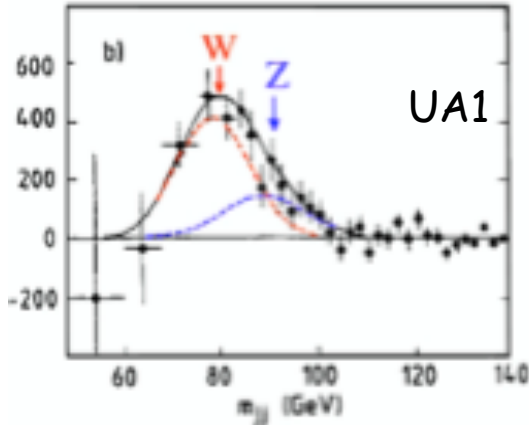
Calorimetry trends

Felix Sefkow

DESY, April 29, 2011

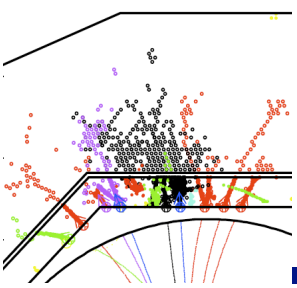


Challenge: W Z separation



- At the Tera-scale, we need to do physics with W's and Z's as Belle and Babar do with D^+ and D_s
- Calorimeter performance for jets has to improve by a factor 2
- Rather young and dynamic development

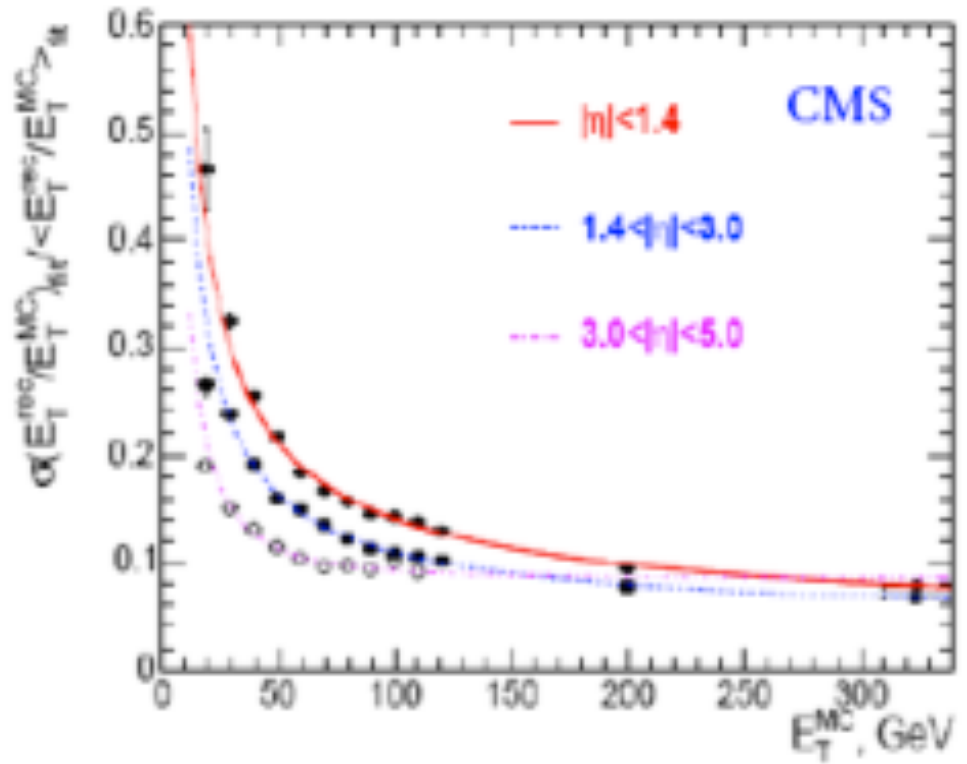
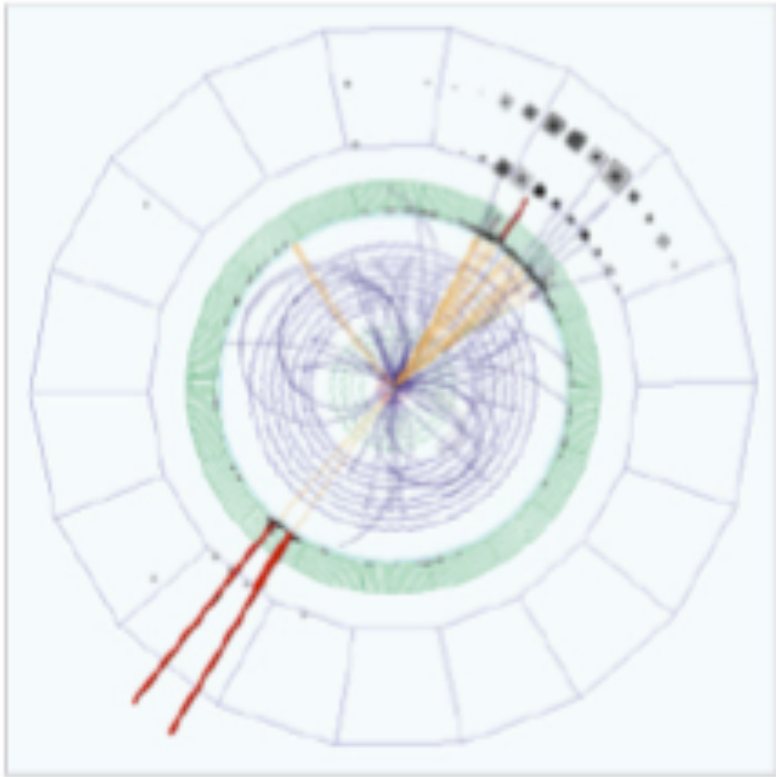
Outline



- Introduction:
 - intrinsic difficulties with hadron calorimetry
- The Particle Flow concept
- Calorimeters for particle flow

Recall some basics

Jet energy resolution



> 100 % / \sqrt{E}

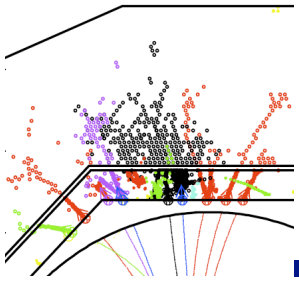
Electrons:

$$\frac{\sigma(E)}{E} = \frac{2.4\%}{\sqrt{E}} \oplus \frac{142 \text{ MeV}}{E} \oplus 0.44\%$$

0.6% at 50 GeV.

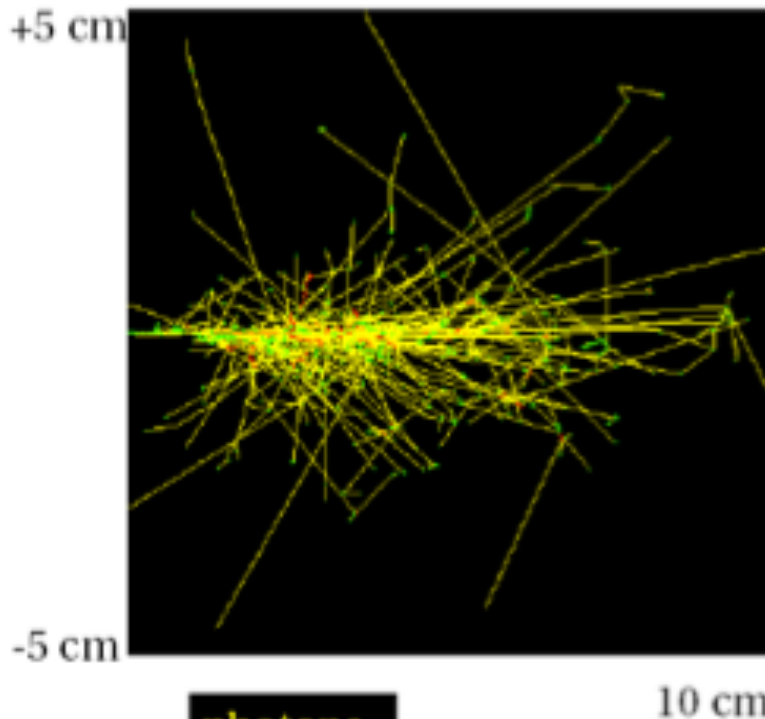
ECAL+HCAL energy resolution for pions:

$$\frac{\sigma(E)}{E} = \frac{127\%}{\sqrt{E}} \oplus 6.5\%$$



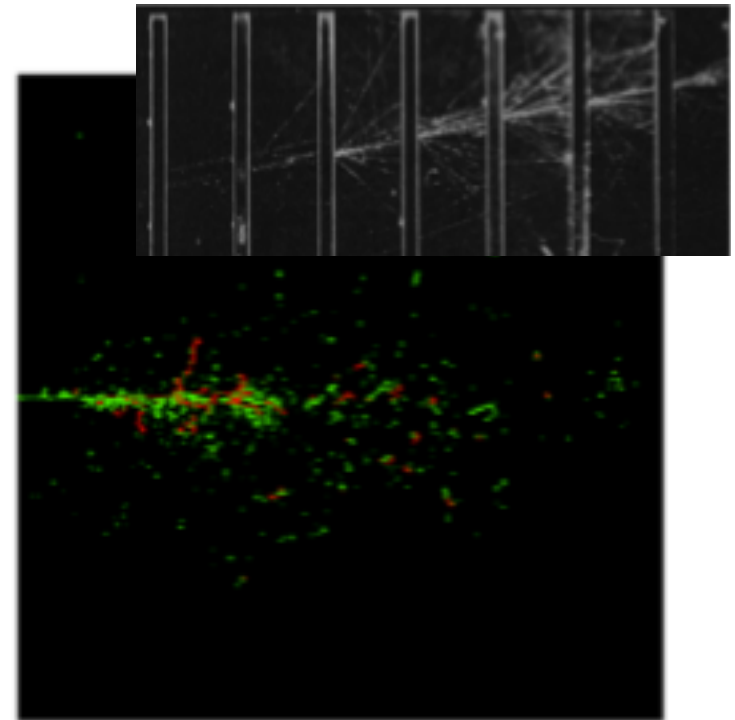
Electromagnetic showers

- Simulation: 1 GeV electron in lead

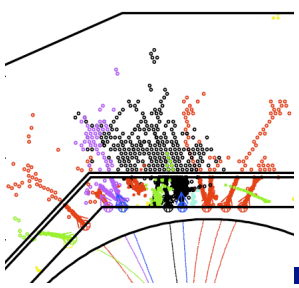


photons
electrons
positrons

Lead absorbers in cloud chamber

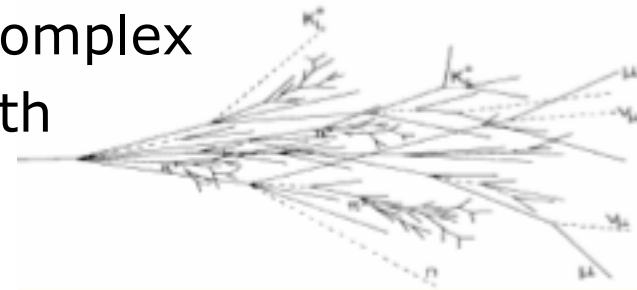


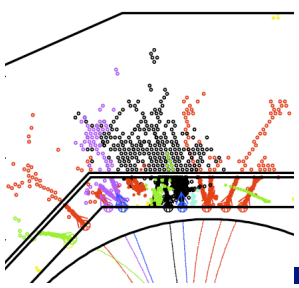
electrons
positrons



Hadron showers

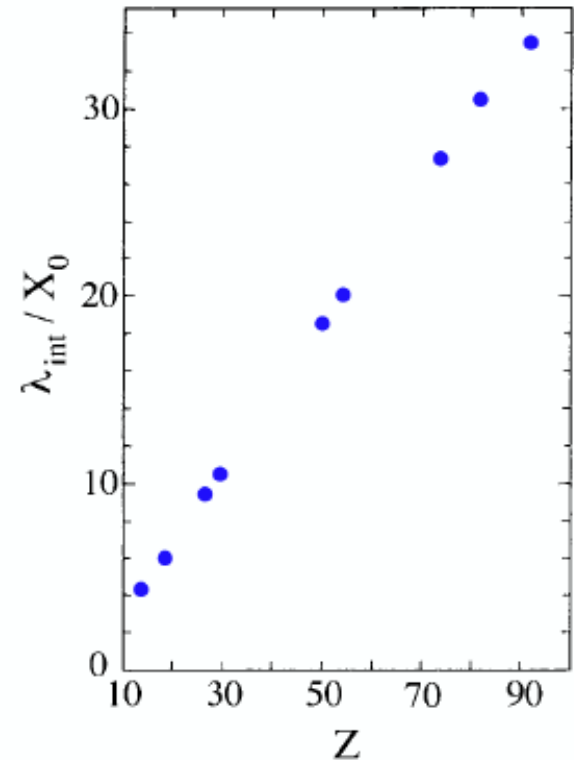
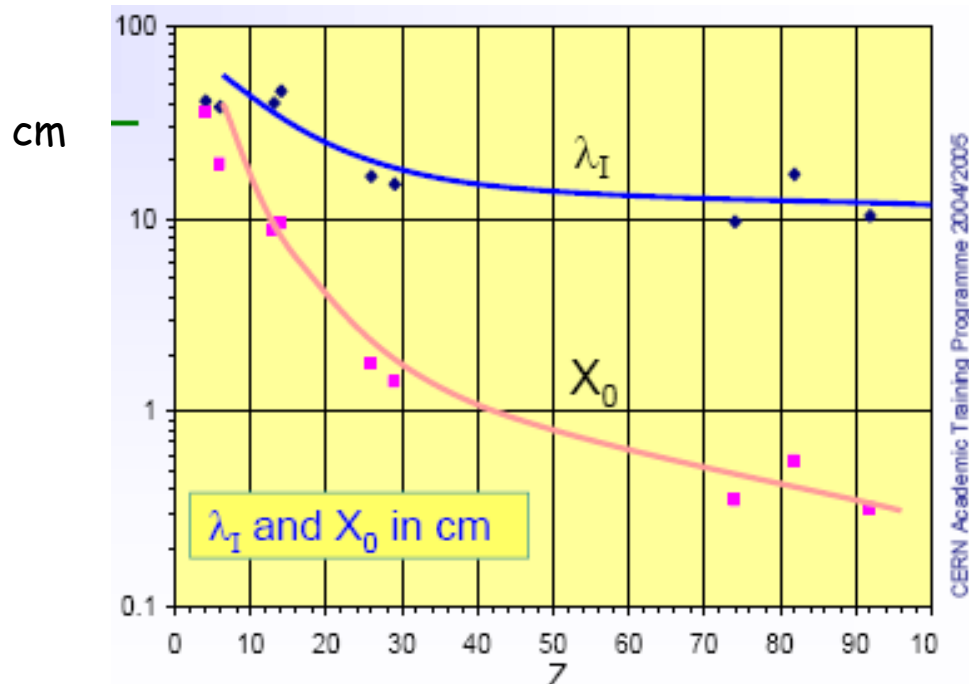
- Hadrons undergo strong interactions with detector (absorber) material
 - Charged hadrons: complementary to track measurement
 - Neutral hadrons: the only way to measure their energy
- In nuclear collisions numbers of secondary particles are produced
 - Partially undergo secondary, tertiary nuclear interactions
→ formation of a hadronic cascade
 - Electromagnetically decaying particles initiate em showers
 - Part of the energy is absorbed as nuclear binding energy or target recoil and invisible
- Similar to em showers, but much more complex
- Different scale: hadronic interaction length

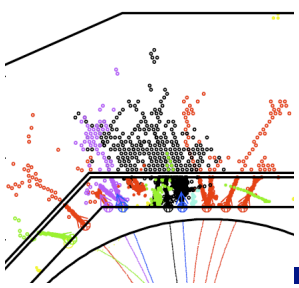




Hadronic interaction length

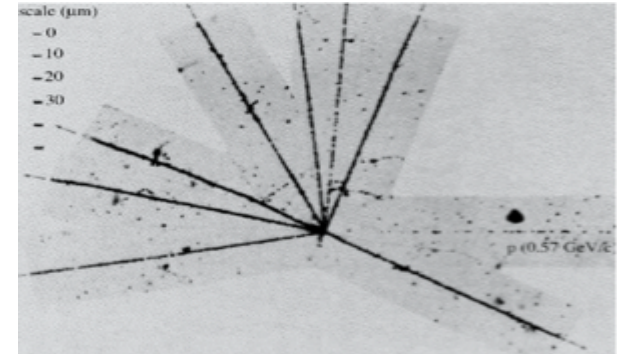
- λ_I : mean free path between nuclear collisions
- Hadron showers are much larger – how much, depends on Z
- Both scales present in every hadron shower



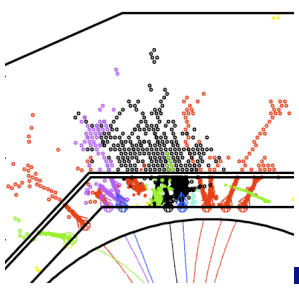


Hadronic interactions

- 1st stage: the hard collision
 - Multiplicity scales with E
 - $\sim 1/3 \pi^0 \rightarrow \gamma\gamma$
 - Leading particle effect: depends on incident hadron type,
 - e.g fewer π^0 from protons
- 2nd stage: spallation
 - Intra-nuclear cascade
 - Fast nucleons and other hadrons
 - Nuclear de-excitation
 - Evaporation of soft nucleons and α particles
 - Fission + evaporation



- The response to the hadronic part of a hadron-induced shower is usually smaller than that to the electromagnetic part
 - Due to the invisible energy
 - Due to short range of spallation nucleons
 - Due to saturation effects for slow, highly ionizing particles

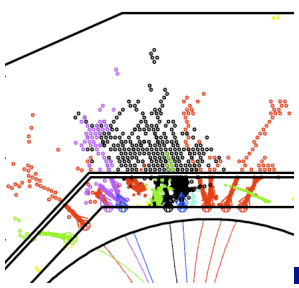


Electromagnetic fraction

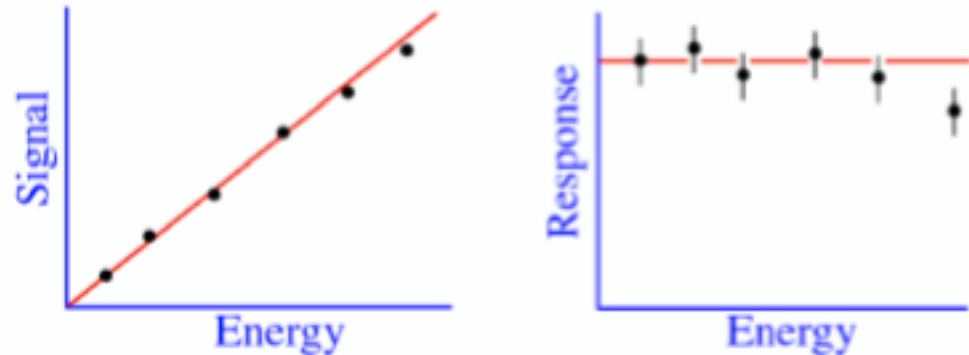
- In first collision, $\sim 1/3$ of produced particles are π^0
- $\pi^0 \rightarrow \gamma\gamma$ produce em shower, no further hadronic interaction
- Remaining hadrons undergo further interactions, more π^0

