



The Upgrade of the Inner Tracker of the ATLAS experiment for the High-Luminosity LHC

Joint Instrumentation Seminar 04.12.2020

Susanne Kuehn, CERN

INSTRUMENTATION SEMINAR

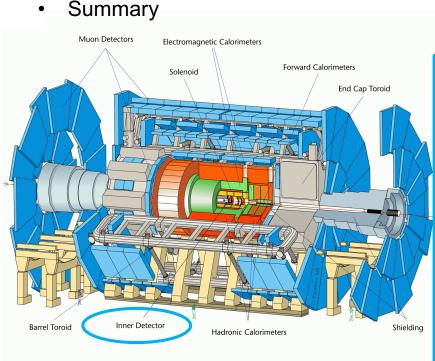
Joint Instrumentation Seminar

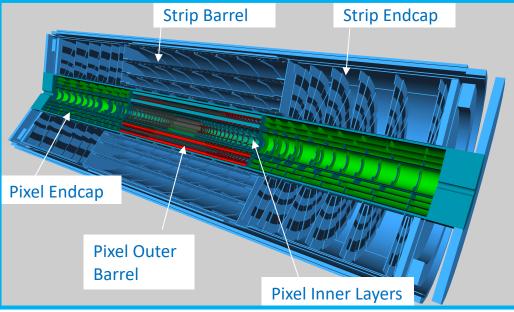


Overview



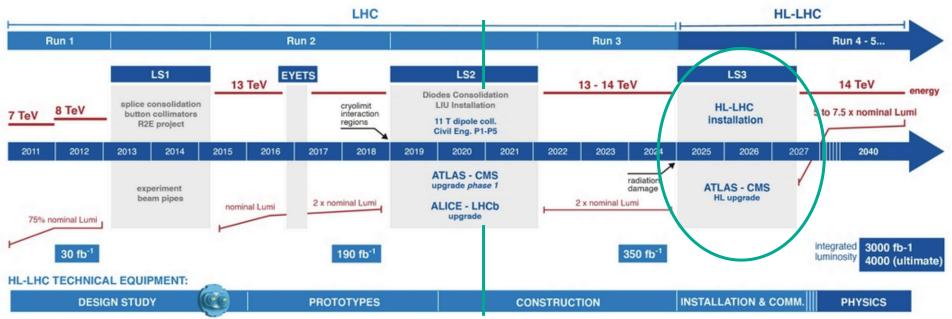
- The Phase-II Upgrade of the Large Hadron Collider and of the inner tracking detector of the ATLAS experiment
- Motivation and layout of the Inner Tracker (ITk) detector
- Concept, technology choices and results of prototyping of
 - the strip detector
 - the pixel detector





Phase-II Upgrade of the Large Hadron Collider





https://hilumilhc.web.cern.ch/

From LHC to HL-LHC

Proton-proton collisions with up to 14 TeV at higher intensity:

Instantaneous nominal luminosity $x5-7.5 \rightarrow$ Increased particle densities Integrated luminosity $x10 \rightarrow$ Increased radiation damage

 \rightarrow Increase of overlapping proton-proton events (pile-up) from $<\mu>\sim 50$ now to $<\mu>\sim 200$

Upgrade Physics Goals



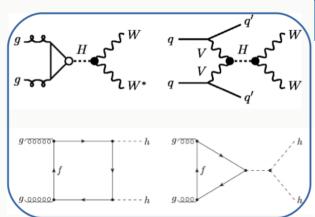
- More precise measurements of Higgs boson couplings
- Di-Higgs boson production
- Study of Higgs boson self coupling
- Vector Boson Scattering and other precision SM measurements
 - VBS cross section

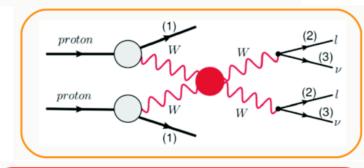
Search for New Physics

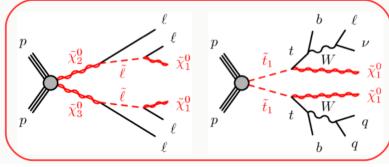
- Mass reach for new particle searches extends significantly, e.g. for stops to 1.2 TeV
- Direct production of staus, stops, EW gauginos

Many challenges for reconstruction:

- High multiplicity events and highly boosted jets require improved granularity and resolution
- VBS/VBF forward jets: forward tracker for pile-up rejection by jet-vertex association
- Rare events: improve in coverage and reconstruction efficiency







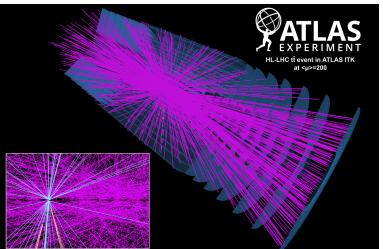


Tracking detector for the ATLAS experiment

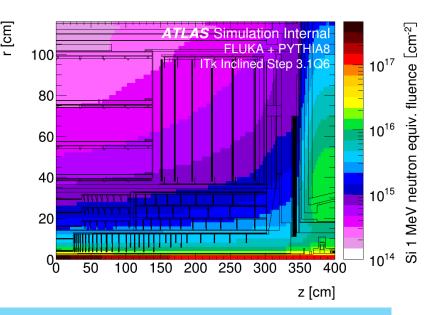


Requirements for the tracking detector

- Luminosity of up to 7.5*10³⁴ cm⁻²s⁻¹
- On average 200 interactions per bunch crossing: keep occupancy at 1% level with highly granular detector
- High particle fluences
 - up to 1.3*10¹⁶ n_{eq}/cm² and 900 MRad for the pixel detector
 - up to 1.6*10¹⁵ n_{eq}/cm² and 70 MRad for the strip detector
- Low material budget
- Fast and reliable readout
- High charge collection efficiency
- High vertex and track position resolution



Simulated event with ttbar events and average pile-up of 200 collisions per bunch crossing



→ For Phase-II upgrade: new all-silicon tracker for the ATLAS experiment

Layout of the new Inner Tracker (ITk)

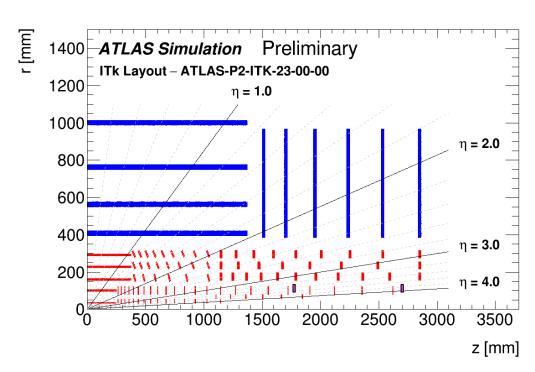


Silicon strip and pixel detector in 2 T magnetic field:

PLOT-ITKD-2020-02

- 4 central strip layers and two endcaps with 6 disks each
 - n-in-p float zone sensors
 - ~18 k modules and ~234 k ASICs
 - ~ 60 million channels
 - Up to 640 Mbps per module
 - Total area about 165 m²
- 5 pixel layers in the central and forward sections
 - 3D and planar sensors
 - ~8.5 k hybrid pixel modules and
 ~34 k front-end chips
 - ~5 billion pixels
 - Up to 4x 1.28 Gbps per front-end chip
 - Total area about 13 m²
 - Inner two layers replaceable