- π^0 production irreversible; "one way street"
 - Em fraction increases with energy
- Numerical example for copper
 - 10 GeV: $f = 0.38$; 9 charged h, 3 π^0
 - 100 GeV: $f = 0.59$; 58 charged h, 19 π^0
- Cf em shower: 100's e^+ , 1000's e^- , millions γ

- Large fluctuations
 - E.g. charge exchange $\pi^- p \rightarrow \pi^0 n$ (prb 1%) gives $f_{em} = 100\%$

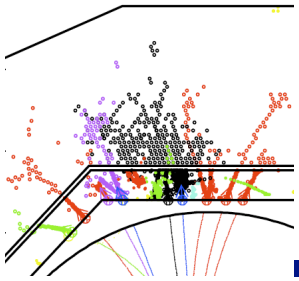


Response and linearity



- A linear calorimeter has a constant response
- In general
 - Electromagnetic calorimeters are linear
 - Hadronic calorimeters are not:
 - Response depends on something which varies with energy
 - Em fraction, depth of interaction, leakage,
- No linearity – no superposition principle for jets
 - 2 particles at 50 GeV not equal to 1 particle at 100 GeV
 - Non-linearity cannot simply be “calibrated away”

Compensation

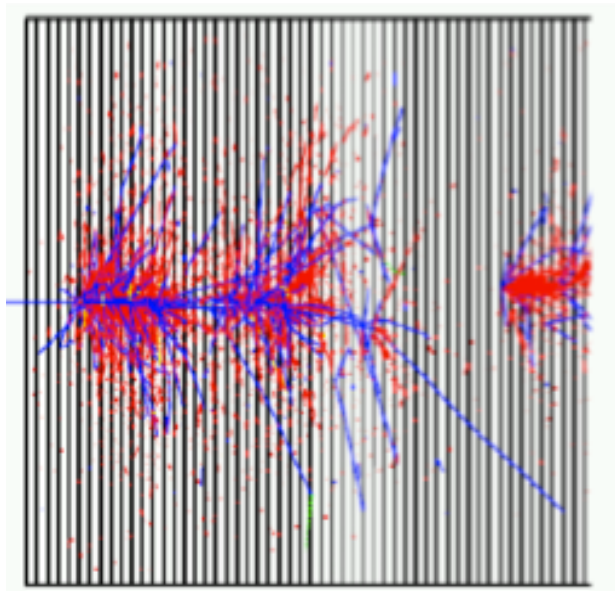
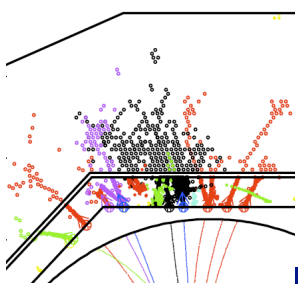


Different strategies, which can also be combined

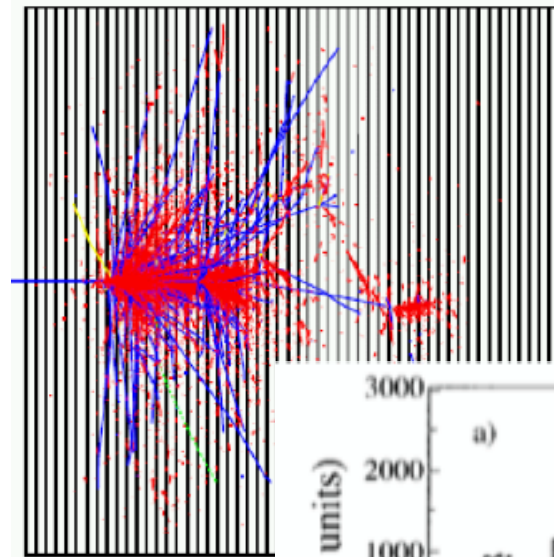
- Hardware compensation
 - Reduce em response
 - High Z, soft photons
 - Increase had response
 - Neutron part (correlated with binding energy loss)
 - Tuneable via thickness of hydrogenous detector
 - Example ZEUS: uranium scintillator,
 - 35% $/\sqrt{E}$ for hadrons, 45% $/\sqrt{E}$ for jets
- Software compensation
 - Identify em hot spots and down-weight
 - Requires high 3D segmentation
 - Example H1, Pb/Fe LAr, $\sim 50\%$ $/\sqrt{E}$ for hadrons

NB: Do not fully remove fluctuations in invisible energy

More fluctuations

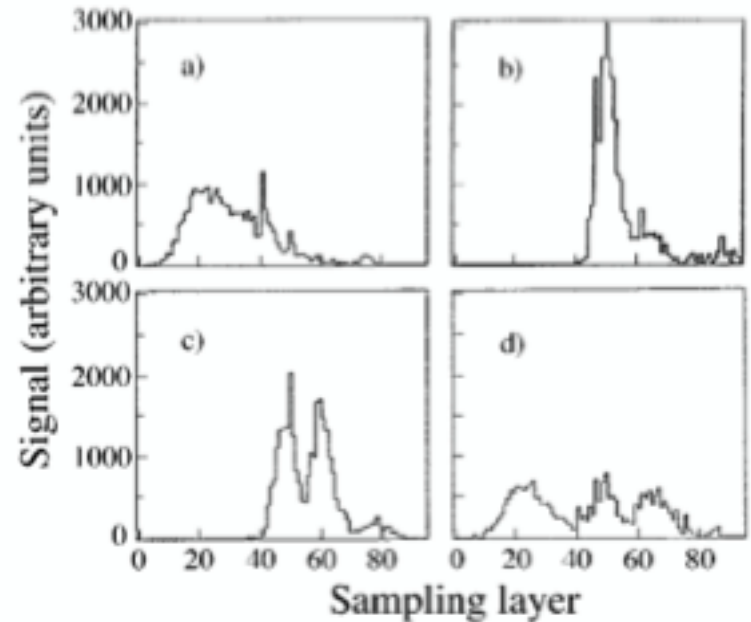


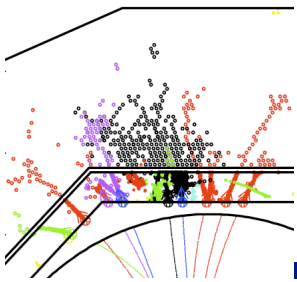
blue = hadronic component



red = electroma:

Leakage: in principle no problem
 But: leakage fluctuations are!
 (rule of thumb: $\sigma_{\text{leak}} \sim 4 f_{\text{leak}}$)

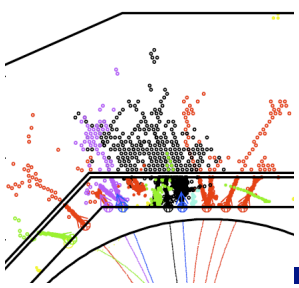




Hadron and jet calorimetry:

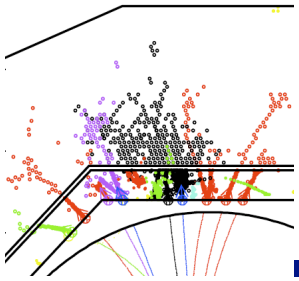
- Hadron showers: large variety of physics processes
 - With different detector responses
 - In general non-linear
 - Inevitably invisible energy; ultimate limit for resolution
 - Small numbers, large fluctuations
 - Large volume, small signals
 - Difficult to model
- Jet energy performance = hadron performance or worse

New concepts

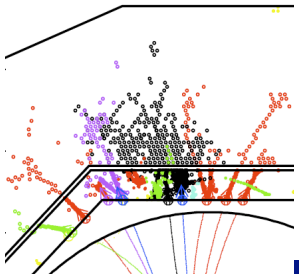


- Hardware (and software): ultimate compensation by directly measuring the electromagnetic component in each event, in addition to the total energy, and correcting for it
- → dual readout calorimeters
 - scintillator light to measure total energy
 - Cerenkov light to measure relativistic e/m part
 - 41% $1/\sqrt{E}$ for pions achieved
- Software (and hardware): measure each particle in a jet individually and limit the problems of hadron calorimetry to the 10% or so of K_L and n in the jet; needs imaging granularity
- → particle flow approach

DREAM



DREAM

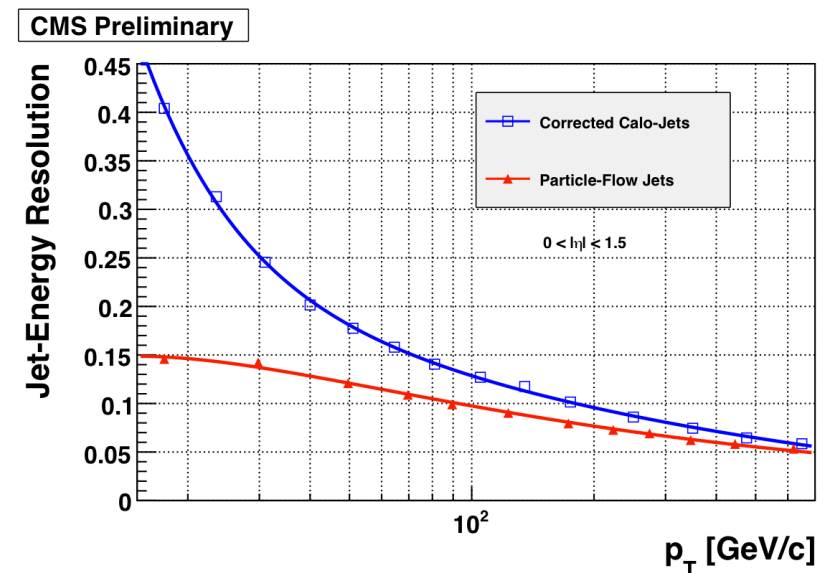
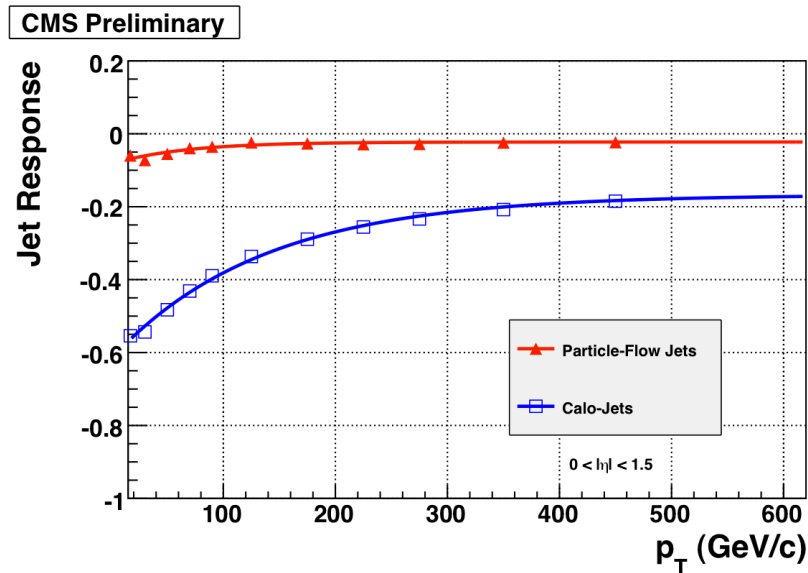


Jet p_T Response and Resolution

Response

CMS

Resolution for corrected jets



Energy correction: $f(\eta, p_T) \rightarrow$ brings response to 1

Adding a dependence on jet contents does not bring anything

Particle flow concept and detectors

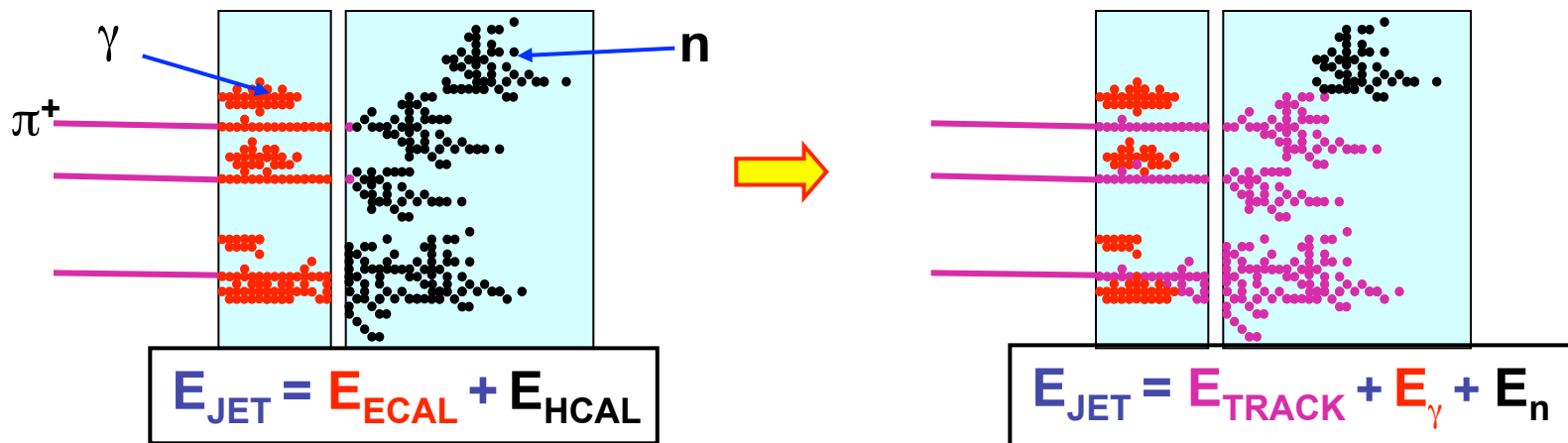
★ In a typical jet :

- ◆ 60 % of jet energy in charged hadrons
- ◆ 30 % in photons (mainly from $\pi^0 \rightarrow \gamma\gamma$)
- ◆ 10 % in neutral hadrons (mainly n and K_L)



★ Traditional calorimetric approach:

- ◆ Measure all components of jet energy in ECAL/HCAL !
- ◆ ~70 % of energy measured in HCAL: $\sigma_E/E \approx 60\% / \sqrt{E(\text{GeV})}$
- ◆ Intrinsically “poor” HCAL resolution limits jet energy resolution



★ Particle Flow Calorimetry paradigm:

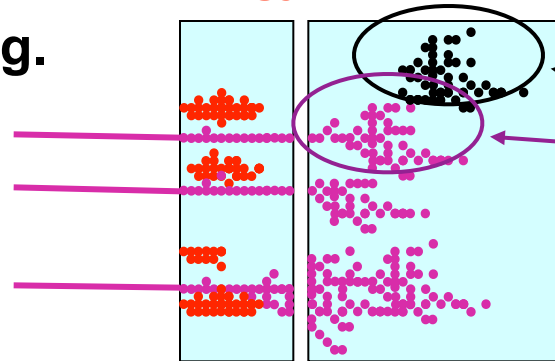
- ◆ charged particles measured in tracker (essentially perfectly)
- ◆ Photons in ECAL: $\sigma_E/E < 20\% / \sqrt{E(\text{GeV})}$
- ◆ Neutral hadrons (ONLY) in HCAL
- ◆ Only 10 % of jet energy from HCAL ➔ much improved resolution

Particle Flow Reconstruction

Reconstruction of a Particle Flow Calorimeter:

- ★ **Avoid double counting of energy** from same particle
- ★ **Separate energy deposits** from different particles

e.g.

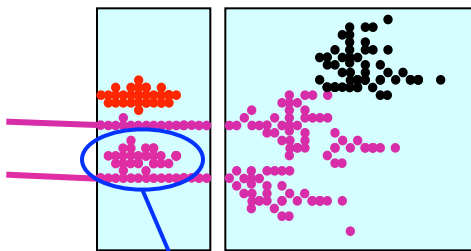


If these hits are clustered together with these, lose energy deposit from this neutral hadron (now part of track particle) and ruin energy measurement for this jet.

Level of mistakes, “confusion”, determines jet energy resolution
not the intrinsic calorimetric performance of ECAL/HCAL

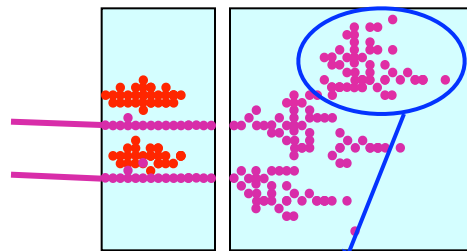
Three types of confusion:

i) Photons



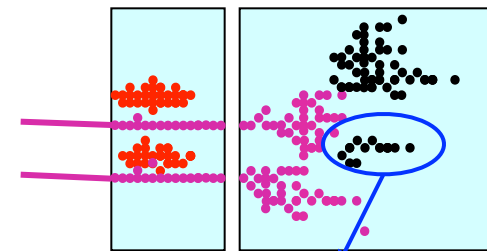
Failure to resolve photon

ii) Neutral Hadrons

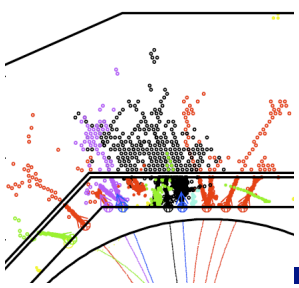


Failure to resolve neutral hadron

iii) Fragments

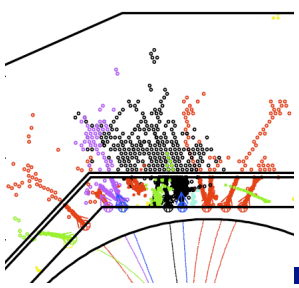


Reconstruct fragment as separate neutral hadron



Calorimeter concept

- large radius and length
 - to separate the particles
- large magnetic field
 - to sweep out charged tracks
- “no” material in front
 - stay inside coil
- small Moliere radius
 - to minimize shower overlap
- small granularity
 - to separate overlapping showers

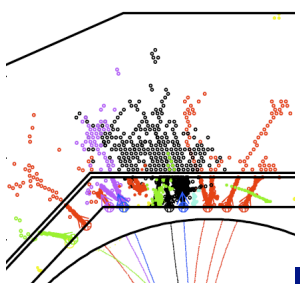


Calorimeter concept

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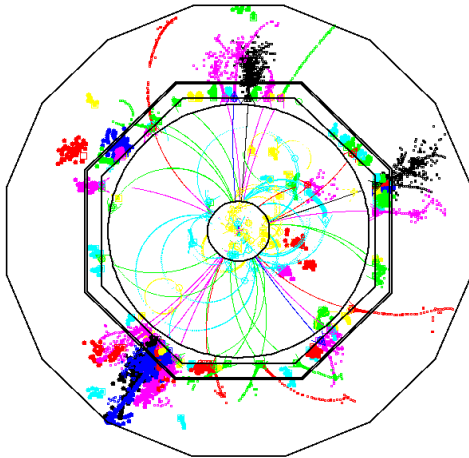


Tile granularity

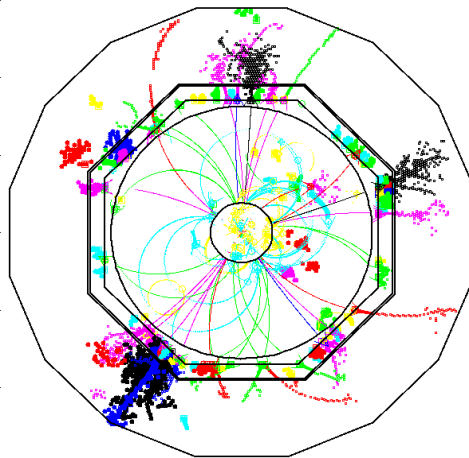


- Recent studies with PFLOW algorithm, full simulation and

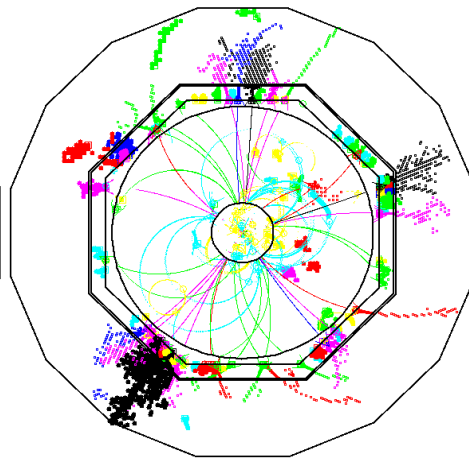
1x1



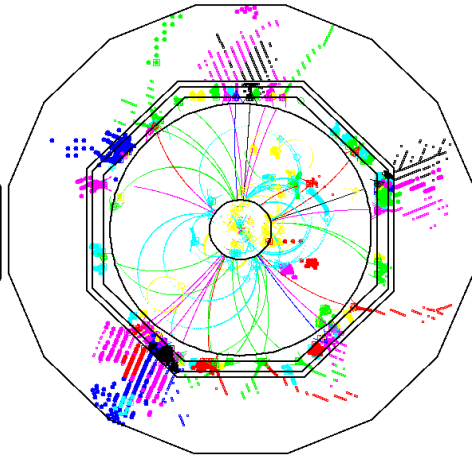
3x3



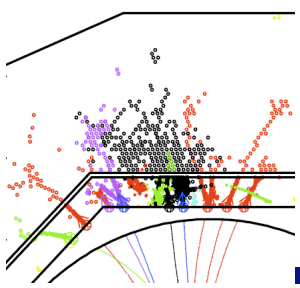
5x5



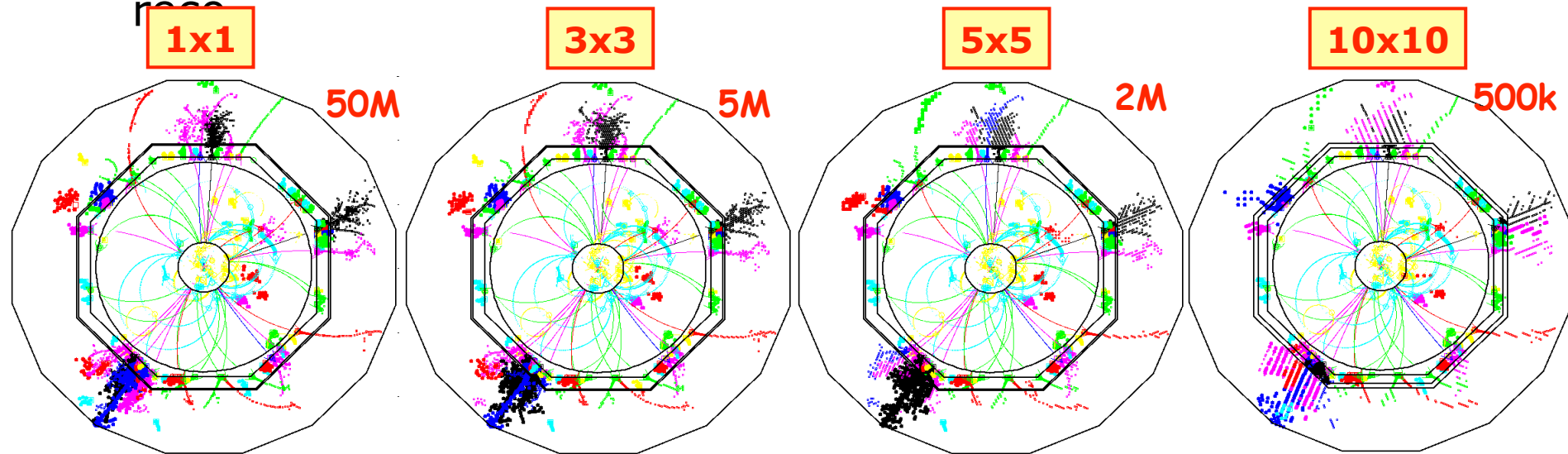
10x10



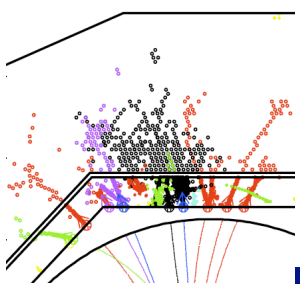
Tile granularity



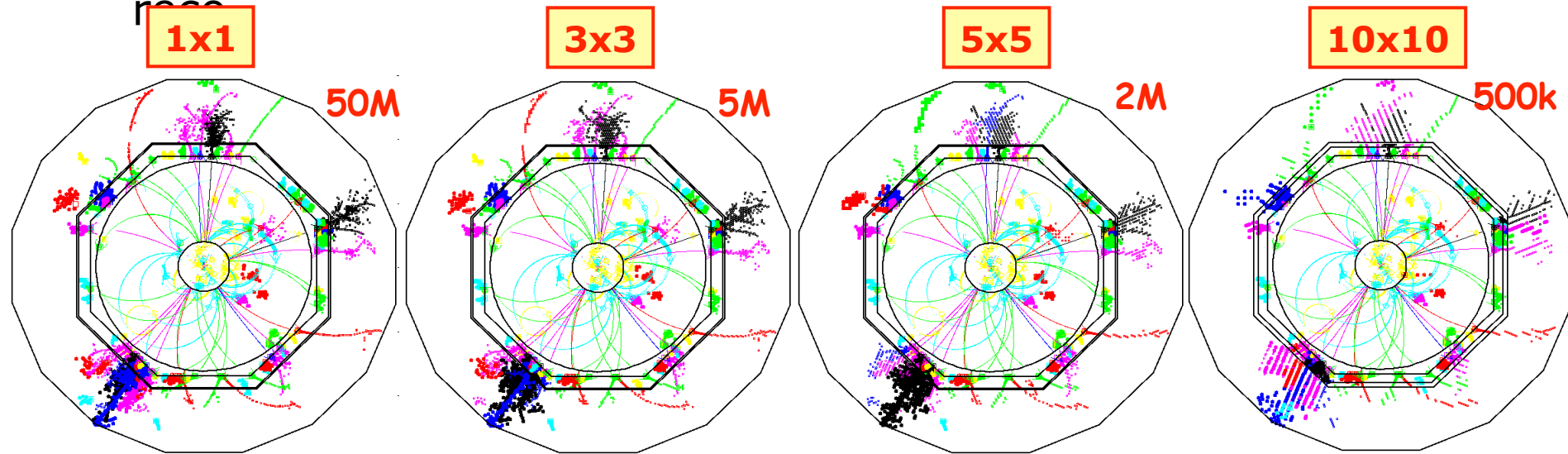
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Tile granularity



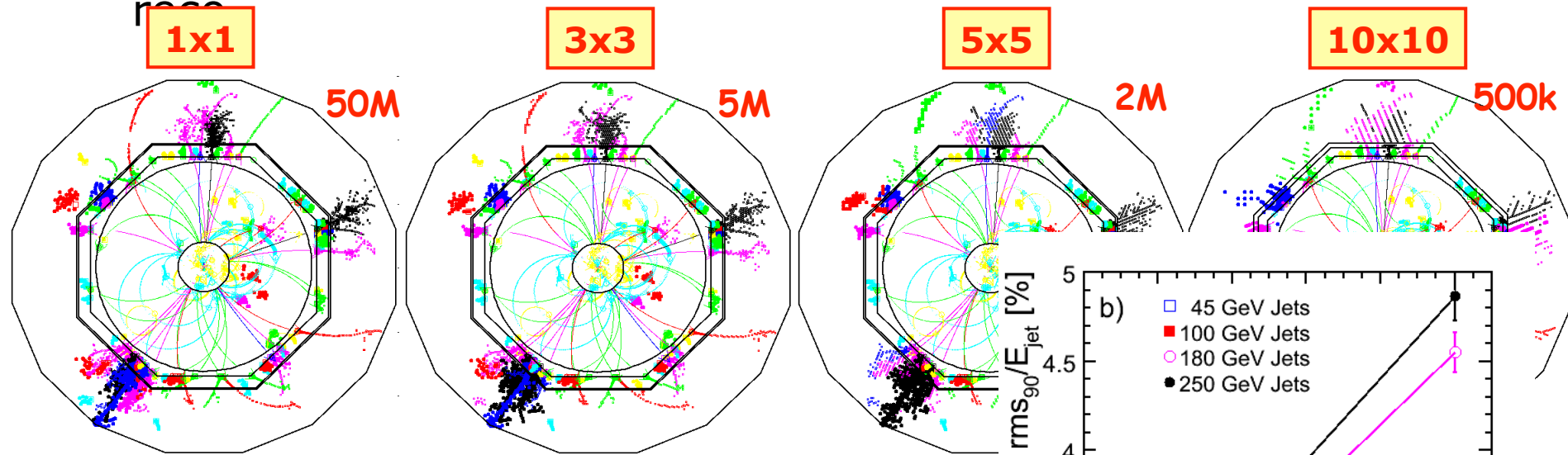
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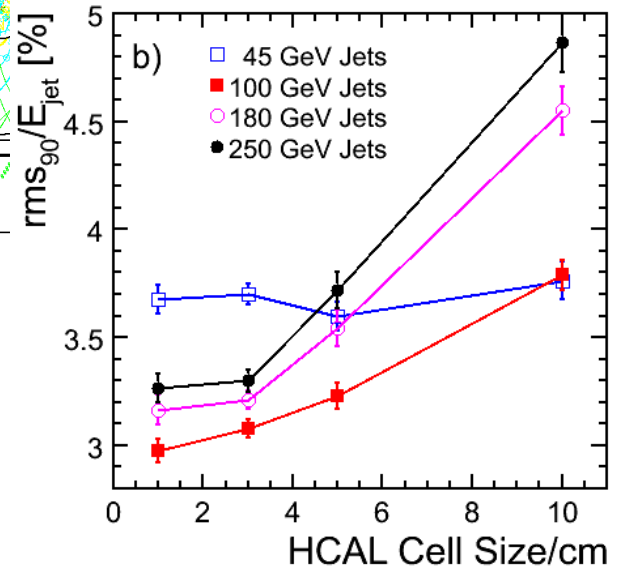
- Confirms earlier studies for test beam prototype
- 3x3 cm² nearly optimal

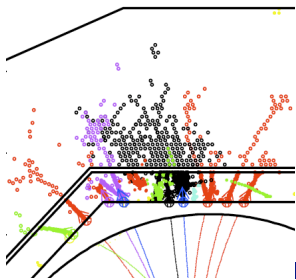
Tile granularity

- Recent studies with PFLOW algorithm, full simulation and



- Confirms earlier studies for test beam prototype
- 3x3 cm² nearly optimal





Understand particle flow performance

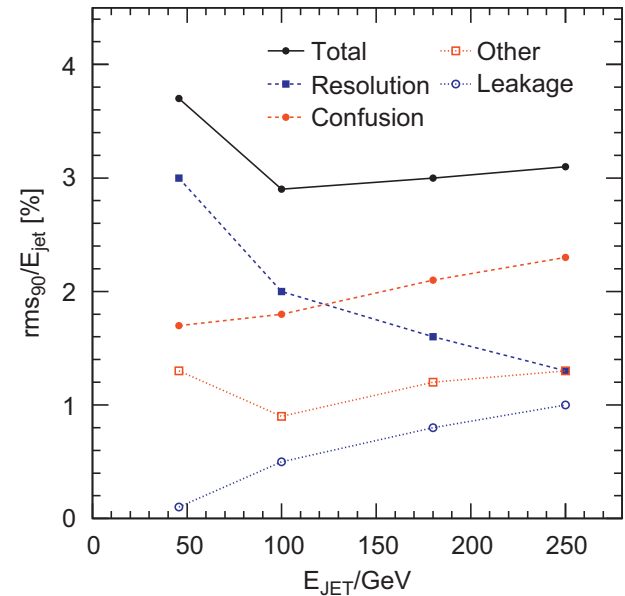
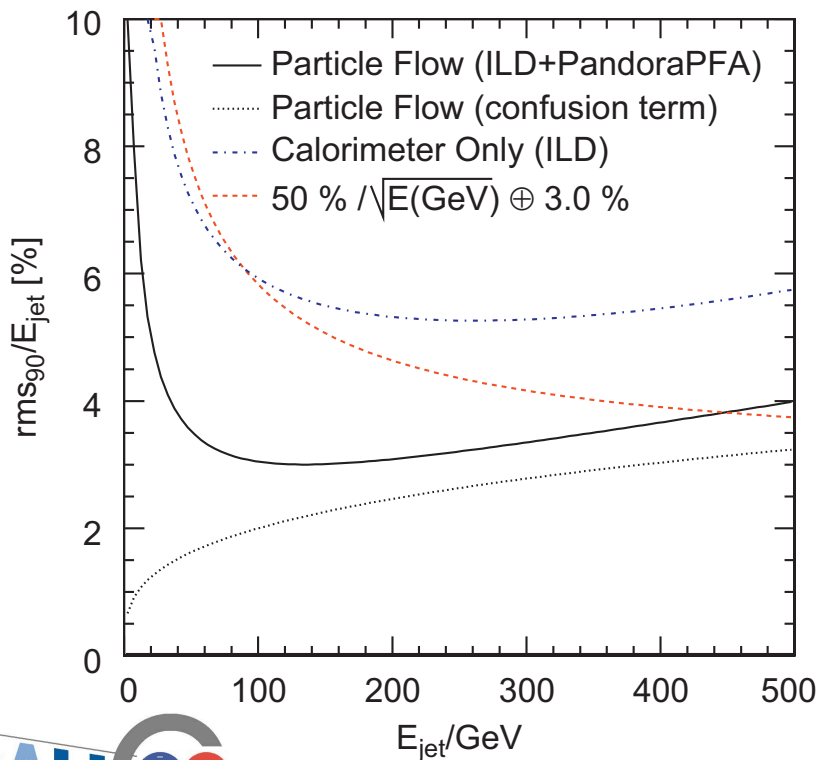
$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left(\frac{E}{100} \right)^{+0.3} \%$$

Resolution

Tracking

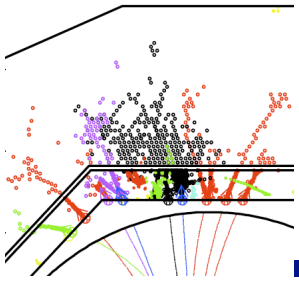
Leakage

Confusion



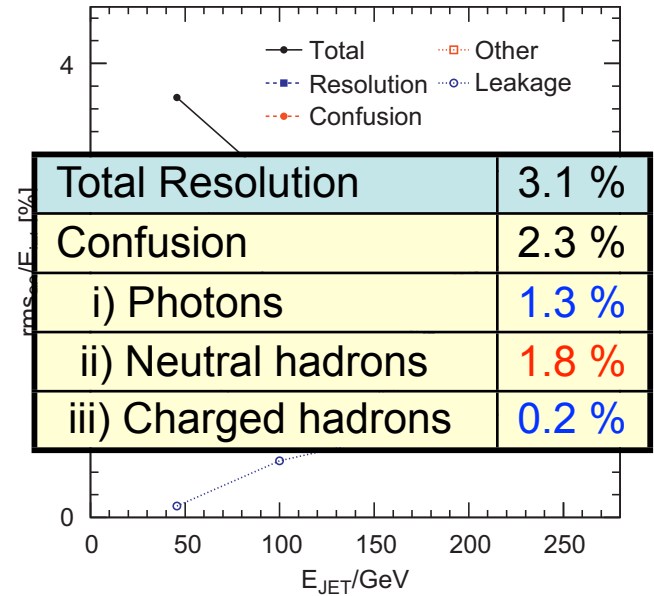
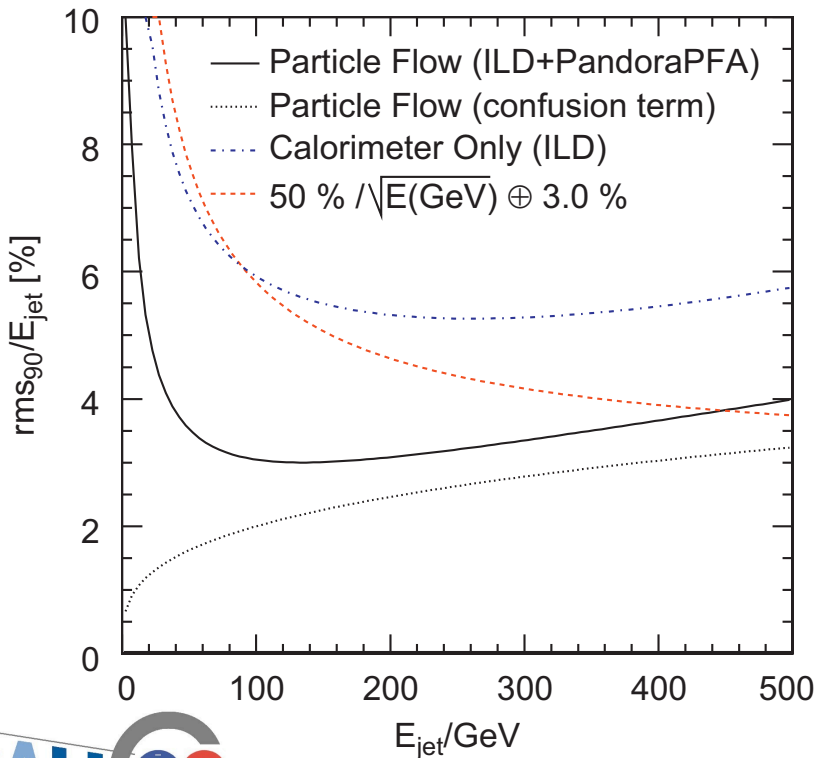
- Particle flow is always better
 - even at high jet energies
- HCAL resolution does matter
 - also for confusion term
- Leakage plays a role, too

Understand particle flow performance

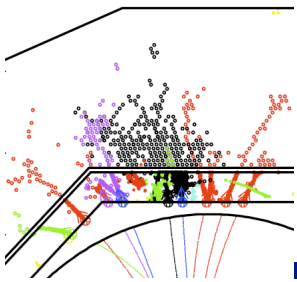


$$\frac{\sigma_E}{E} = \frac{21}{\sqrt{E}} \oplus 0.7 \oplus 0.004E \oplus 2.1 \left(\frac{E}{100} \right)^{+0.3} \%$$

Resolution Tracking Leakage Confusion



- Particle flow is always better
 - even at high jet energies
- HCAL resolution does matter
 - also for confusion term
- Leakage plays a role, too



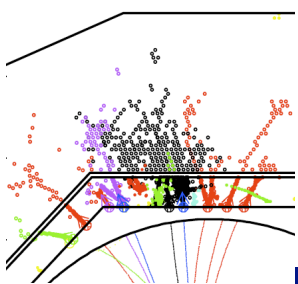
PFLOW detector concept

- Optimal use of all detector components: reconstruct each particle individually
- Interplay of highly granular detectors and sophisticated pattern recognition (clustering) algorithms
- Following detailed simulation and reconstruction studies, LC performance goals can be met
- Basic detector parameters thoroughly optimized
- A PFLOW detector is not cheap: do we believe in simulations?