Cooling with CO₂



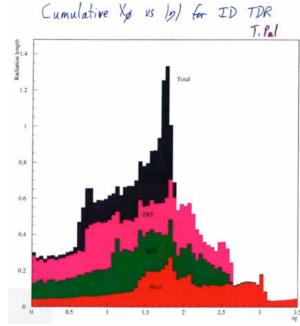
Technical Design Reports: Strip detector CERN-LHCC-2017-005 Pixel detector CERN-LHCC-2017-021

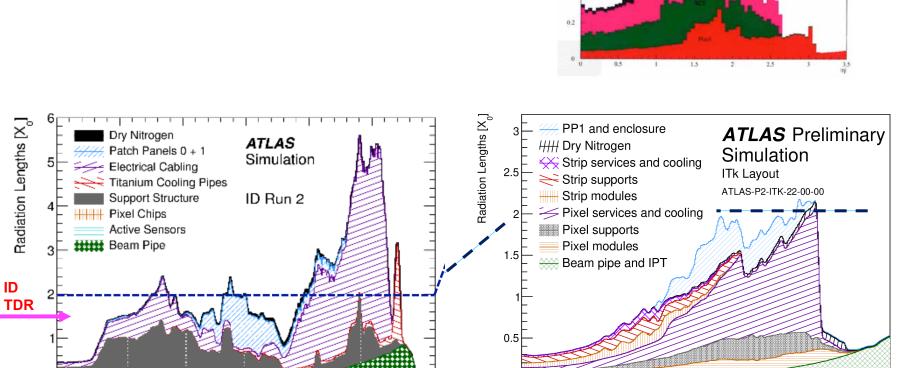
Material estimate for ITk



- CO₂ cooling with thin titanium pipes
- Thin silicon sensors
- Advanced powering: serial powering for pixels, DC-DC converters for strips
- Carbon structures for mechanical stability and mounting

3







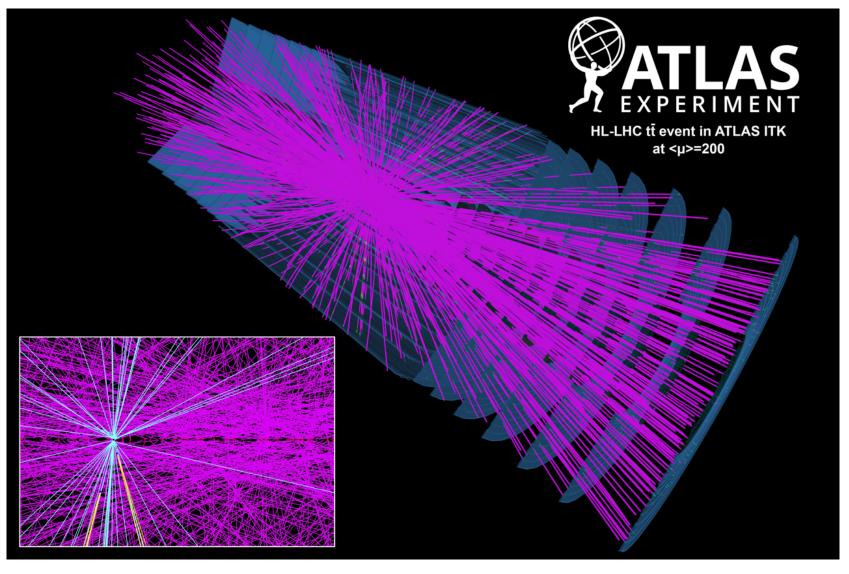
ATL-COM-UPGRADE-2016-042

0.5

PLOT-ITKD-2019-01

Towards the realisation of the system



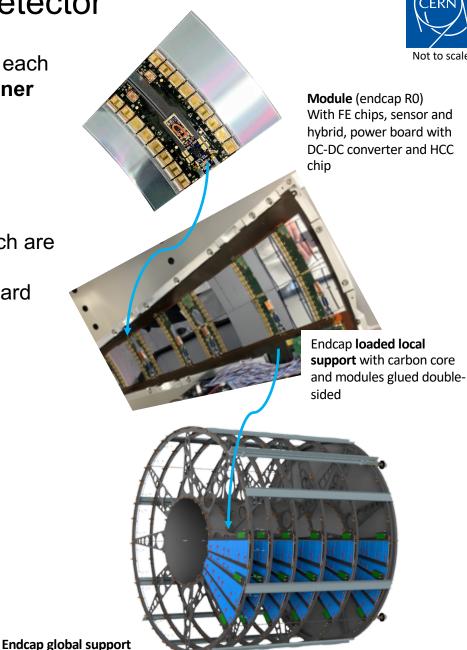


The concept of the ITk Strip Detector

4 barrel cylinders and 2 endcaps with 6 disks each

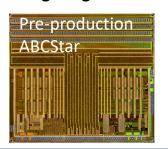
- Large strip system compared to current Inner Detector
 - ~10x channels
 - ~3x size
 - ~5x modules
- Concept of modularity of components which are designed for manufacturability and mass production from the beginning (industry standard design rules, simplified construction,...)
 - Assembly and testing at multiple sites
 - Simplifies final assembly
 - Earlier test of full system

One endcap will be assembled at DESY
Team heavily involved in described strip activities

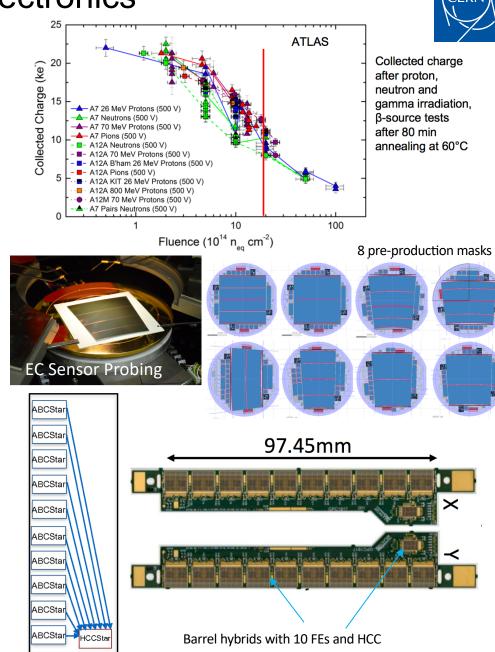


Strip sensors and readout electronics

- n-in-p float-zone sensors with p-stop isolation and ~320 µm thickness
- Strip length 8-50 mm depending on region
- All sensors received from HPK for preproduction
- QA and QC methods exercised at production sites, e.g. bow verified and for prototypes within specifications
- Few sites already qualified for production
- Binary readout chip (130 nm CMOS)
 ABCStar with 256 channels, operated at 1.5 V
- Pre-production of ABCStar chips being tested with better single-event effects (SEE) tolerance
- Design of hybrid controller chip (HCC) technically challenging, optimization ongoing to resolve unexpected SEEs







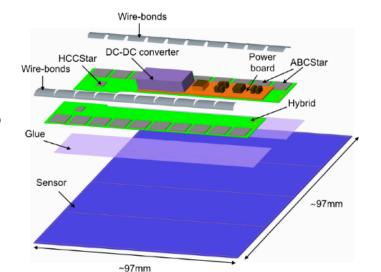
Strip modules

- Several modules assembled and evaluated before and after irradiation to HL-LHC fluences
- During module assembly, the hybrids and power boards are glued directly to the sensor
 - Tooling finalized for mass production
- Module production site qualification about to start
- Some EC modules show higher noise → test with a new hybrid design and test on local supports