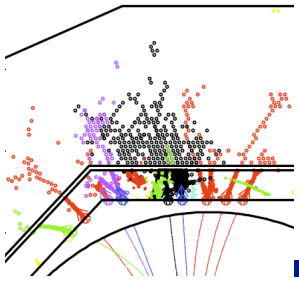
Validation of the simulations

detector performance
shower models

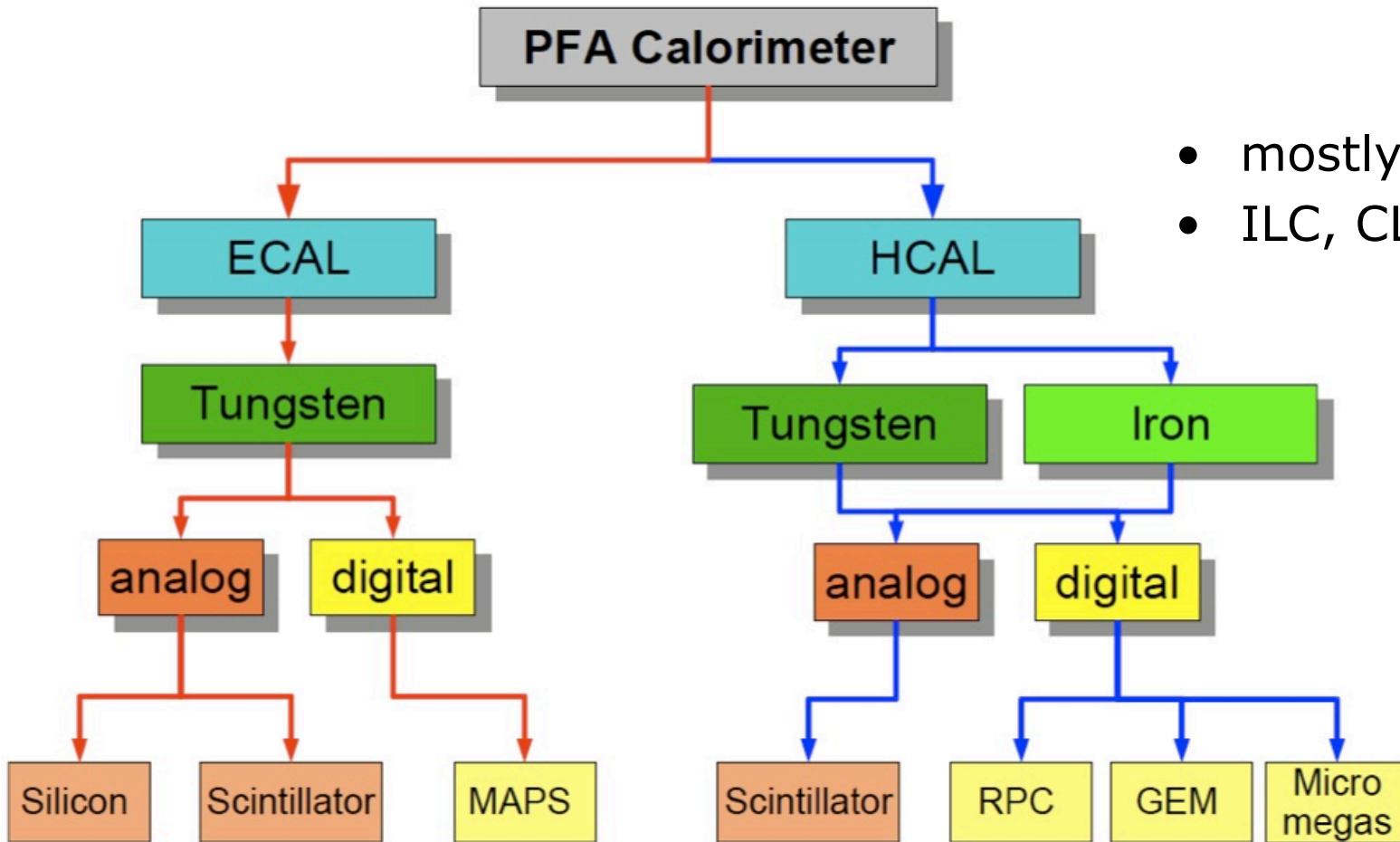
CALICE



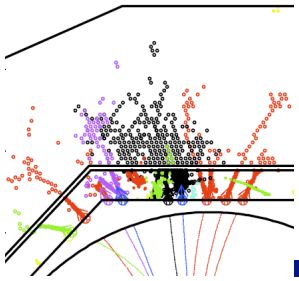
- We are more than 300 physicists and engineers from 57 institutes in Africa, America, Europe and Asia
- Our goal: develop highly granular calorimeter options based on the particle flow approach for an e^+e^- linear collider
- Twofold approach:
 - Physics prototypes and test beam
 - Operational experience with new technologies, Test of shower simulation models, Development of reconstruction algorithms with real data
 - Technical prototypes
 - Realistic, scalable design (and costing) early next decade



Technology tree



- mostly ILD, SiD
- ILC, CLIC



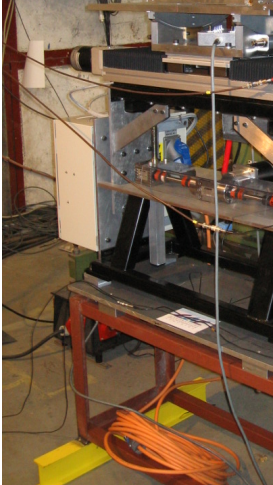
Test beam experiments



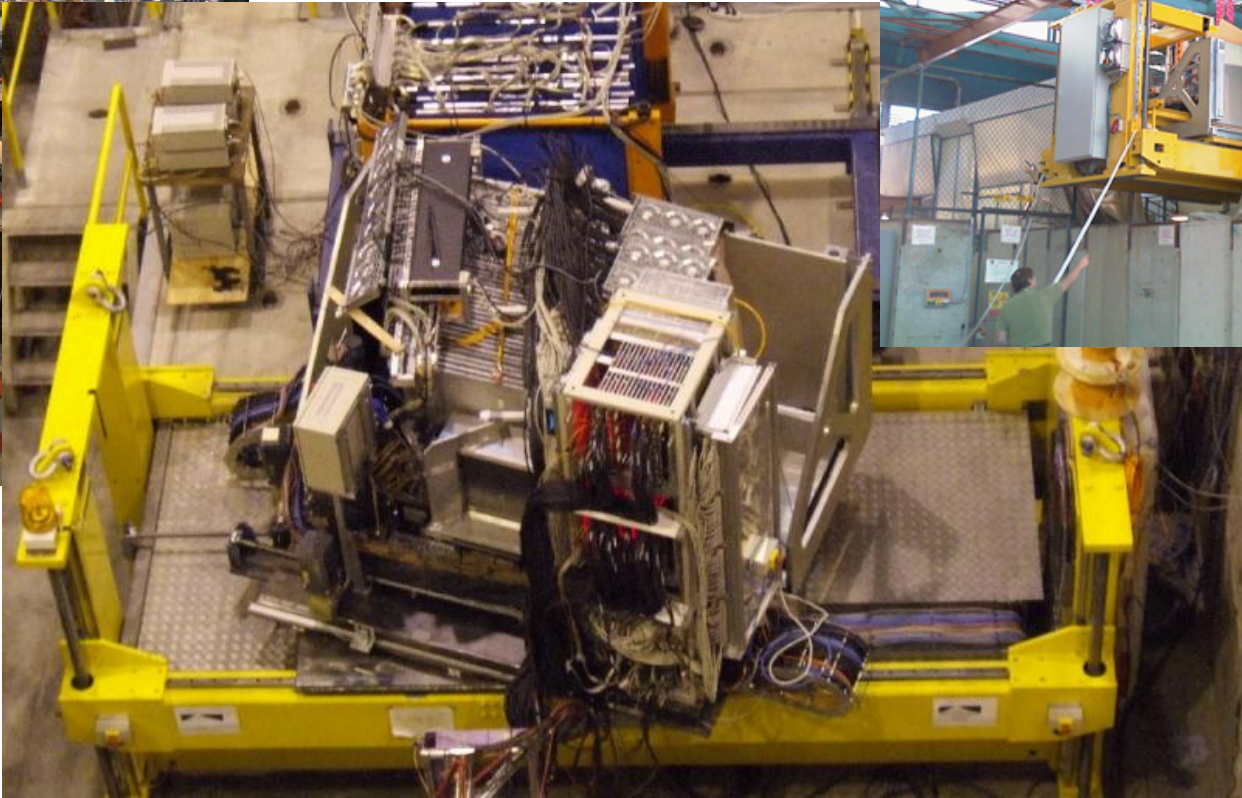
CERN 2006-2007



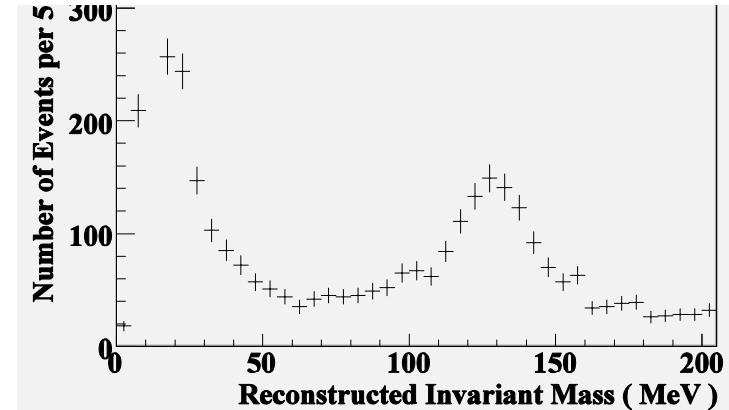
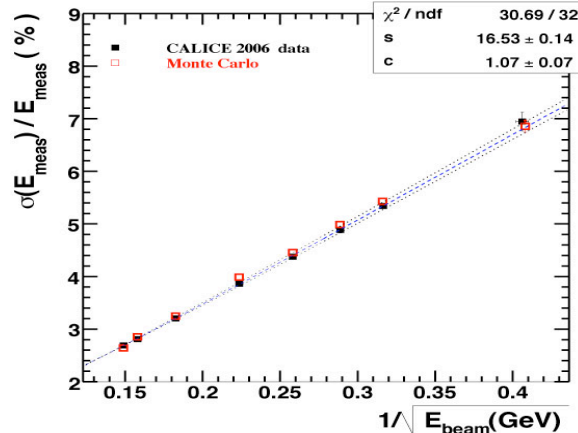
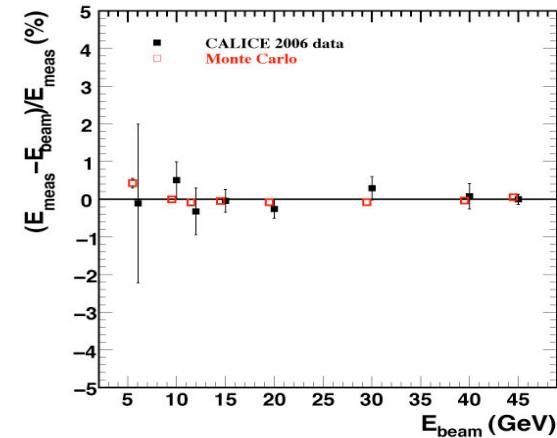
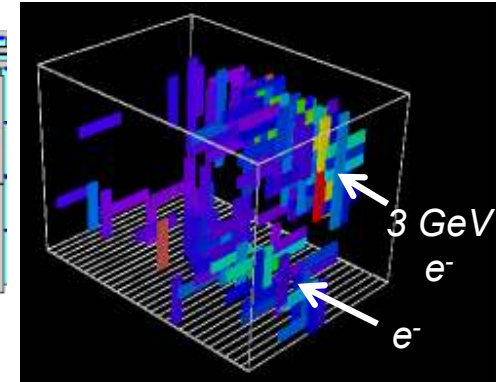
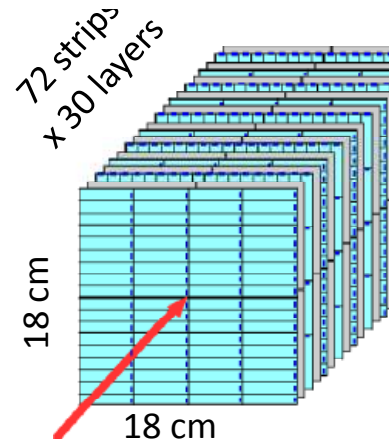
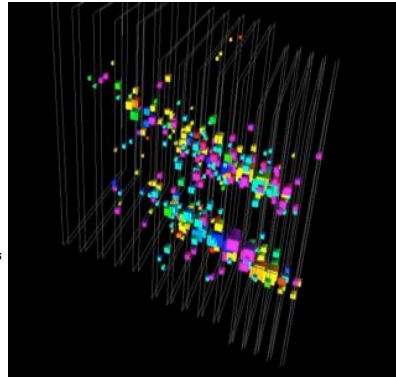
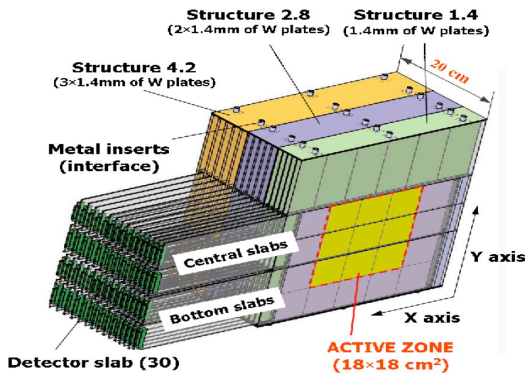
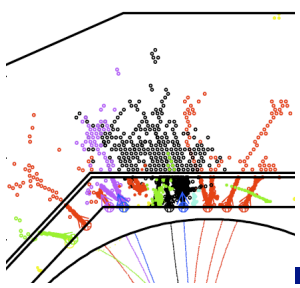
FNAL 2008..



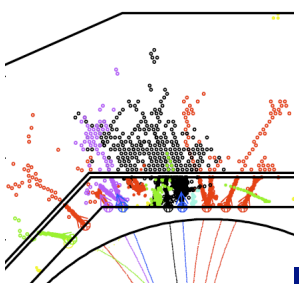
DESY 2005



ECAL options

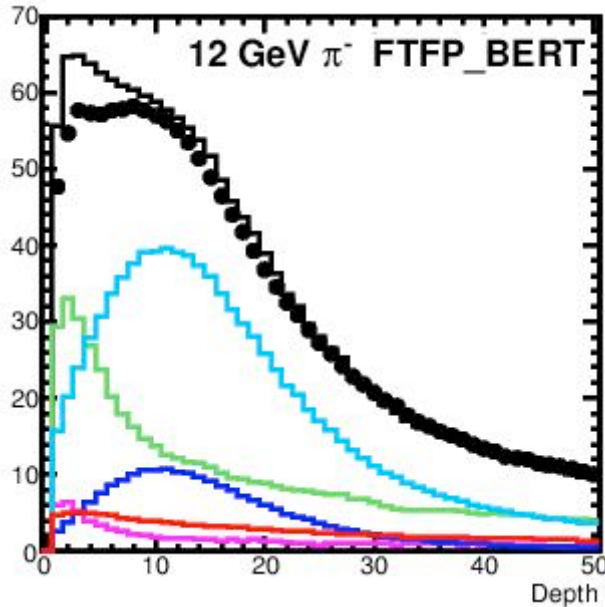
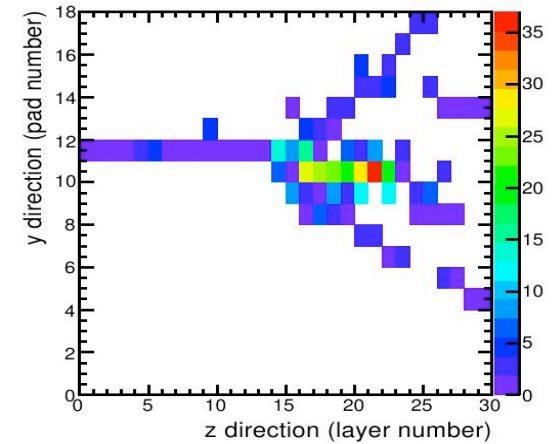


- W Si or Sci: common mechanics, similar electronics



Pions in the SiW ECAL

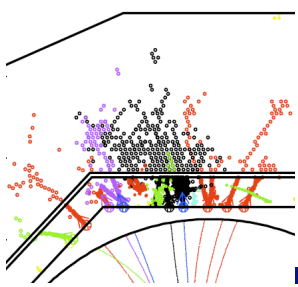
- test Geant 4 predictions with 1 cm² granularity
- sensitive to shower decomposition
- favor recent G4 physics lists
- certainly not perfect - certainly not bad either!



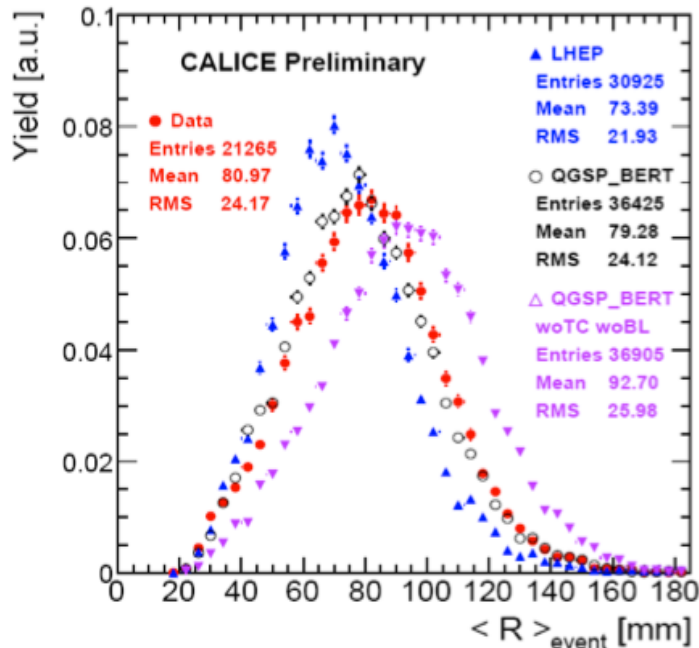
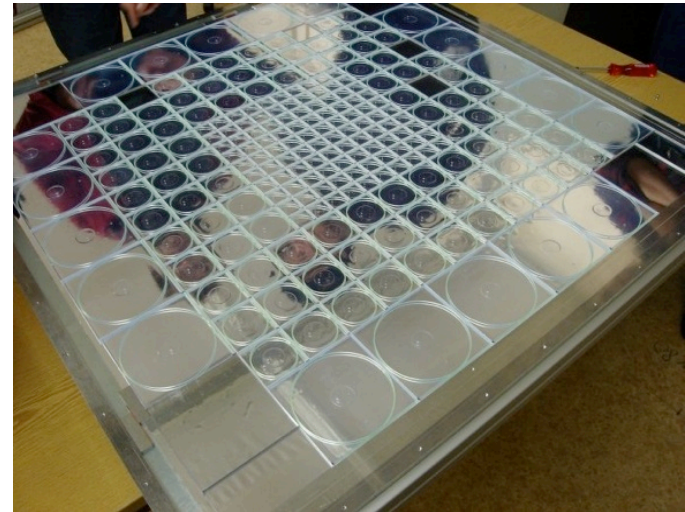
Shower Components:

- **electrons/positrons**
knock-on, ionisation, etc.
- **protons**
from nuclear fragmentation
- **mesons**
- **others**
- **sum**

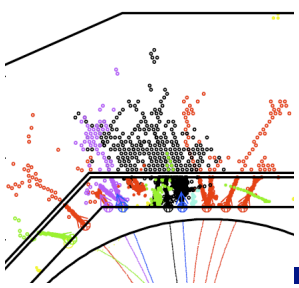
Fe Scint tile HCAL



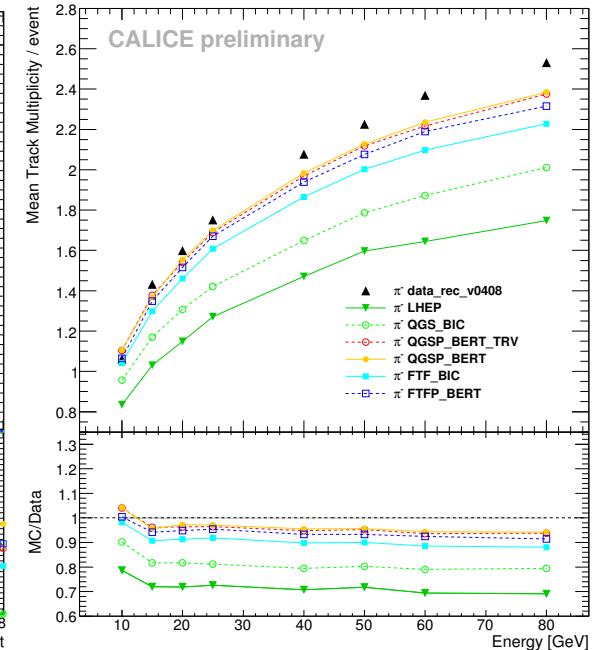
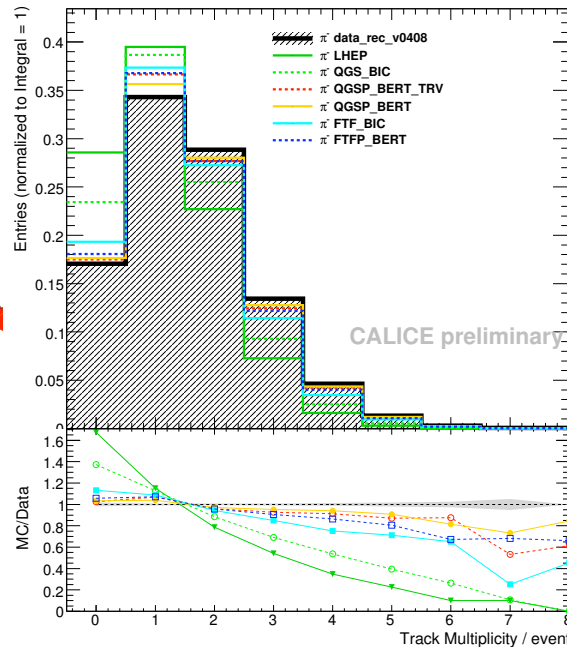
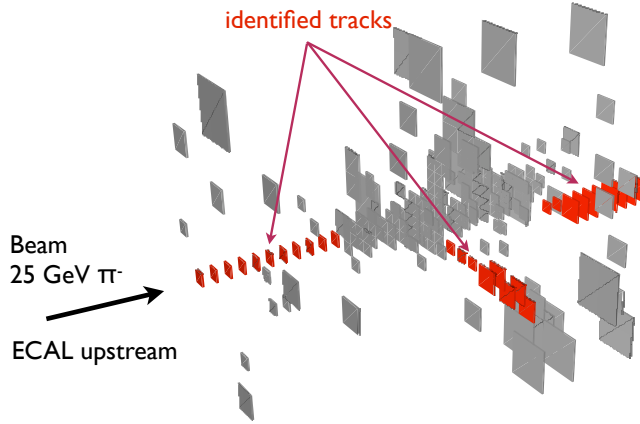
- 38 layers steel sandwich
- 7600 tiles with SiPMs



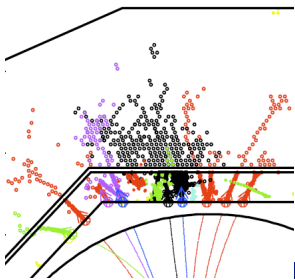
- Present-day simulation quality requires good detector understanding to discriminate
- Fluctuations also well reproduced



Shower fine structure



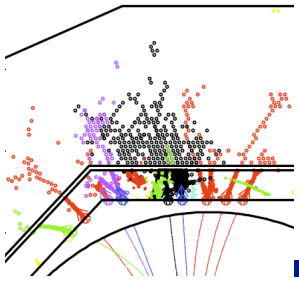
- Could have the same global parameters with “clouds” or “trees”
- Powerful tool to check models
- Surprisingly good agreement already - for more recent models



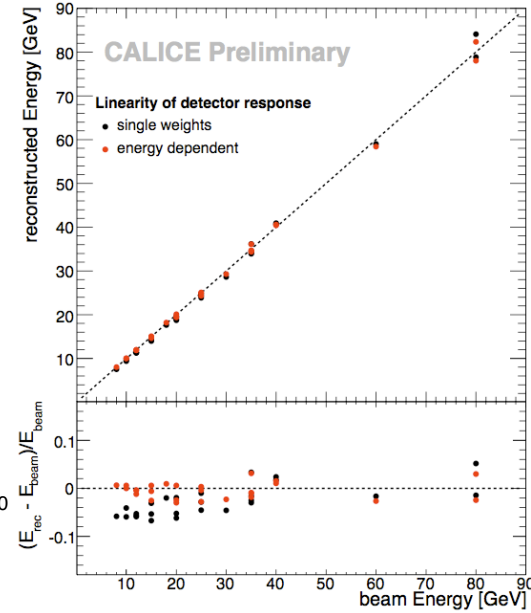
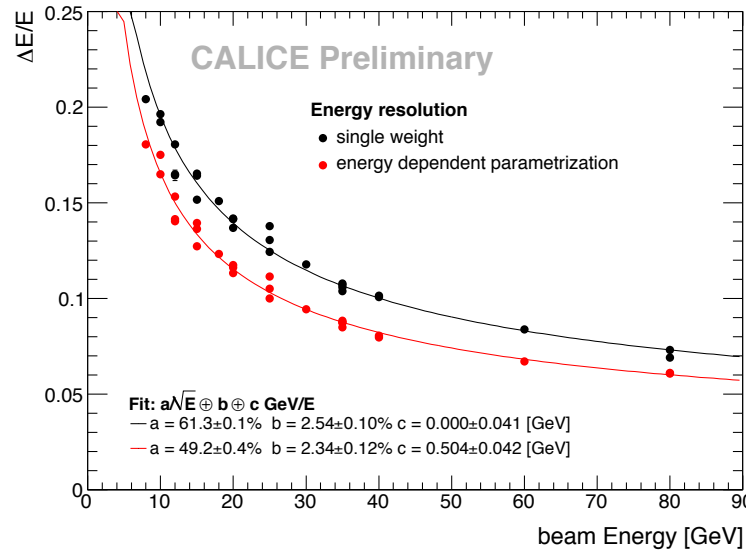
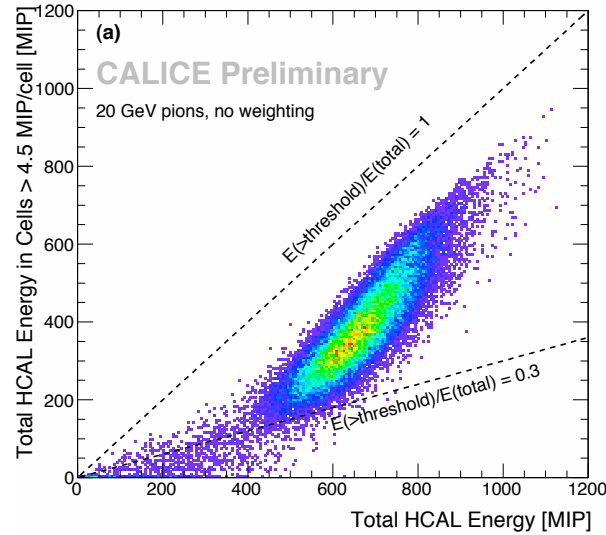
Summary on validation:

- The particle flow detectors perform as expected
 - support predictions for full-scale detector
- Geant 4 simulations not perfect, but also not as far off as feared a few years ago
 - fruitful close cooperation with model builders ongoing
- Predicted shower sub-structure is seen
 - detailed checks possible, benefits for **all** calorimeters

Test the algorithms
with real data

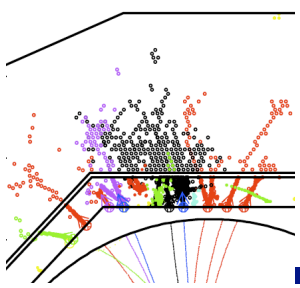


Resolution, compensated

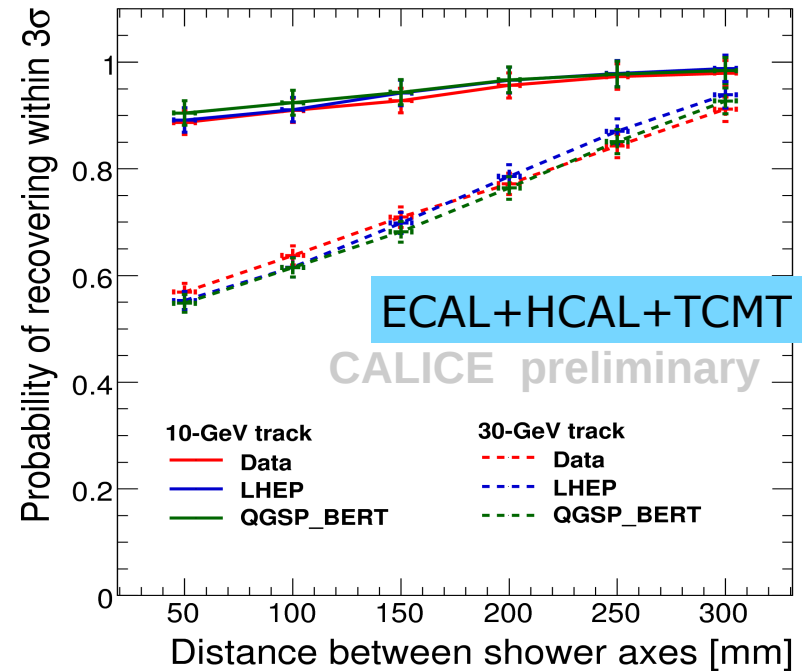
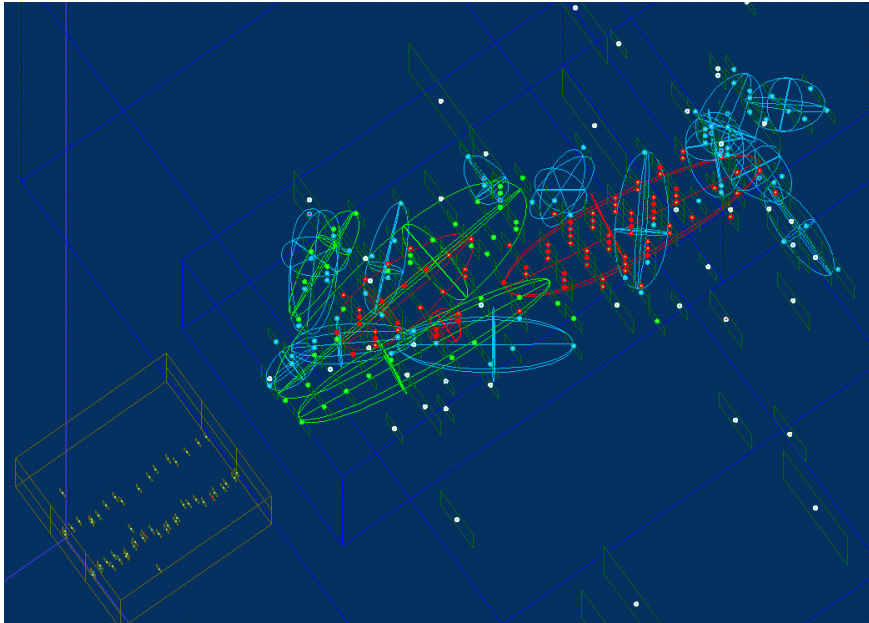


ECAL+HCAL+TCMT

- Poor man's dream: s/w compensation
- Significantly improved resolution AND linearity
- High granularity - many possibilities

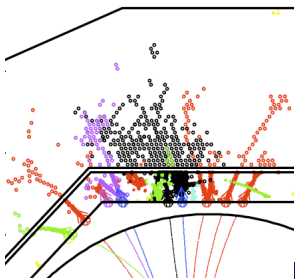


PFLOW: two-particle separation



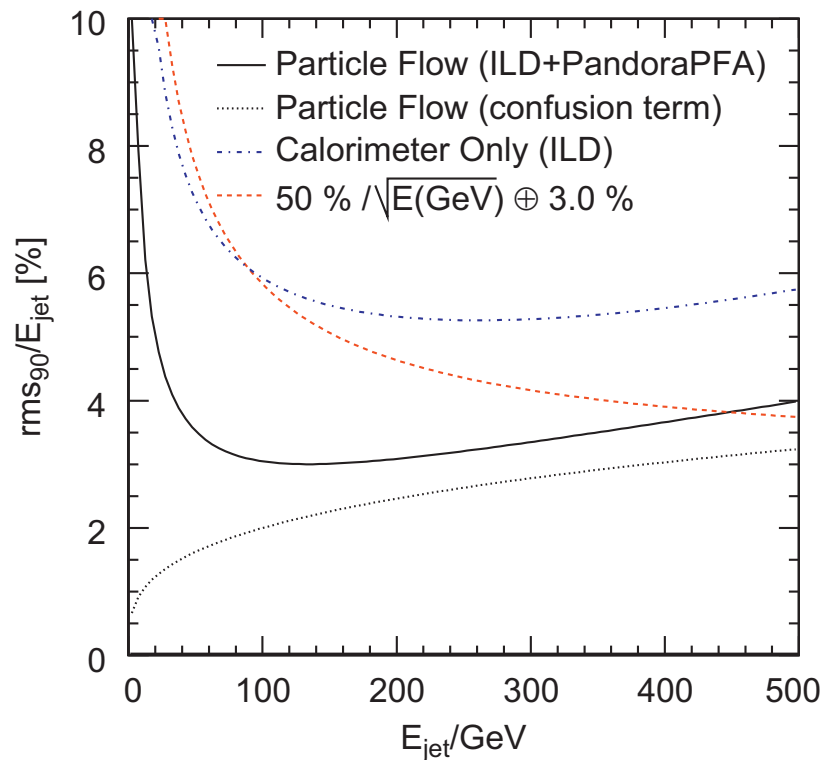
- The “double-track resolution” of an imaging calorimeter
- Small occupancy: use of event mixing technique possible
- Important: agreement data - simulation
 - sharing the same limitations

to be done with photons, too

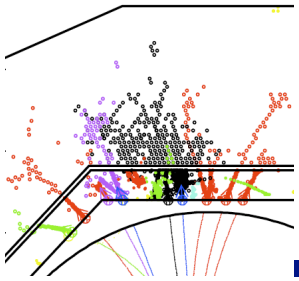


Summary on algorithms

- Granularity is extremely powerful
- Energy resolution and imaging capabilities verified with data at sub-structure level
 - the main drivers of PFLOW performance
- Leakage estimation and software compensation not yet implemented in present Pandora