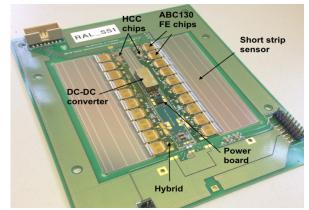


R3-EC module







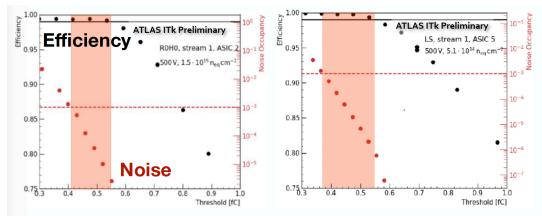




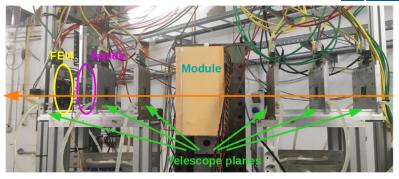
Module performance in beam test and irradiation

CERN

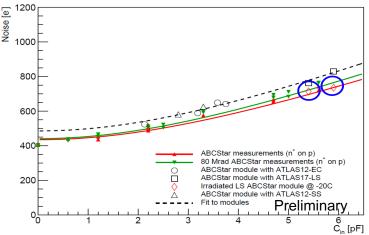
- Several successful test beam campaigns at DESY-II test beam facility of non-irradiated and irradiated star modules: Built with annealed sensors irradiated to max. expected fluence and Xray irradiated hybrids
- Results show clear operating windows meeting >99% efficiency, <0.1% noise occupancy requirement



 Measured signal-to-noise ratio values above required value of 10 for all evaluated modules at foreseen bias voltages



S. Wonsak, VERTEX 2019



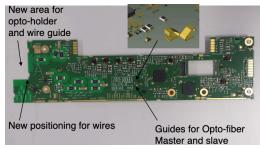
Noise comparison of ABCStar chips at different conditions (e.g. single chip tests, module tests) well understood and in agreement with expectations

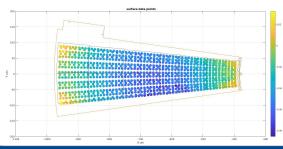
Further testbeams with irradiated pre-production modules foreseen

Strip local supports

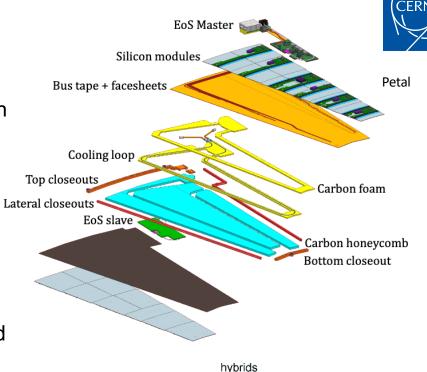
- Carbon-fibre composite structures with cocured copper bus tapes have modules glued on top of both sides with a stereo angle between both sides
- In central region (barrel): staves with 14 modules on each side
 - 392 staves in total
- In endcaps: petals with 9 modules on each side
 - 384 petals in both endcaps
- End-of structure cards service the electrical to optical transmission (IpGBT and VTRx+ links) and to the outside world: production and tests with optimized design ongoing

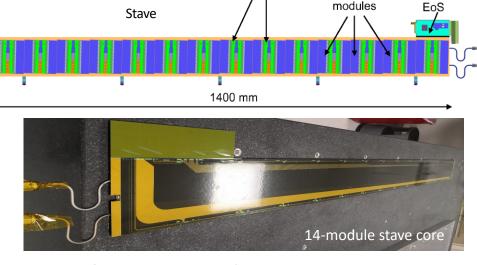
Design, verification and test @ DESY





Petal with local flatness: $19 - 41 \mu m$ Global flatness: $93=116 \mu m$





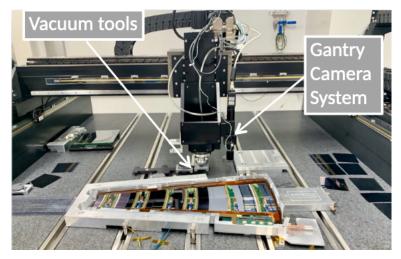
Good thermal performance measured

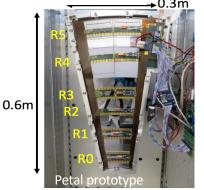
04.12.2020

System test of loaded local supports

- CERN
- Various prototypes have been fabricated and tested for both stave and petal variants
- Loading of modules with gantry systems

Electrical test of short strip stave with 5 modules





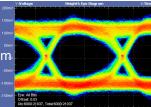
Electrical tests of petal indicate good performance, some excess noise seen caused by grounding issue



Barrel 5 Short Strip Module Stave

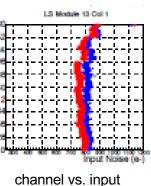
Noise as low as in single modules 320MBit 640MBit (800MHz probe)





Electrical tests
after cool
down to -30°C
showed higher
noise →
solved by
removal of ACground

reference



noise in electrons

Demonstrated multi-drop command/clock between HCCs/lpGBT and modules on stave have excellent performance

Integration of the strip detector

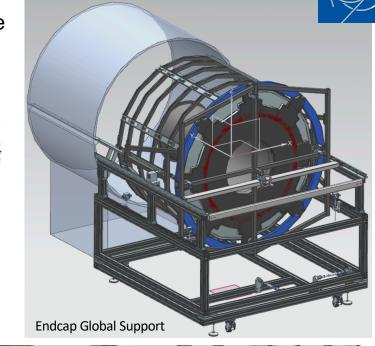
Loaded local support structures (staves and petals) are end-insertable including cooling and cabling

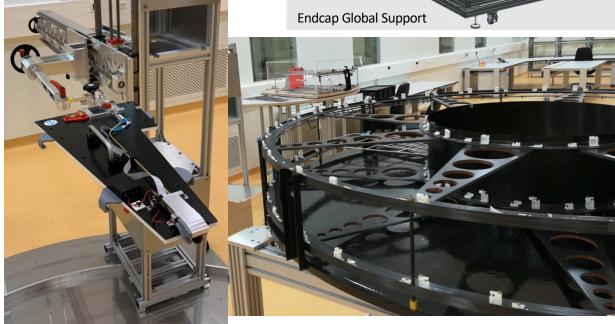
 For barrel: carbon cylinders for each layer in which staves are inserted. Tests with mock-ups ongoing