Test the technologies
and establish feasibility



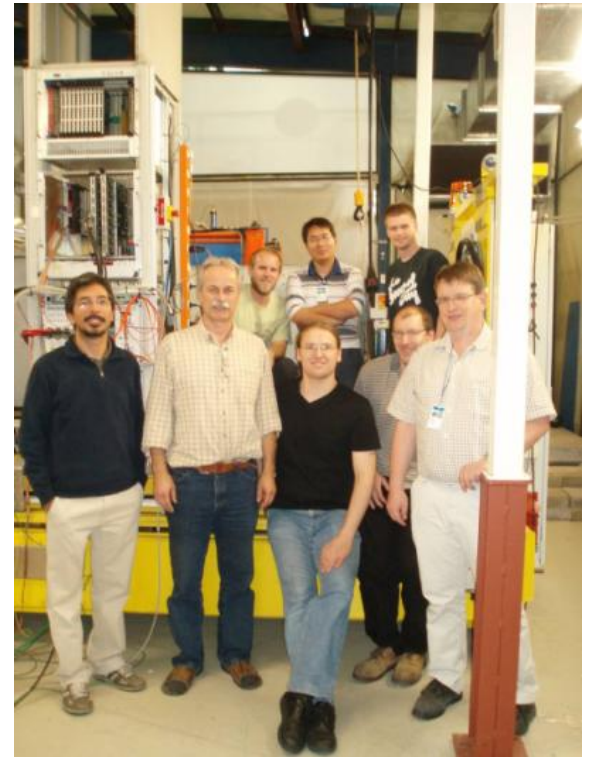
Test beam experiments 2010+



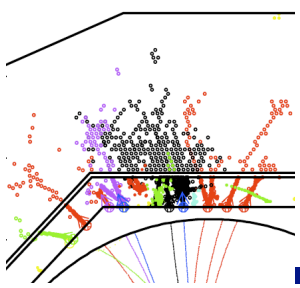
DESY

FNAL

CERN

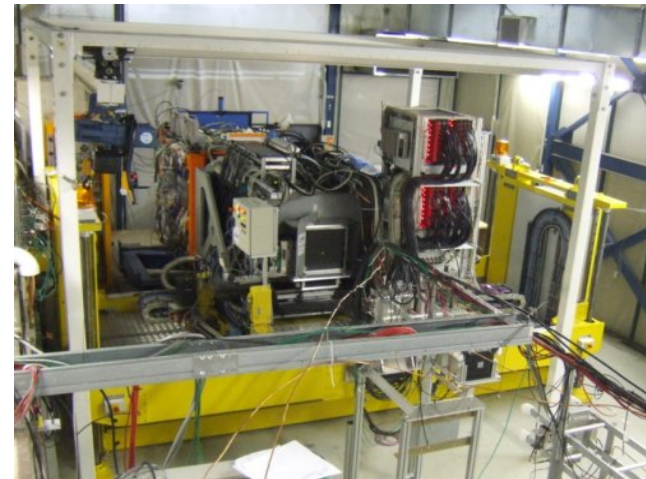
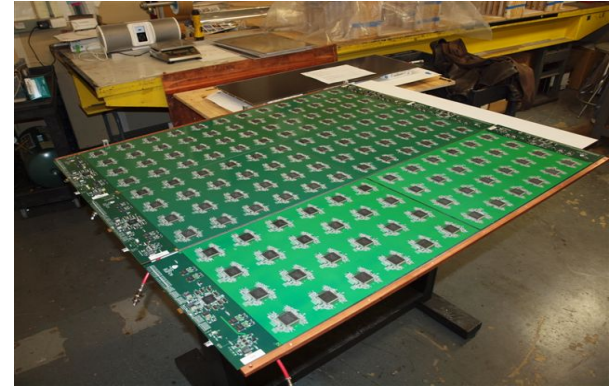


and more:
RPCs, power pulsing in B field,
micromegas, GEMs

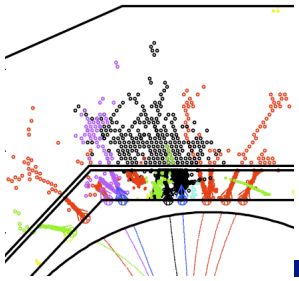


Digital calorimetry

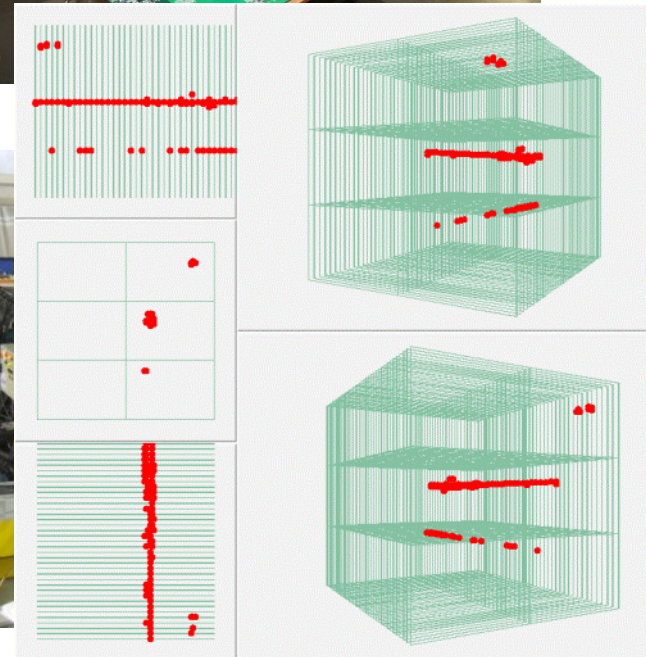
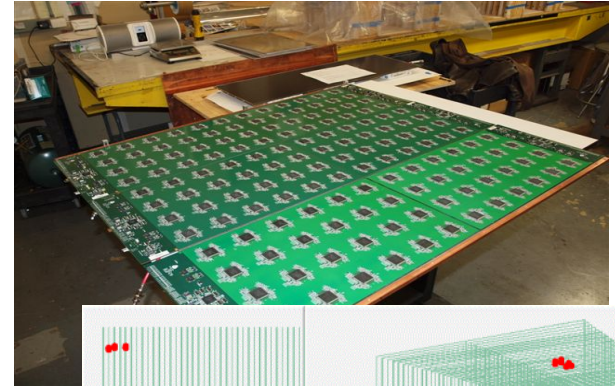
- Digital and semi-digital hadron calorimeter
 - even higher granularity
 - suppress dE/dx fluct.
 - reduced n sensitivity
 - limited at high E ?
- test beam started in November at FNAL, running today
- Possible continuation at CERN
 - higher E , tungsten absorber
- Semi-digital prototype with ultra-low power electronics under construction for 2011

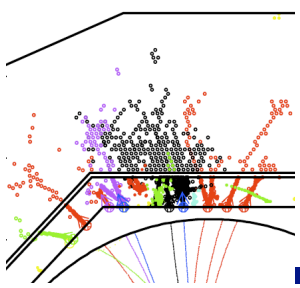


Digital calorimetry



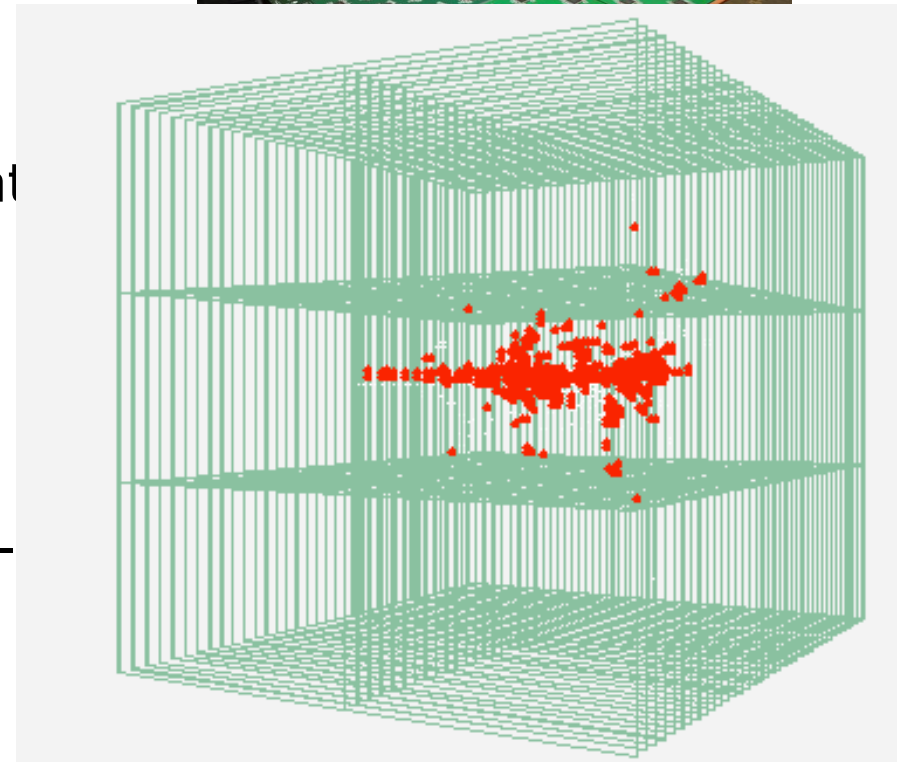
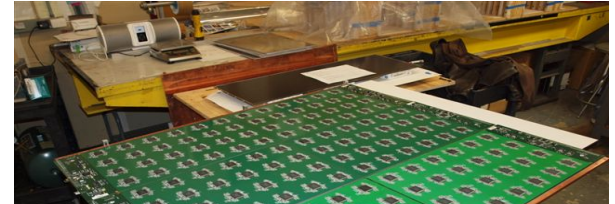
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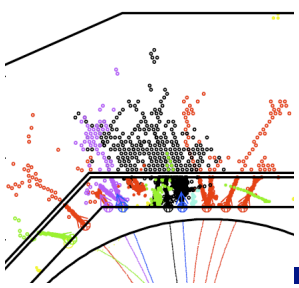




Digital calorimetry

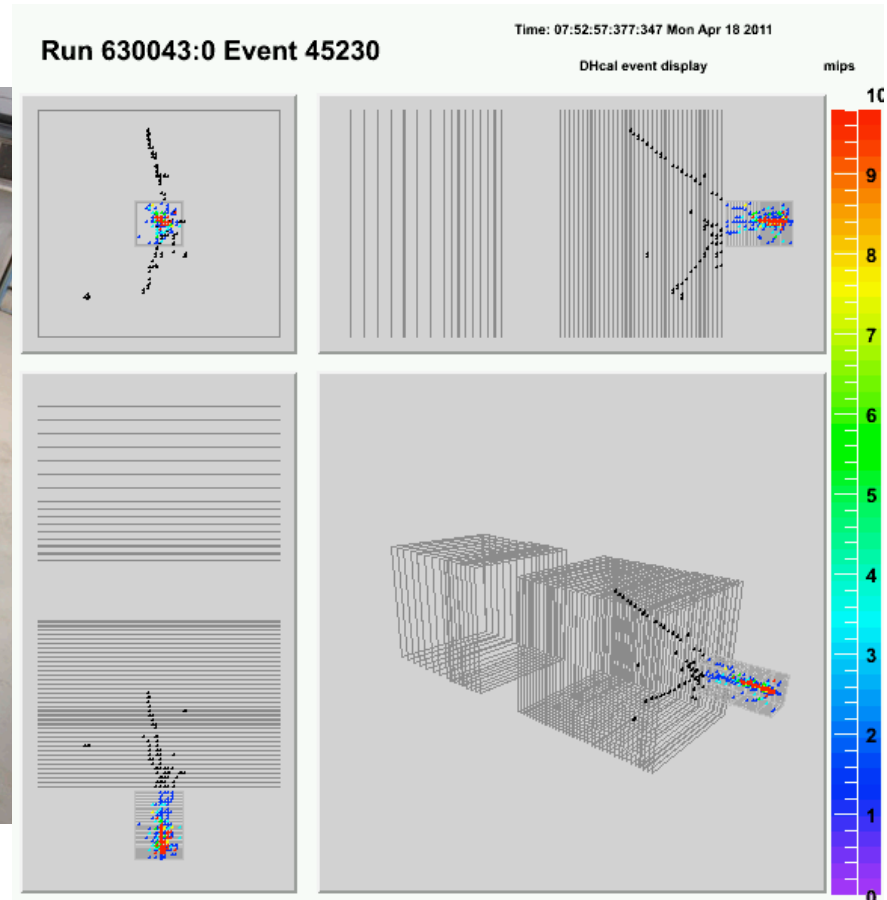
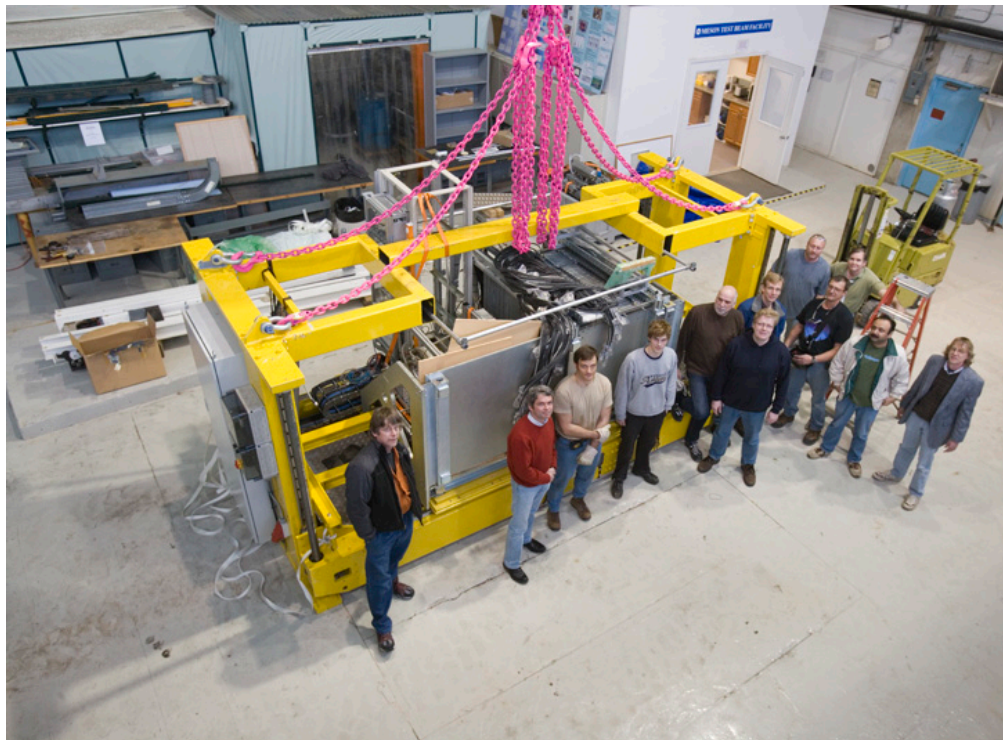
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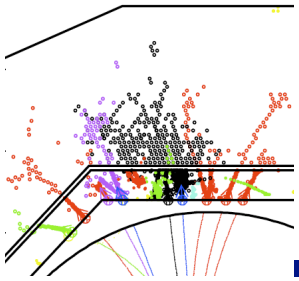




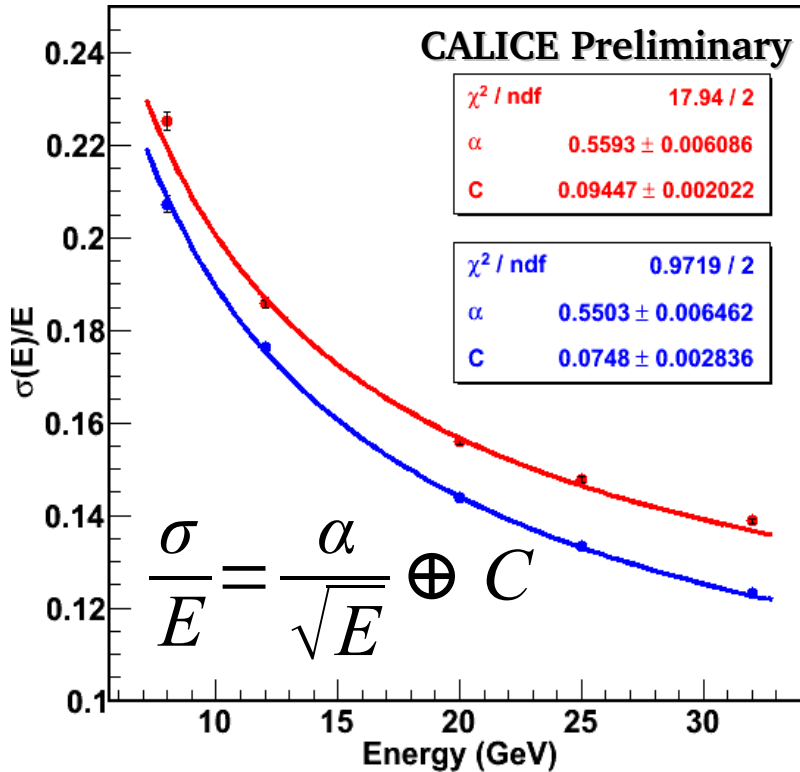
Thank you, DESY

- The DHCAL test at Fermilab uses the AHCAL absorber and movable stage which were built at DESY with this in mind



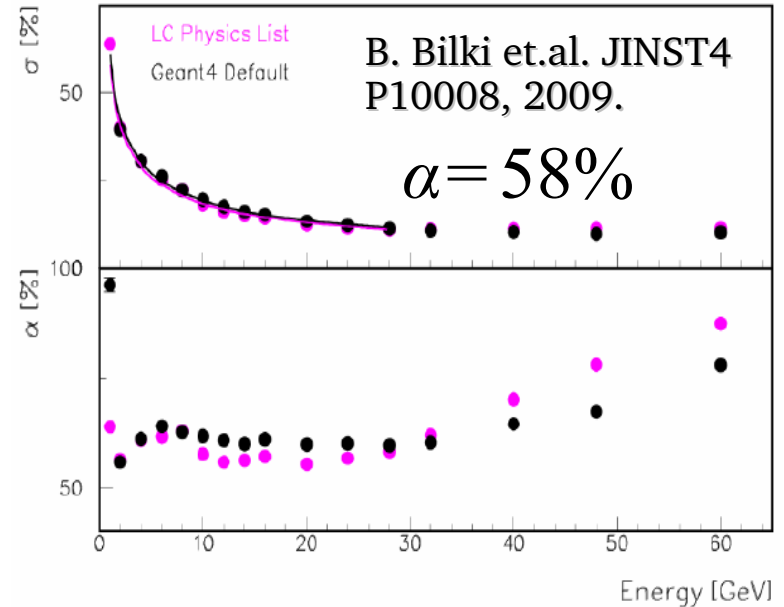


DHCAL results: pions



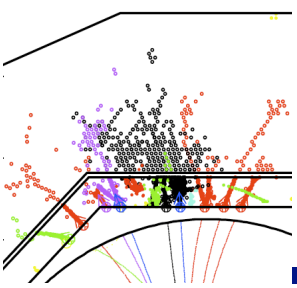
32 GeV data point is not included in the fit.

Standard pion selection
+ No hits in last two layers

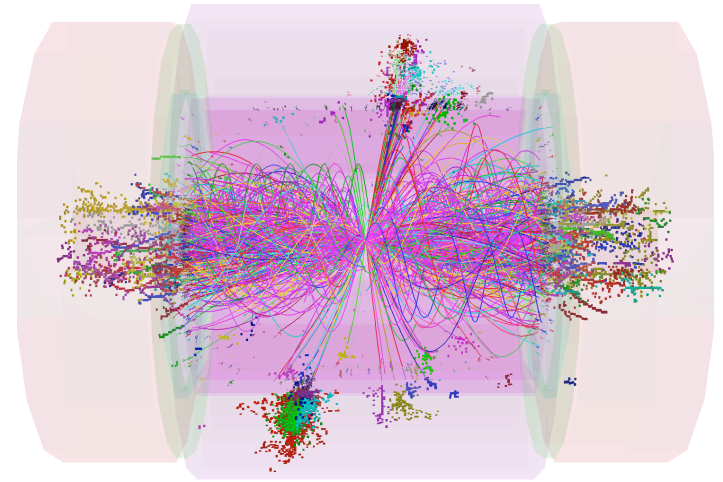


MC predictions for a large-size DHCAL based on the small-size prototype results.