> Barrel Global Support

 For endcaps: carbon wheels with blades for each disk mounted in endcap structure

Tests with mock-ups progressing well

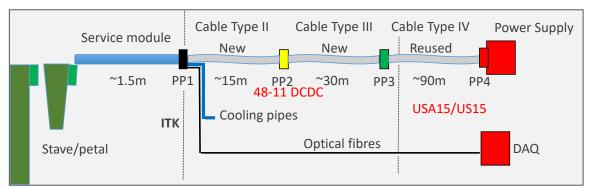




Services of the strip detector



Full chain defined and services purchased for larger system tests



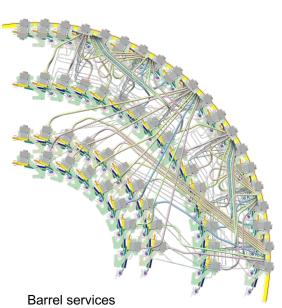


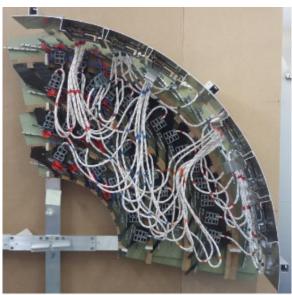


Type-1 Cable Connectors

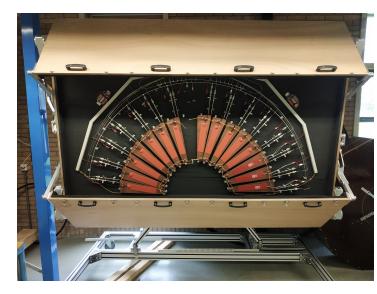
Type-3 cables

Services on the detector sorted in service modules



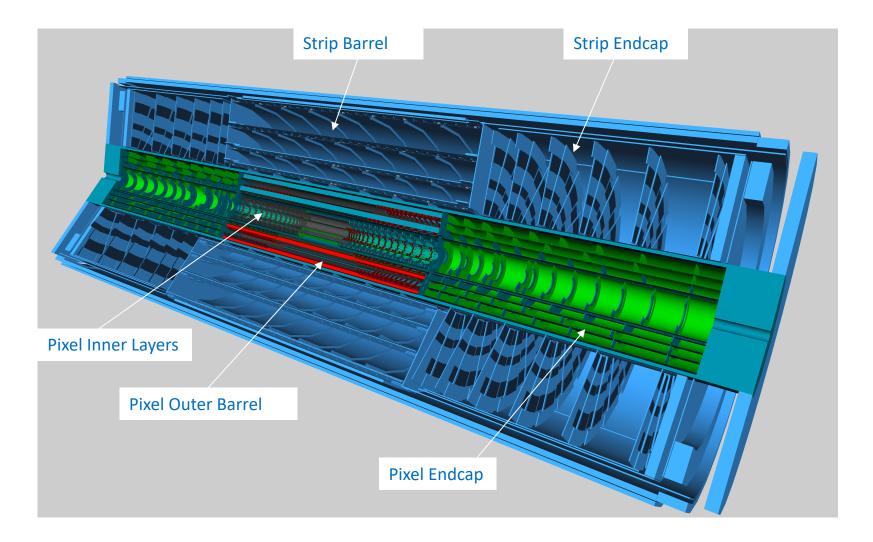


Mockup of services

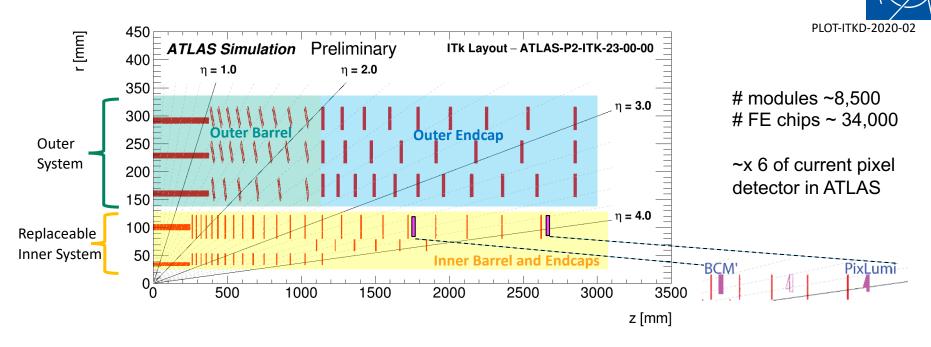


EC cooling manifold ready for testing in CO₂ plant at CERN





The layout of the ITk Pixel detector



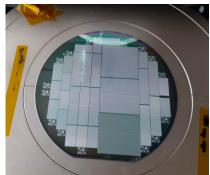
- Different sensors types and technologies depending on distance from interaction point
 - 3D-sensors in triplet assemblies (Layer 0), planar with 100 μm (L1), planar sensors with 150 μm thickness (L2, L3, L4)
 - Pixel size 50x50 μm² (L1-L4, rings of L0), 25x100 μm² (flat part of L0)
- Luminosity monitoring and beam abort modules recently added
- Fast readout with max. 1 MHz trigger rate
- Fast data transmission with up to six links of 1.28 Gbps (electrical) per lpGBT and VTRx+ link (optical) to FELIX readout
- Reduction of material by deploying serial powering and CO₂ cooling

Planar pixel sensors and 3D pixel sensors

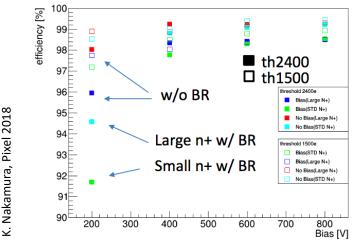
CERN

Thin n-in-p planar sensors

- Dies of 4x4 cm²
- 100/150 µm thick
- Bias voltage up to 600 V (at end of life-time)
- Signal: ~10000 e⁻ (~6000 e⁻ after HL-LHC dose)
- Market survey completed

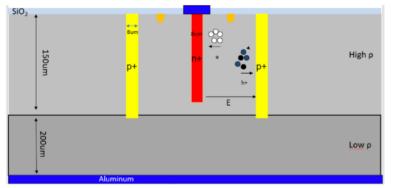


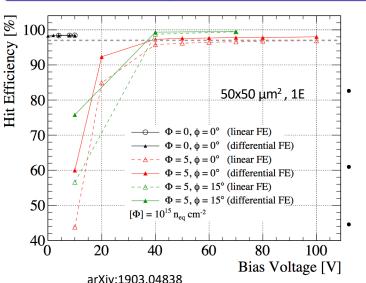
Test beam result for $50x50 \mu m^2$ planar module irradiated with 70 MeV protons to $3*10^{15} n_{ed}/cm^2$

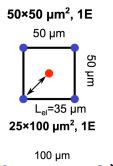


3D sensors

- For innermost layer: 1.3×10¹⁶ n_{eq}/cm² for 2000 fb⁻¹
- Dies of 2x2 cm², 150 μm thickness + 100-200 μm support wafer
- Pixel size of 25x100 µm² challenging for radiation hardness and only in part of L0 foreseen









L_{el}=52 µm

- >97% efficiency at perpendicular track incidence
- Power consumption at the operational voltage: <10 mW/cm²
- Maximum operational voltage: 250 V

New pixel front-end chip: RD53 – ITkPixV1/2



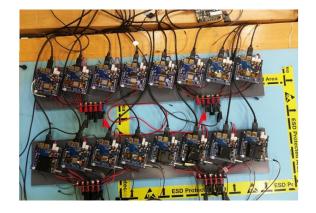
RD53 Collaboration: joint R&D of ATLAS and CMS ASIC: 65 nm with TSMC

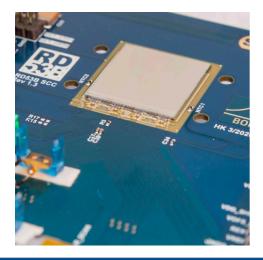
www.rd53.cern.ch CERN-RD53-PUB-17-001

- 4 data lines at 1.28 Gbps
- Low threshold ~600 e⁻
- Integrated shuntLDO
- Design power 0.7 W/cm², up to 8 A supply current for four-chip module
- Radiation hardness up to 500 MRads
- 154k pixels per chip, expecting up to 250 hits/chip/bunch crossing, 500 bc buffer

RD53A FE prototype (full width/half depth chip with 3 analogue FE) heavily investigated: Many results collected, show comparable performance within specifications

- Wafer probing set up
- · Two readout systems for testing available
- Radiation damage depends on dose rate
- Proof-of-principle of operation in serial powering chain shown



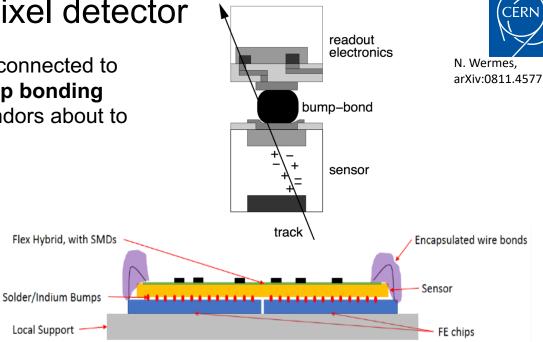


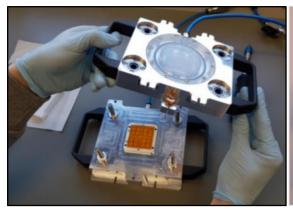
ATLAS ITkPixV1 FE prototype

- Differential FE of RD53A FE plus few design changes e.g. under current and over voltage protection
- ATLAS chip submitted March 2020 and received June 2020
- All the tested functionalities are working as expected
- High digital current because of an issue in the ToT latches
- Refined version in preparation

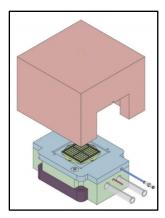
Module concept for the pixel detector

- Sensor and front-end electronics connected to bare module with high density bump bonding (market survey to qualify several vendors about to fininsh)
- Modules assembly of single chip, two chips (duals) and four chips (quads) to one sensor and flex circuit boards
- Irradiation study of glues and encapsulation materials









Assembly tooling

Prototype module in carrier for testing and transport

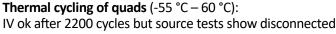
Jig for electrical tests

- → Production of four-chip modules ongoing with RD53A chips with common tooling
- → Systematic evaluation of performance and production flow

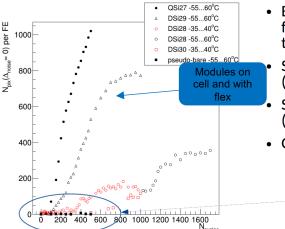
Challenges for pixel modules

Disconnected bumps after thermal cycling of modules mainly caused by stress from copper in hybrid

- Linear and bump geometries studied in FEA models to analyze thermal stress
- Models predict number of cycles to failure like observed failures in FE-I4 modules
- Models predict: Survival of 120 thermal cycles for -55°C to 60°C and 4000 for -45°C to 40°C before failure compare to specification of 400 cycles
- Parylene coating of the module has a beneficial effect
- More studies ongoing



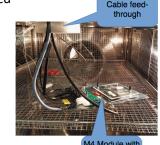
bumps after O(20) cycles (J. Grosse-Knetter)



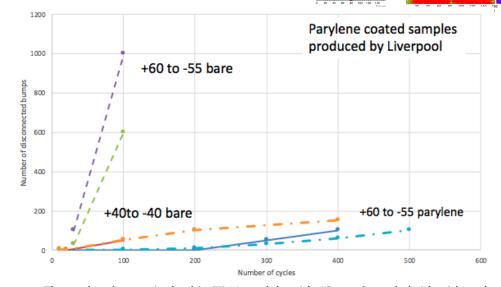
- Black/red: full/smaller temperature range
- Solid circles: QC (x1/4)
- Solid squ.: bare (x1/2)

• Open sym.: DC-mod.

Pseudo bare module added







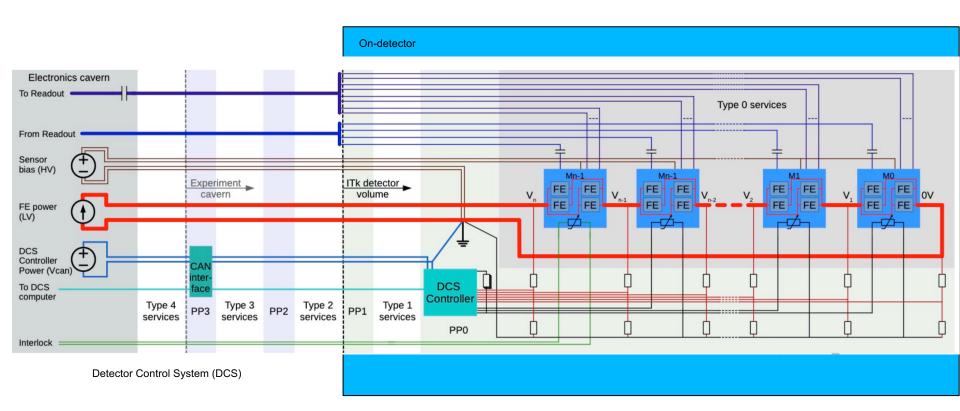
Thermal cycles on single chip FE-I4 module with 48 μm Cu on hybrid, with and without parylene coating (R. Plackett, L. Cunningham)

Powering of the pixel detector: serial powering

- Powering modules serially with chains of up to 16 quad modules
 - → Reduced number of supply lines, less material
 - → Less power dissipation on services than with parallel powering
 - → Radiation hard on-chip shuntLDO allows regulation of voltage on chip
- Several HV lines per chain (at least 2 per SP-chain foreseen)
- Each module on different potential → AC coupling of data lines

Serial powering: T. Stockmanns et al., NIM A511 (2003) 174-179 D. Bao Ta et al., NIM A557 (2006) 445-459

L. Gonella et al., JINST 5 (2010) C12002



The voltage drop on every module and the temperature on every module is monitored through an independent readout path with a monitoring chip

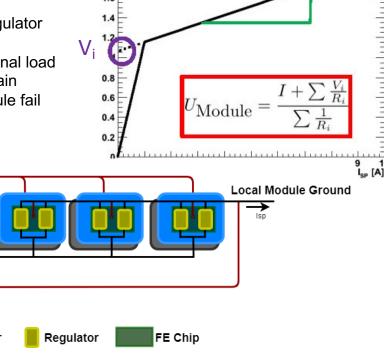
Powering of the pixel detector: serial powering

CERN

Constant current supplied and parallel distributed on one module to all front-ends

- 6-8 regulators in parallel operated: slope and offset of regulator determines module's IV-curve
- Input current to shuntLDO regulators can exceed the nominal load current by a factor of ~2 (shunt capability) → Powering chain preserved even if one or two FE chips on a four-chip module fail open → But impact on thermal performance of the module

Local Module Ground



 R_{i}

Sensor Regulator FE of With planar sensors and 4 FEs

Modules with three 3D sensors

About 1000 SP-chains, will validate the SP-chain concept up to a length of 16 modules

High Voltage

Low Voltage

- Challenging to optimize the choice of shuntLDO configuration in order to minimize total power dissipation while meeting all constraints (like same shuntLDO configuration for all quad modules)
- Total power consumption (112 kW) within cooling budget
- Regulators/periphery is warmest area inside FE, about 40-45% of power in periphery (10% of FE area) → Taken into account in detector design