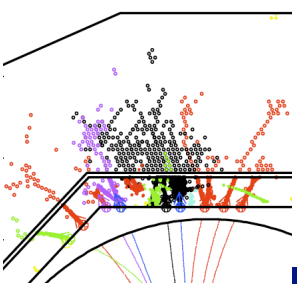
W HCAL tests



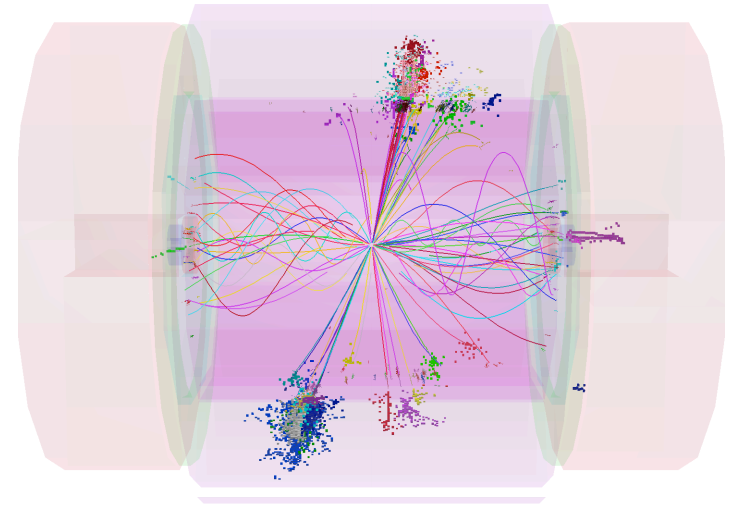
- CLIC study suggests W HCAL
- test simulation of neutron-rich response and time structure
- Test beam in 2010 with 30 W absorber and scint active layers
 - 2011: add 10 layers and tail catcher
- T3B: tiles with picosecond electronics: first results



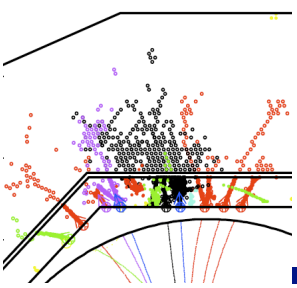
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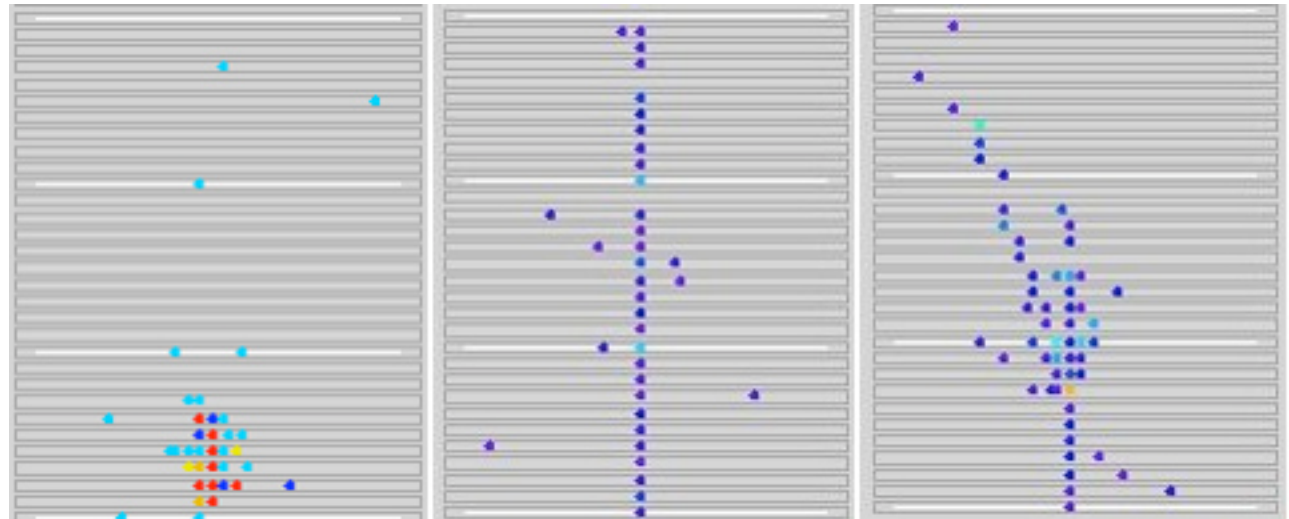
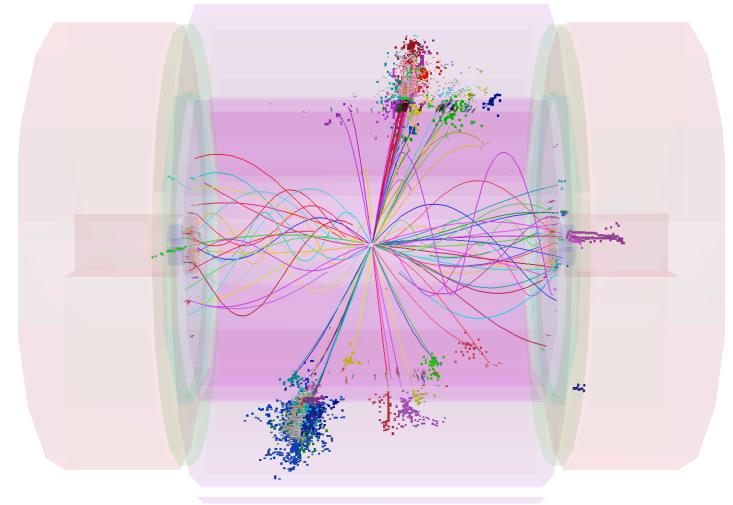
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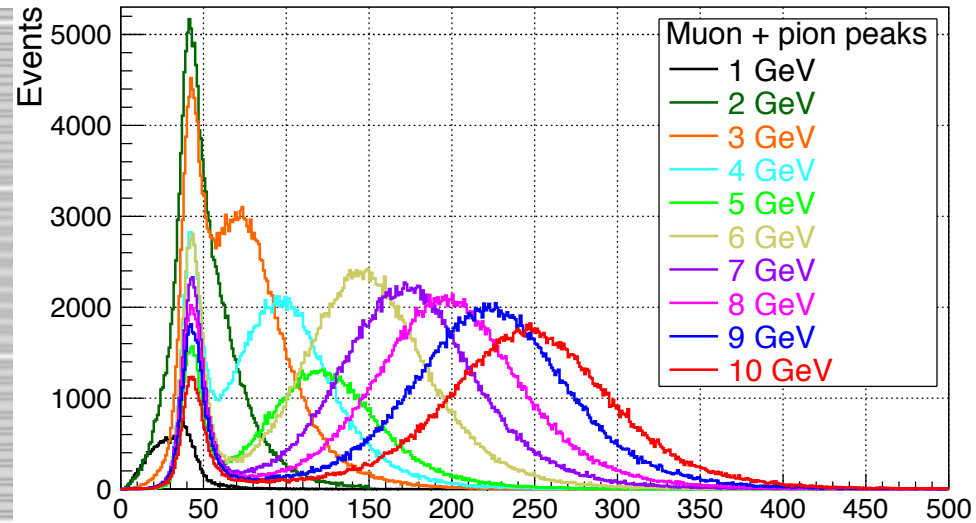
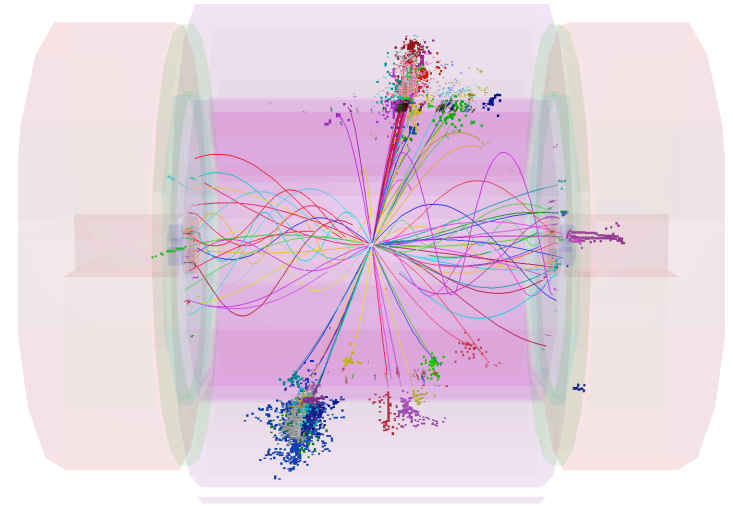


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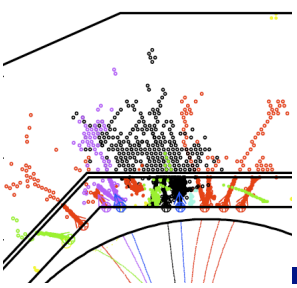


W HCAL tests

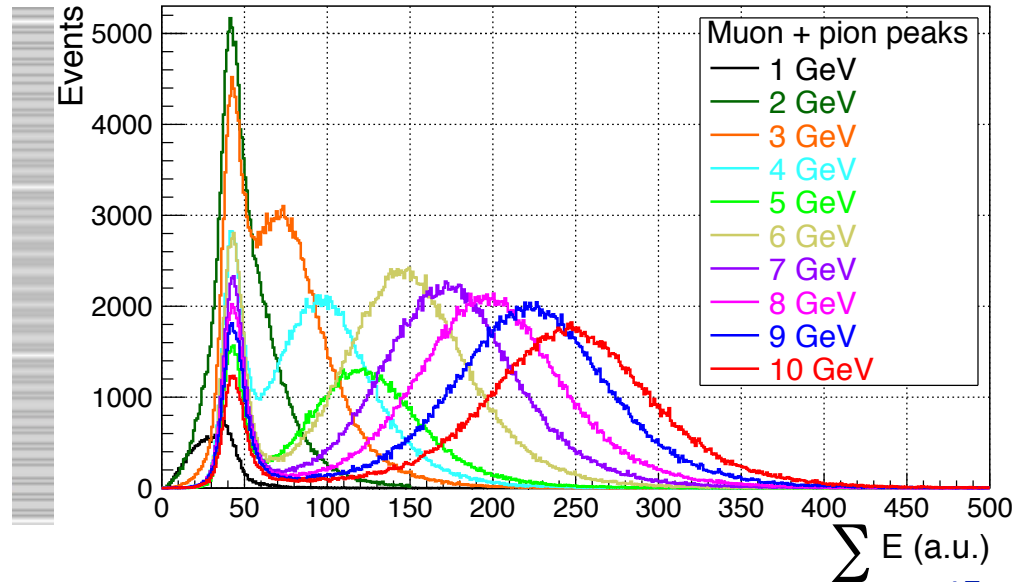
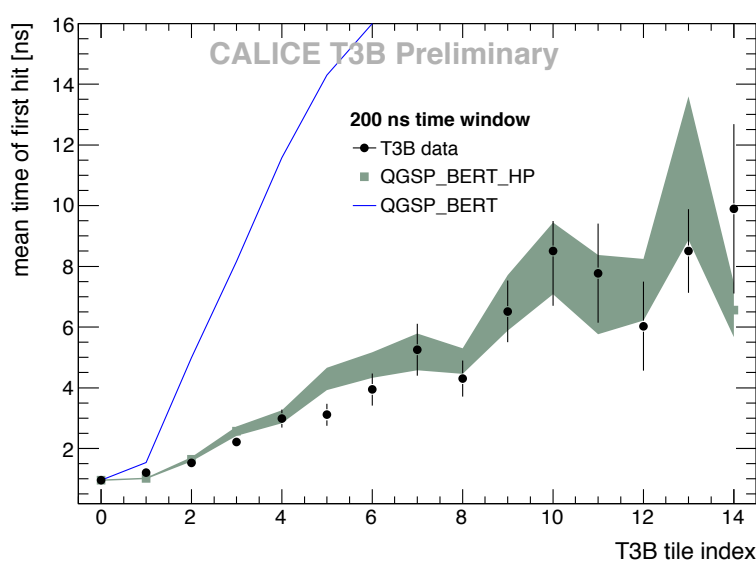
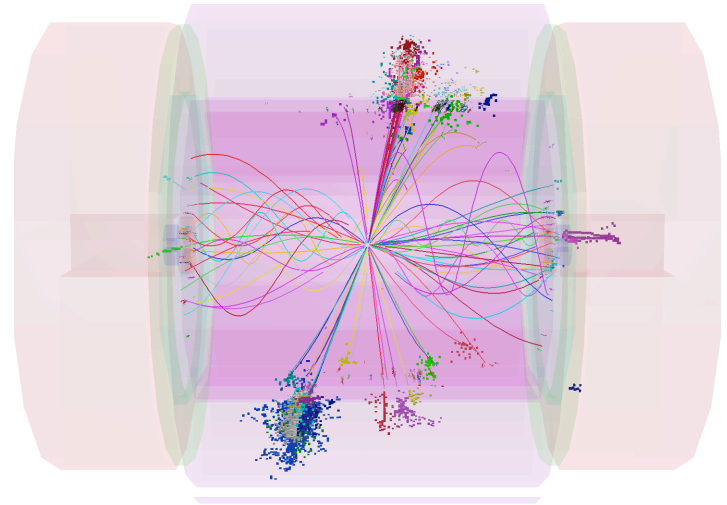
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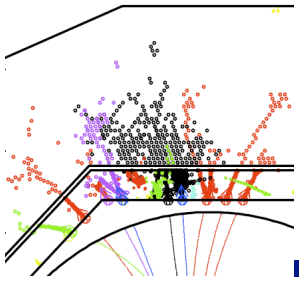


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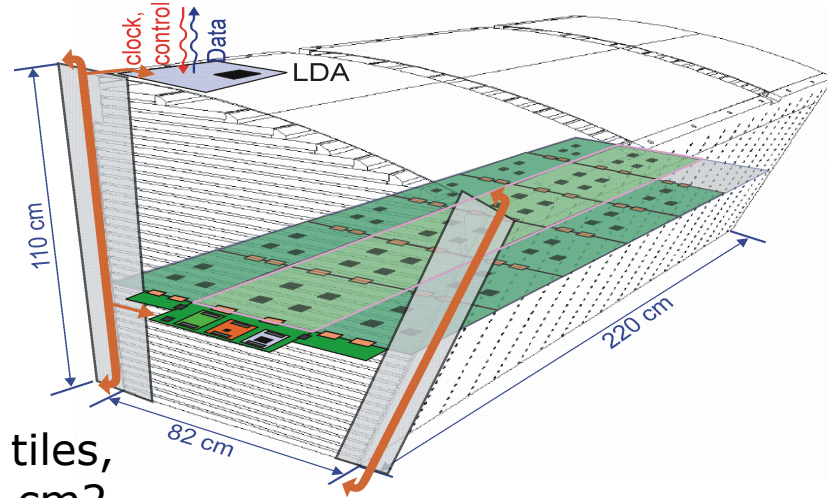
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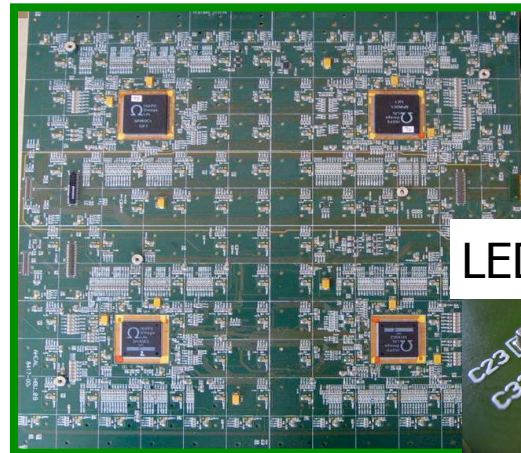
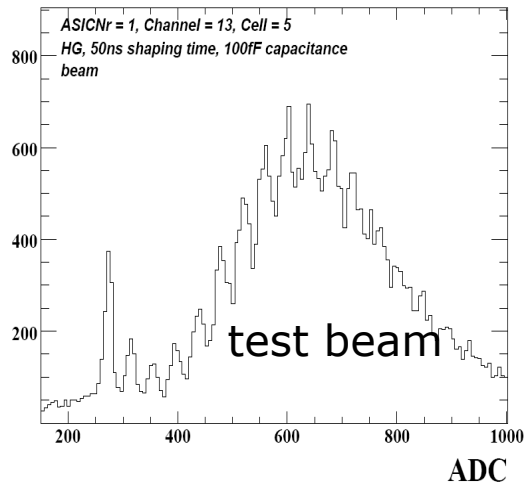


Scint HCAL: 2nd generation

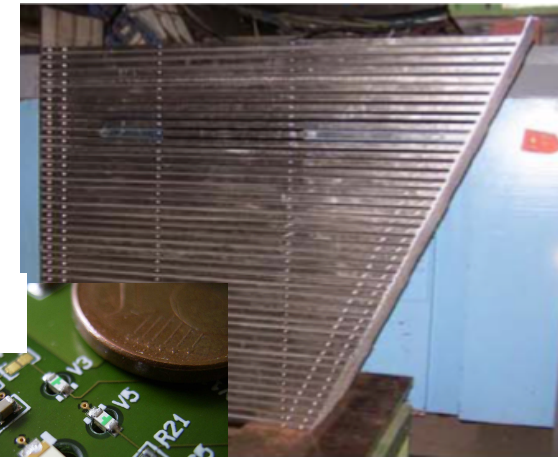
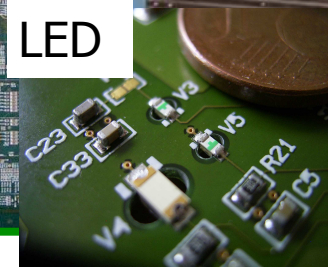
- integrate readout ASICs and LED system
 - include ADCs and **TDCs**
 - power pulsing, zero suppression
- First layers: tested
 - see module on display
- Later: full tungsten HCAL



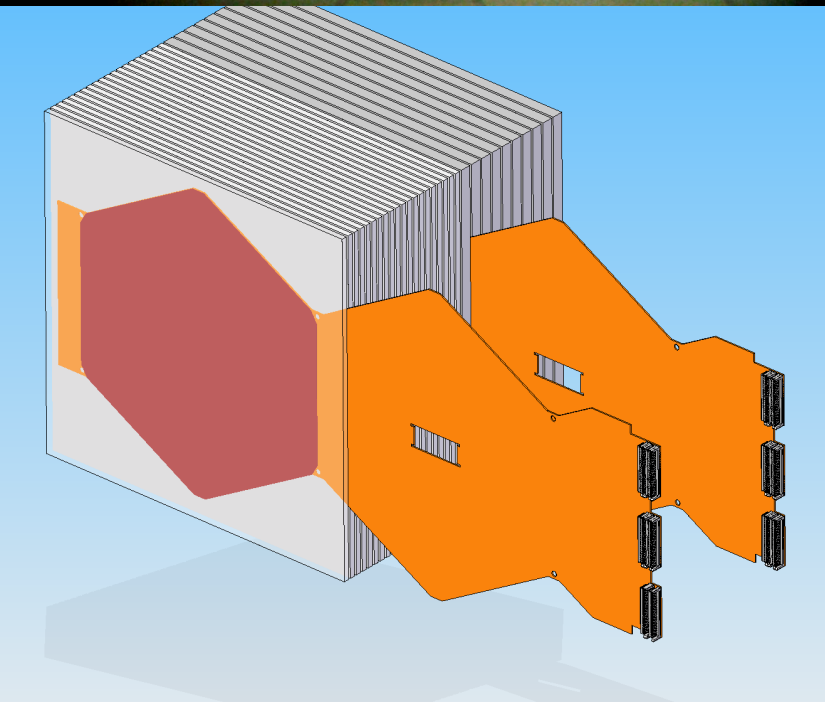
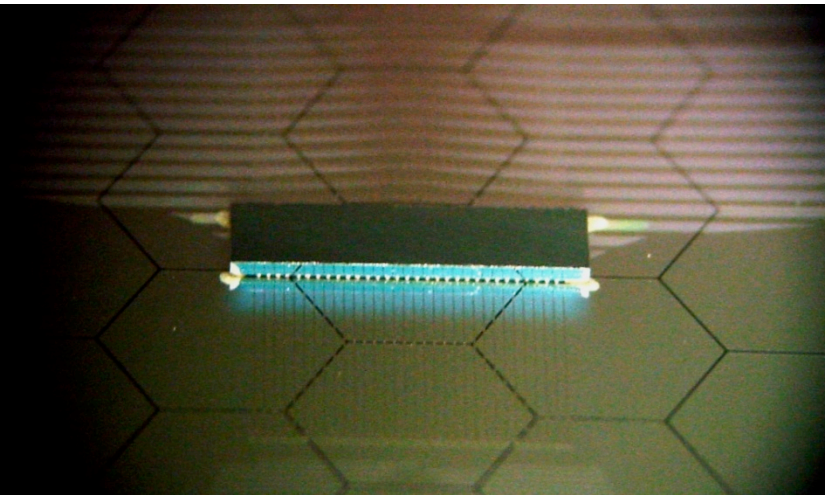
12x12 tiles,
36x36 cm²



LED



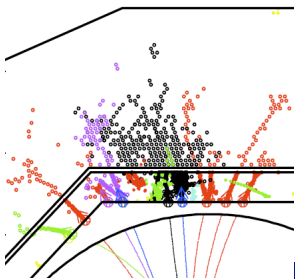
SiD Si W ECAL test beam module



R&D project goal: Produce full-depth (30 layer) module which uses the technologies for a full LC ECal.