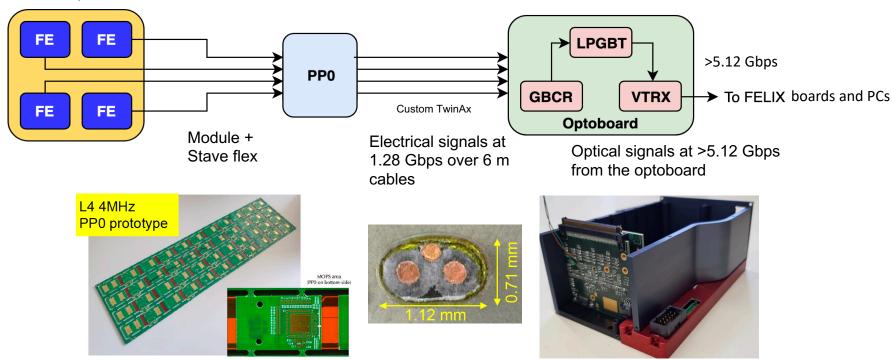
Low Voltage

High Voltage

Readout of the pixel detector: data transmission



- On-detector: kapton/copper flexes → Patch panel 0 → Twin-axial cables → Giga bit transmitter recovery chip (GBCR) → IpGBT and VTRx+ for aggregation → Optical fibres to readout PCs with FELIX readout boards
- Uplink sharing for all layers to reduce material (320 Mbps inter chip data transmission on the modules)

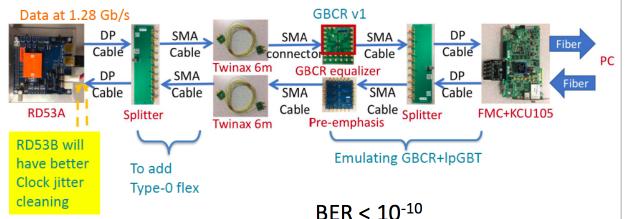


- Losses to be kept below 20 dB for FE-chip and GBCR including connectors, flexes and cable
- Studies for verification and prototyping heavily ongoing

Verification of data transmission

CERN

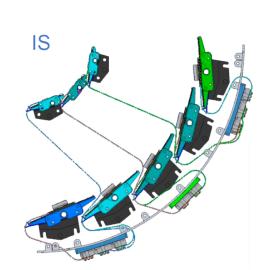
- Developing system test with all elements
- Tested transmission chain with 1.28 Gpbs data rates using GBCRv1, which was optimized for 5.12 Gbps

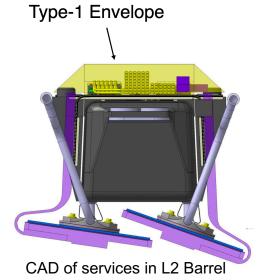


Eye diagram over full chain:

- RD53 CDR + Flex + TwinAx + GBCR with pre-emphasis
- Jitter: ~50 ps, Eye opening: 250 mV

 Further challenge: routing of services since there are many and space is limited between layers





CAD of services in L0

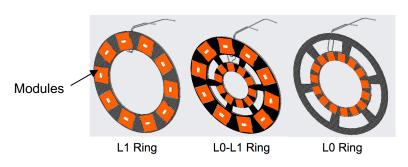
Pixel local support components I

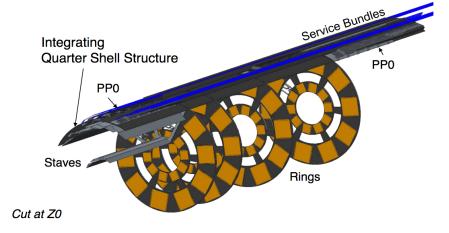


Principle: Structures from carbon foam with carbon fibre co-cured and modules attached

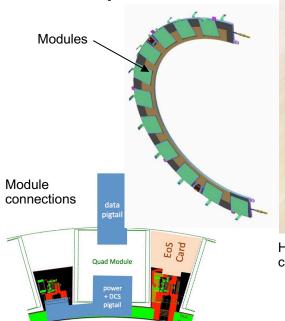
Not to scale

Inner system



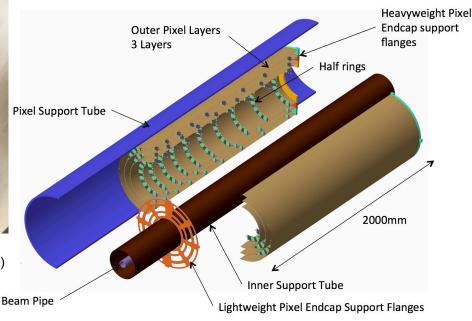


Endcaps





Half-ring with embedded cooling pipe (produced in UK)



Pixel local support components II

Outer barrel layers

Module

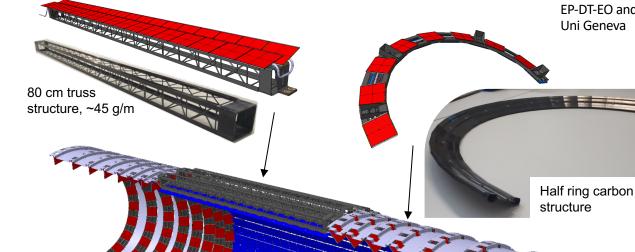
Positioning pins

Principle: Structures from carbon-fibre composites with modules attached



Not to scale

Produced in EP-DT-EO and Uni Geneva



Functional longerons and inclined units with half rings

Half layer with inclined units and longerons (services not shown)

Single and quad modules

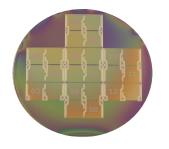
Cooling block

- Layout differs in detector areas: varying serial powering chain lengths and varying mechanical solutions to achieve high thermal and electrical performance for stable and safe operation
- → Validation in different prototypes

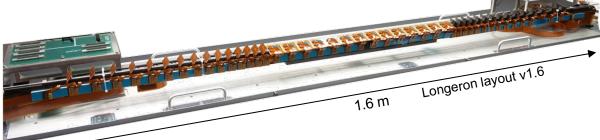
Results of thermal prototypes and simulations



For outer barrel: longeron with 44 silicon heaters with embedded RTDs evaluated



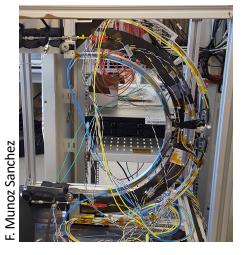




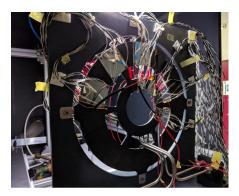
Wafer of heaters

Quad heater with flex

 For endcap: half-ring with temp. sensors on silicon

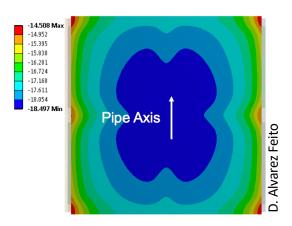


For inner: ring with temp. sensors on silicon



- CO₂ cooling at -10°C
- Heaters powered in steps from 0.1 to 0.7 W/cm²

Thermal FEA simulation

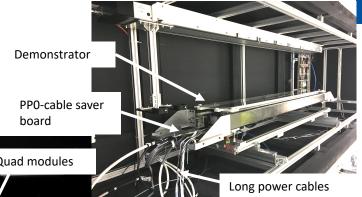


Example: sensor temperature in outer barrel flat section

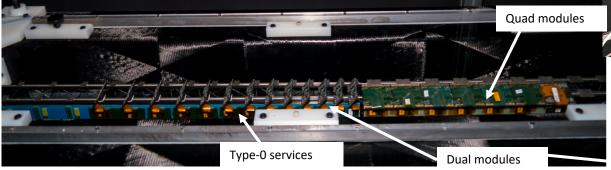
- → Evaluation of thermal performance and manufacturing variability ongoing
- → Initial results and simulations within thermal specifications: hottest spot on FE expected to be colder than 0°C at the end-of-lifetime