- 1024-channel KPiX chips (30)
 - in hand, testing
 - 1024 pixel silicon sensors (30)
 - in hand
 - KPiX bump-bonded to Si sensors
 - in progress
 - Tungsten
 - in hand
- The test module is 15cm x 15cm x 30 layers; 30 short readout cables carrying one digitized data stream
- Should be ready to characterize in a test beam ~ summer 2011

SLAC
Oregon
Davis



Summary on technologies

- a leap in several orders of magnitude in channel count
- new sensor technologies, new integration concepts
 - the latter is part of the feasibility demonstration
- progress towards realism:
 - realistic designs
 - realistic simulations
 - realistic cost
 - realistic proposal



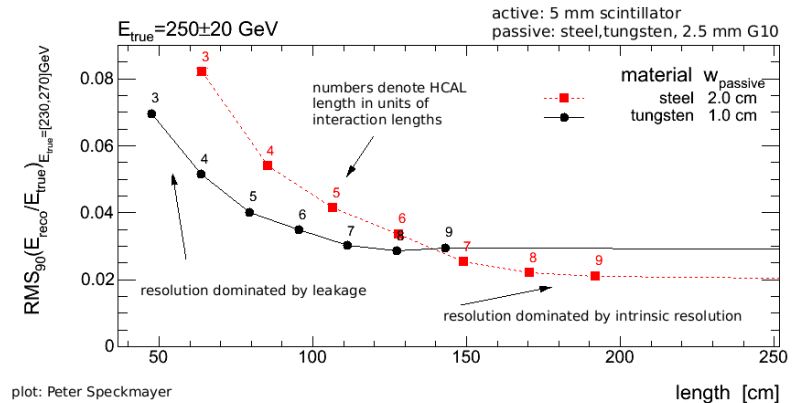
Conclusion

- Silicon has conquered calorimetry and triggered a little revolution
- Particle flow does not solve the inherent problems of hadron calorimeters
- But it holds the promise of providing a highly performant work-around
- Looking forward: Increased test beam activity 2011-12

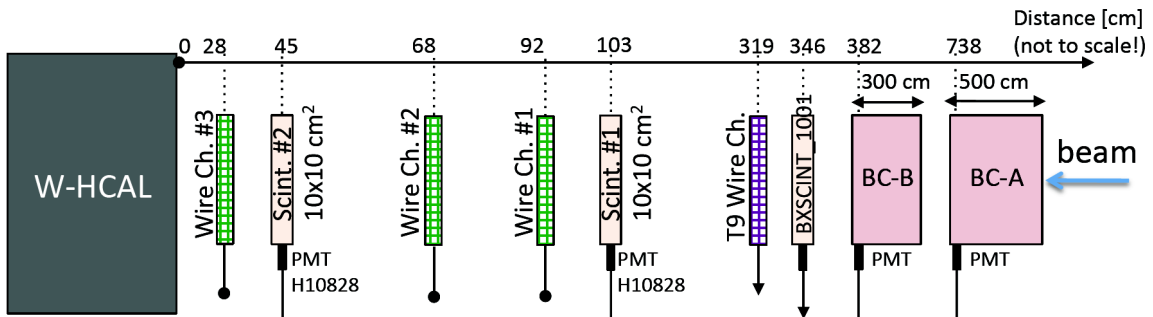
Back-up slides

High energy

- Particle flow also a promising option for CLIC energies
- Leakage expected to limit PFLOW performance
 - need 1λ ECAL + 7λ HCAL
- Tungsten absorber cost-competitive with larger coil - and less risky
- Test beam validation with scintillator and gas detectors
- More neutrons:
 - different model systematics
 - timing measurements



Test Beam Setup



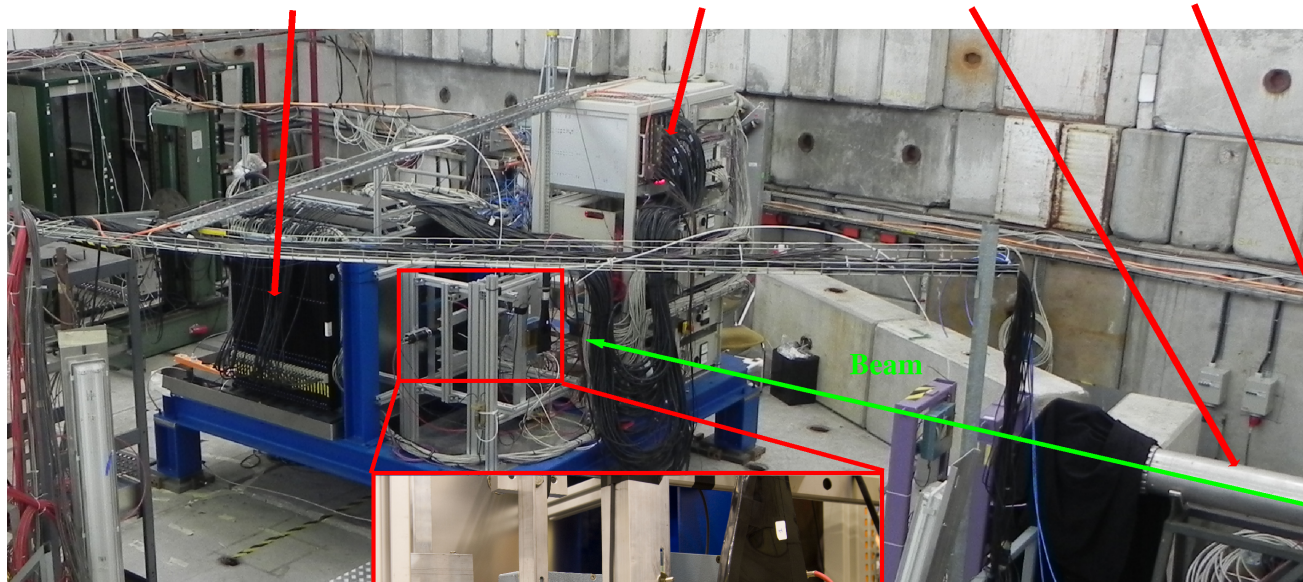
Trigger = coincidence of Scinti #1 and #2

HCAL

DAQ

Cherenkov B

Cherenkov A

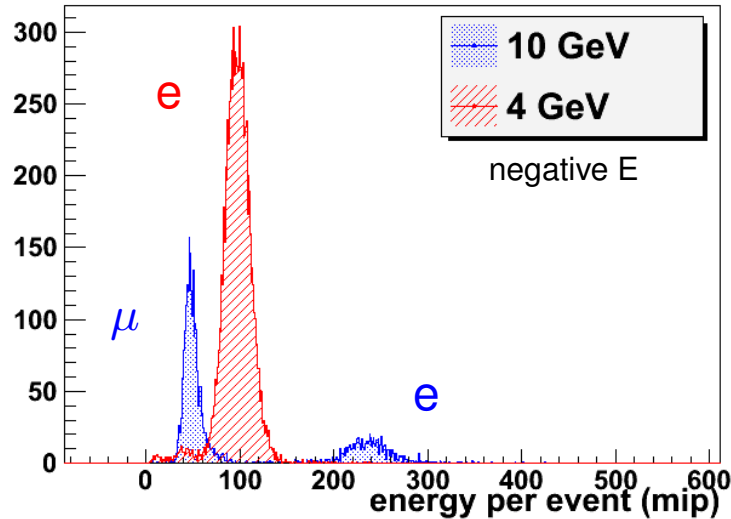


Beam

2 Scintillators

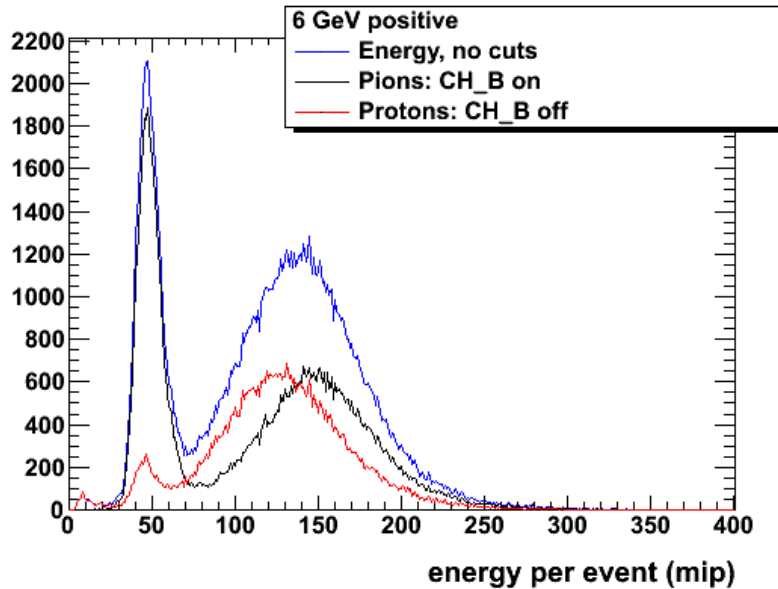
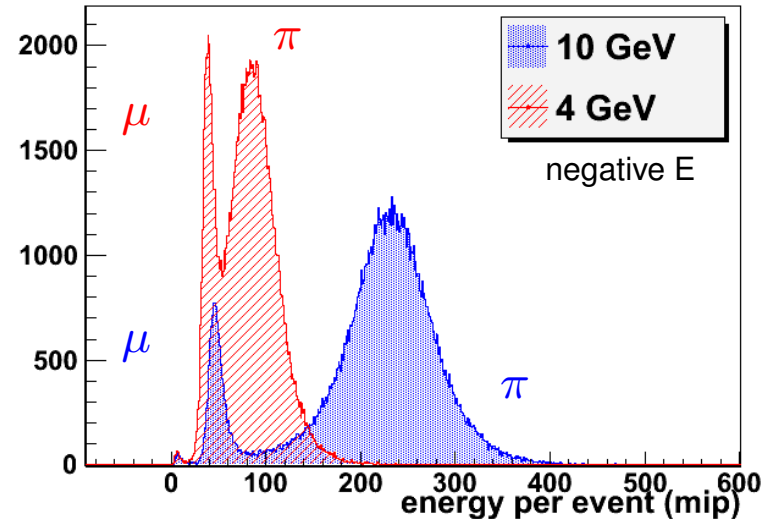
3 Wire Chambers

Cherenkov A ON

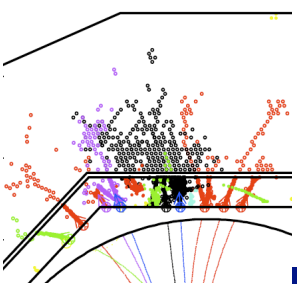


Cherenkov A OFF

ALL PLOTS VERY PRELIMINARY



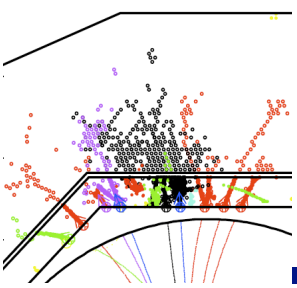
- Ch. A at low pressure (0.2 bar) to ID electrons
- Ch. B at higher pressure (3 bar) to distinguish between pions and protons
- Separation better at higher energy, also efficiency of Cherenkovs better



Em and hadronic response

- The response to the hadronic part of a hadron-induced shower is usually smaller than that to the electromagnetic part
 - Due to the invisible energy
 - Due to short range of spallation nucleons
 - Due to saturation effects for slow, highly ionizing particles
- e: em response, h: hadronic response
- e/n: ratio of response to electron vs pion induced shower
- $$e/n = e / [f_{em} e + (1 - f_{em}) h] = e/h / [1 + f_{em} (e/h - 1)]$$
- Depends on E via $f_{em} \rightarrow$ non-linearity
- Approaches 1 for $e/h \rightarrow 1$ or for $f_{em} \rightarrow 1$ (high energy limit)

Compensation

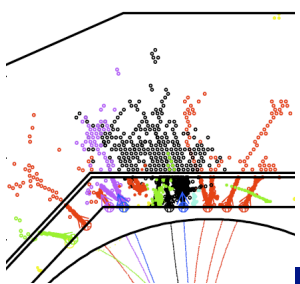


Different strategies, can be combined

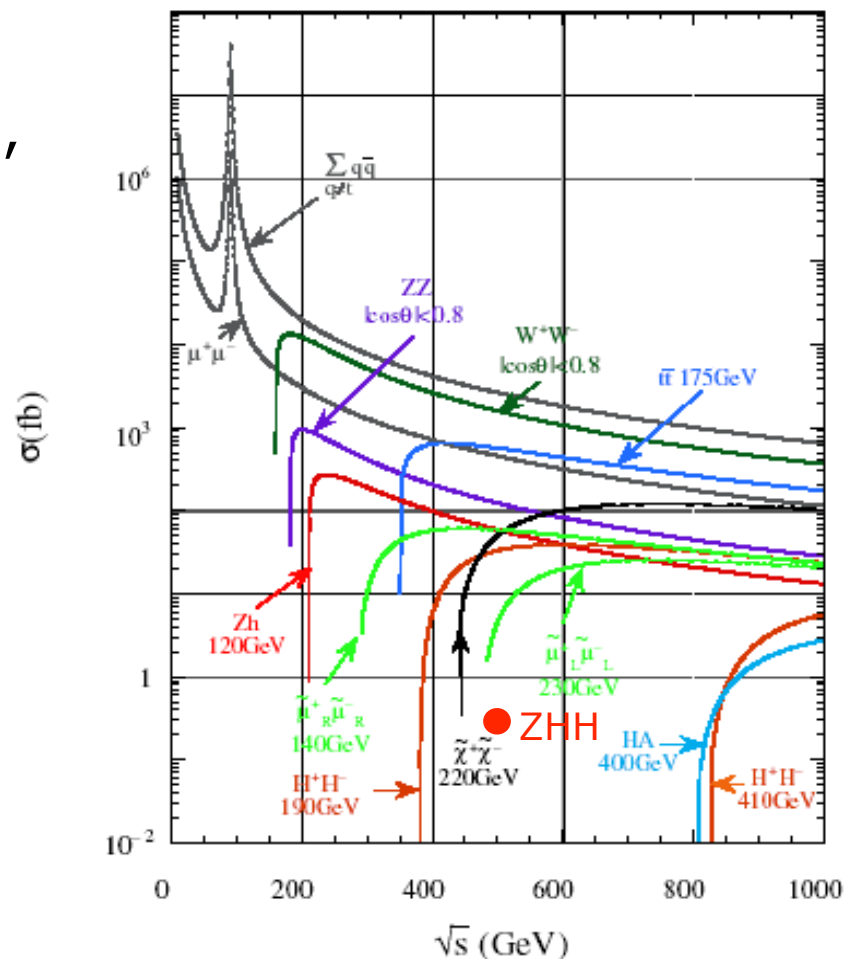
- Hardware compensation
 - Reduce em response
 - High Z, soft photons
 - Increase had response
 - Ionization part
 - Neutron part (correlated with binding energy loss)
 - Tuneable via thickness of hydrogenous detector
 - Example ZEUS: uranium scintillator, 45 % / \sqrt{E}
- Software compensation
 - Identify em hot spots and down-weight
 - Requires high 3D segmentation
 - Example H1, Pb/Fe LAr, $\sim 50\%$ / \sqrt{E}

NB: Do not remove fluctuations in invisible energy

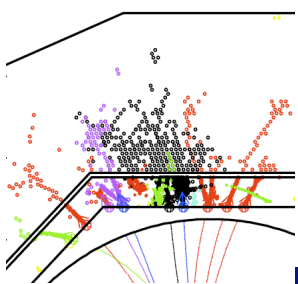
LC jet energies



- Q-Qbar events are boring
- $E_{\text{jet}} = \sqrt{s}/2$ is wrong
- Mostly 4-, 6-fermion final states, $ee \rightarrow ttH \rightarrow 8-10$ jets
- At ILC 500: $E_{\text{jet}} = 50 \dots 150$ GeV
 - Mean pion energy 10 GeV
- At ILC 1 TeV: $E_{\text{jet}} < \sim 300$ GeV
- At CLIC (3 TeV) $< \sim 500$ GeV
- W reconstruction with
 - $\sigma_m/m = 2.5/91$
 - need $\sigma_E/E = 3.8\%$

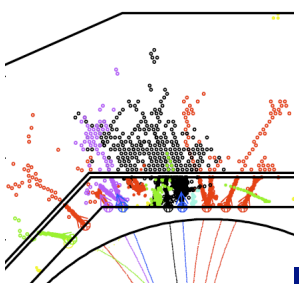


Overall status



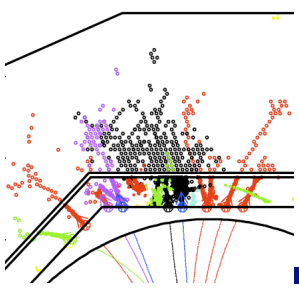
- Major test beam campaigns at DESY, CERN and Fermilab
- 1st generation **“physics” prototypes**
- Mostly combined set-ups
 - ECAL-HCAL-TCMT
- Si W ECAL 2005-08
- Scint W ECAL 2007-09
- Scint Fe HCAL 2006-09
- W HCAL started Sept 2010
- RPC Fe HCAL started Oct 2010
- 2nd generation **“technical” prototypes**: construction and commissioning ongoing, single or few layers available
 - Scint, RPCs, GEMs, MicroMEGAS
- Complete detectors to start with RPC-Fe HCAL June 2011
- ECAL, Scint Fe HCAL later





ILC detector concepts

- PFLOW involves entire detector, not just calorimetry
- ILD: TPC for highest pattern recognition efficiency
- $B=3.5T$
- ECAL and HCAL inside (CMS-like) solenoid
- Highly segmented and compact calorimeters
- 2nd PFLOW-based concept: SiD, higher B , smaller R , Si tracker, same calorimeter



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