System tests with FE-I4 based prototypes

- Outer barrel demonstrator program
 - Short electrical 7-quad module structure
 - Long prototype with 4x8 dual modules and 2x7 quad modules → up to 120 FE-I4 ASICs
 - 6 SP-chains, currently 3 under evaluation

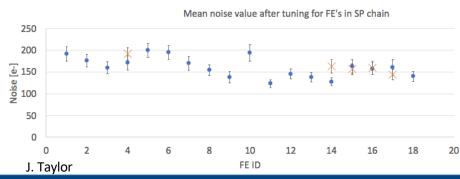


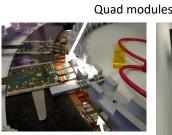


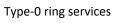




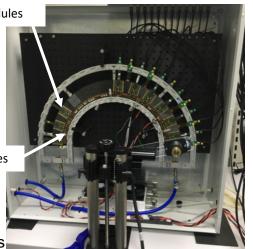
- CFRP + carbon foam half-ring with 12 quad modules loaded on top
- Flexes for 2 SP-chains
- → Evaluation of many system aspects
- → Early practice run for design and integration







→ Performance comparable to standalone operation of modules



Ring-0 SP chain
 Module building data

System test setup at CERN









CO₂ plant with ~1.4 kW at -30°C

Racks and PCs for DCS, interlock matrix, PSUs and readout

Light-tight and insulated **box Sensors** for dew-point, humidity, temperature, light, door switches **Motorized stage** for source scans





User interfaces for detector interlock, control/monitoring and diagnostics, independent operation

Large common effort!

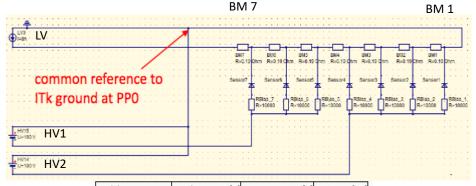
Results of electrical pixel prototypes



Tests with different readout systems give comparable results

Serial powering features

Measurements with realistic power supplies and services scheme → Leakage current return through HV power supply with low-ohmic off-mode required to avoid forward bias on module with lowest ground level in chain → Input to PSU specifications



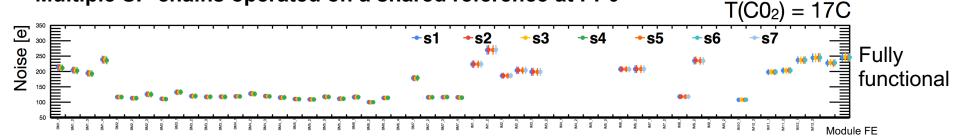
Module	Voltage Drop [V]	Drop over R_HV [V]	ISensor [uA]
BM1	2.12	0.333	30.27272727
BM2	1.78	-0.023	-2.3
вм3	1.95	-0.219	-19.90909091
BM4	1.99		
BM5	2		
BM6	2	-0.041	-3.727272727
BM7	2.01	-0.053	-4.818181818

Power fluctuations

 Several observations (power fluctuations induced during reset of GBT, register start-up) underline the necessity of the improvement of the shuntLDO regulators
 → Input to RD53 chip requirements, undershunt current protection and overvoltage protection



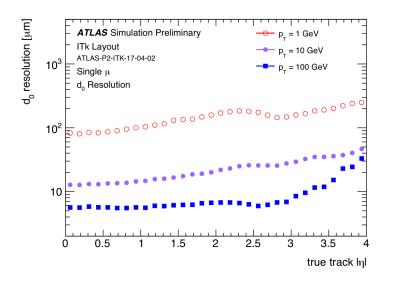
Multiple SP-chains operated on a shared reference at PP0

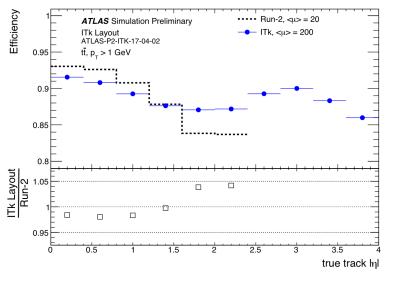


Simulated ITk Performance

- Track reconstruction efficiency in tt̄ events with (μ)=200 for the ITk Layout > 87%
- Fake rate < 10⁻⁴

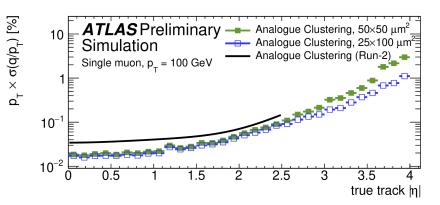
High track parameter resolution











- Improved resolution and robustness compared to present inner detector
 - Strip detector has higher performance than TRT
 - Improved efficiency at $|\eta| > 2.5$ even in harsher conditions
 - Smaller pitch in ITk
 - Reduction of radius of pixel-layer 0

Summary



- New inner tracker in preparation for the ATLAS experiment for HL-LHC
- 5-layer pixel detector with about 10,000 pixel-hybrid modules (~6 x of current pixel detector)
 - New FE chip, sensors, powering scheme, services scheme and equipment getting designed and produced as prototypes
 - Collaboration working on the validation of the prototypes (electrical and thermal ones, assembly and testing procedures getting defined)
 - Challenges are the verification of data transmission concept and module stress
- 4-layer strip detector with about 18,000 strip modules
 - Design verified and many final design reviews passed
 - Pre-production fully running (QA/QC procedures defined, site qualification ongoing)
- Expected performance will enable rich physics program

Thank you!

Questions?



Thank you!

Questions?

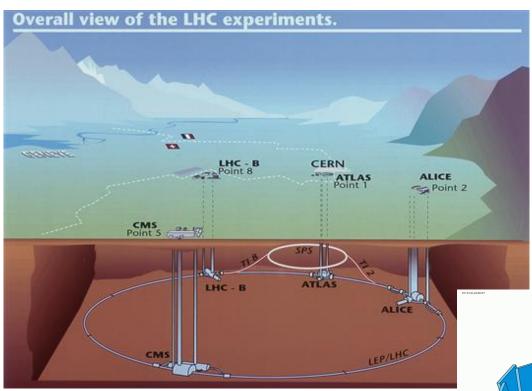
Spare



Thank you for material to
Tony Affolder, Attilio Andreazza, Andrew Blue, Craig Buttar,
Sergio Diez Cornell, Diego Alvarez Feito, Tobias Flick, Claudia
Gemme, Matthias Hamer, Fabian Hügging, Heinz Pernegger,
Carlos Solans, Dennis Sperlich, Sven Wonsak

The LHC and the ATLAS experiment



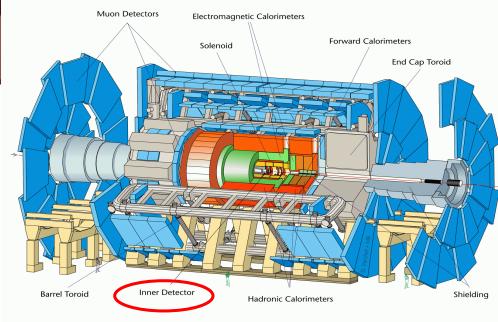


Large Hadron Collider

- p-p, p-HI, HI-HI collider
- up to 14 TeV p-p collisions

Multi-purpose experiment ATLAS

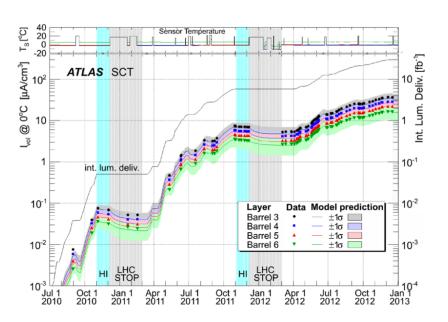
- Many interesting results: Standard model verification, Higgs discovery, first precision measurements
- Exclusion of some popular models
- Probing of Higgs-Sector and TeV-scale BSM requires more data
- → High Luminosity LHC

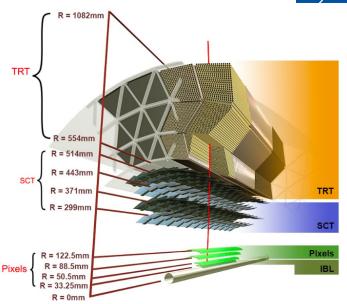


Inner Detector of the ATLAS Experiment

CERN

- Current inner tracker of ATLAS performing very well
- Transition radiation detector and silicon detectors for tracking
 - Planar strip sensors
 - Hybrid pixel with planar and 3D sensors
- However, cannot cope with radiation damage and high occupancy at HL-LHC operation





Detector	Area [m²]		Maximum dose [1MeV n _{eq} /cm²]
Pixel	1.8	92 M	up to 3*10 ¹⁵
Strip	60	6 M	up to 2*10 ¹⁴

Radiation Damage in Silicon Sensors

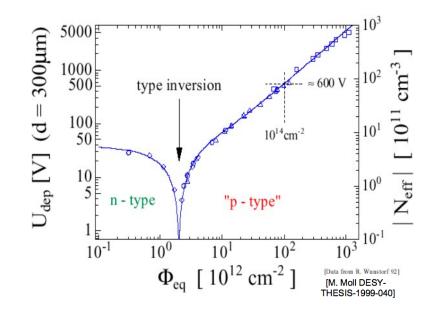


Radiation damage: non-ionising energy loss of charged and neutral particles

→ damage in silicon bulk

Effects:

- Increase of leakage current
- Change of effective doping concentration
- Increase of depletion voltage
- Defects act as trapping centres affecting the charge collection efficiency

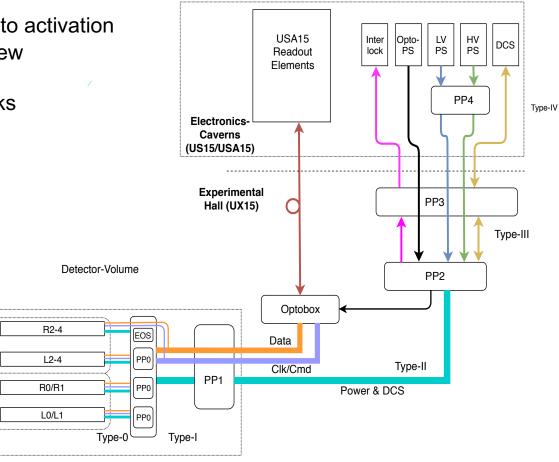


→ Radiation damage degrades the detector performance and limits the life time

Pixel Services

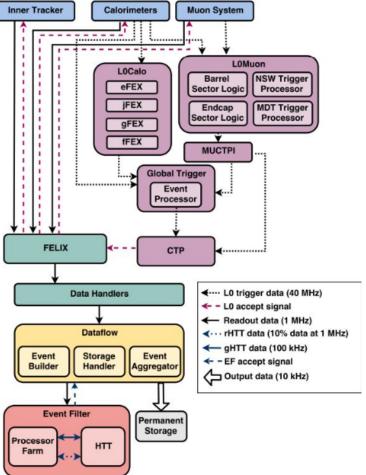
CERN

- Four types of data and power cables from USA15 to the detector
- Reuse most of the type-III cables, plus additional ones for DCS
- Type-II cables will be replaced due to activation
- Type-0 and type-I are completely new
- Not accounting for cooling
- Optimized the amount of optical links



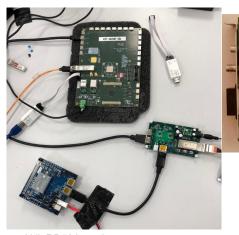
Detector Readout with FELIX





04.12.2020

- Full FELIX read-out
 - 24 lpGBT links per FELIX IO card
 - Based on network subscription protocol
 - No hardware access to FELIX IO card
- Challenge for calibration and DCS
 - Tuning of front-end prototypes adapted
 - Implications for DCS under discussion





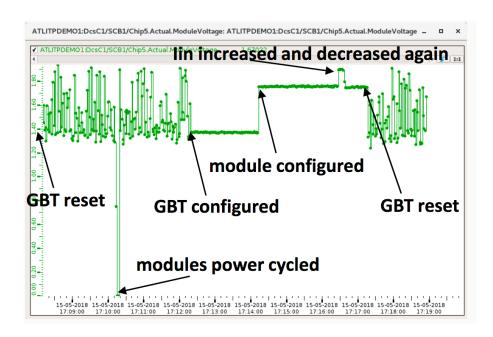
ANL RD53A readout setup

Development for adaptions of firmware and hardware heavily ongoing to operate strip and pixel prototypes

Further observations with 7-module tests



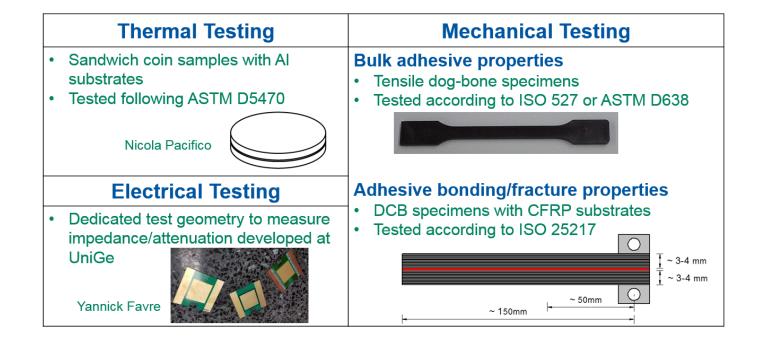
- Optical driver chip (GBT) induced power fluctuations during reset: to be checked with new version of chip (IpGBT) and also RD53 front-ends → Input to requirement of front-end chip
- Differences in power in module voltage after startup and first configuration (up to 600 mV): feature how registers are set at startup (hopefully only in FE-I4)



Irradiation Campaign



- Not only FE, sensors and ASICs need to be qualified but also all glues and materials
- Radiation campaign for adhesives, resins, wirebond encapsulants and local support materials coordinated by Nicola Pacifico.
- More on https://maxrad.web.cern.ch/maxrad/



Detector control system



- Detector control for operation and user interface to allow
 - Interlock
 - Control/Monitoring
 - Diagnostics

