

Two years of the phase-1 CMS pixel detector

technology choices, operational
experience, and future prospects

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Joint Instrumentation Seminar

DESY Hamburg

14.06.2019

sponsored by



Universität Hamburg

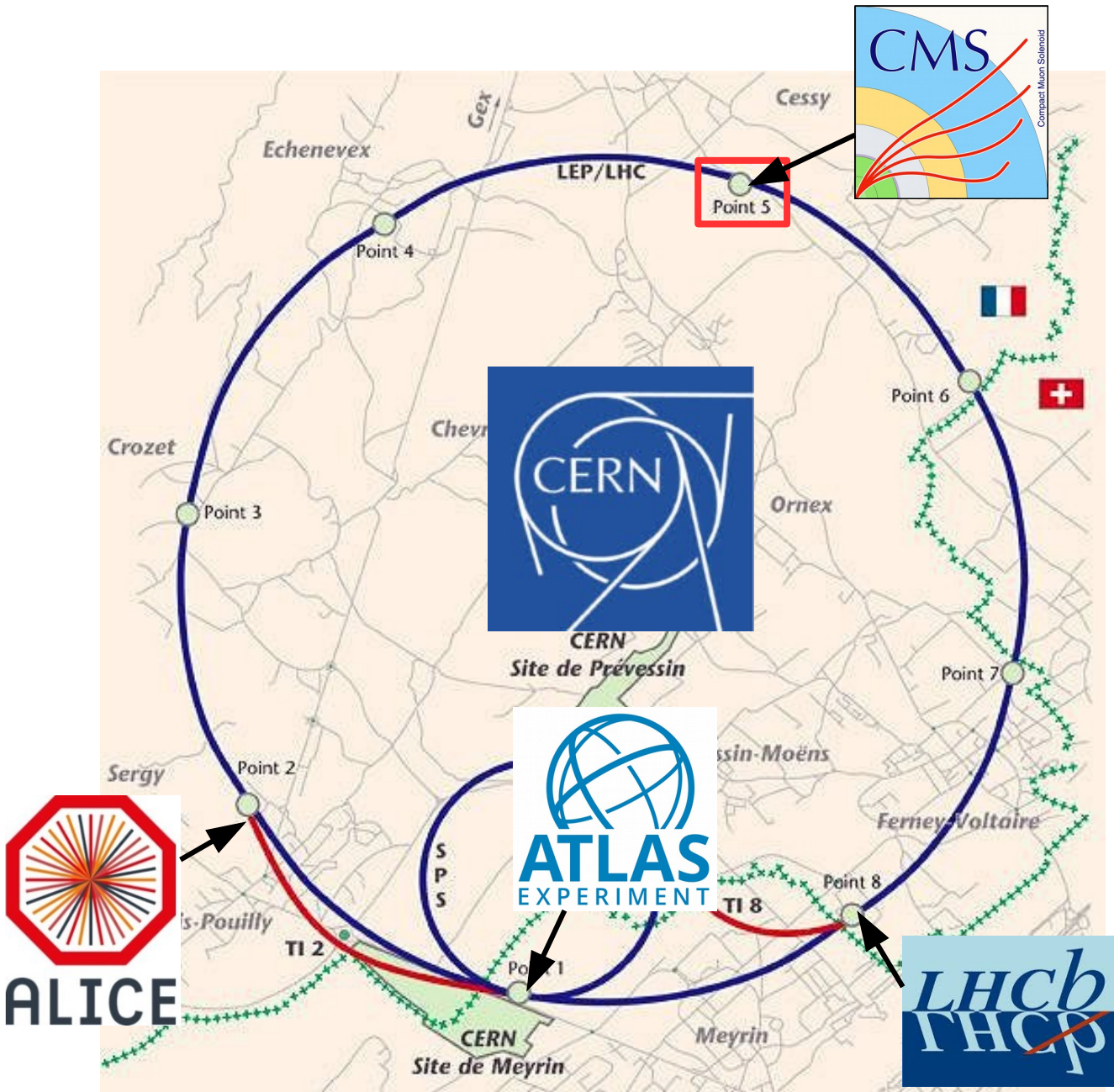
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Large Hadron Collider



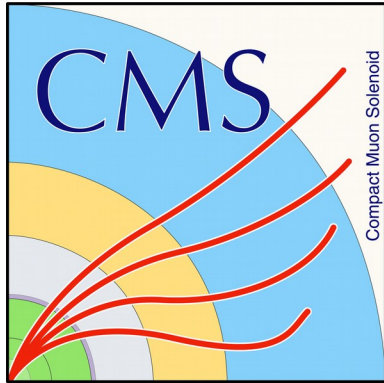
largest and most powerful collider in the world

- locates at CERN near Geneva
- **p-p collisions**
- 13 TeV center-of-mass energy

running conditions in 2018:

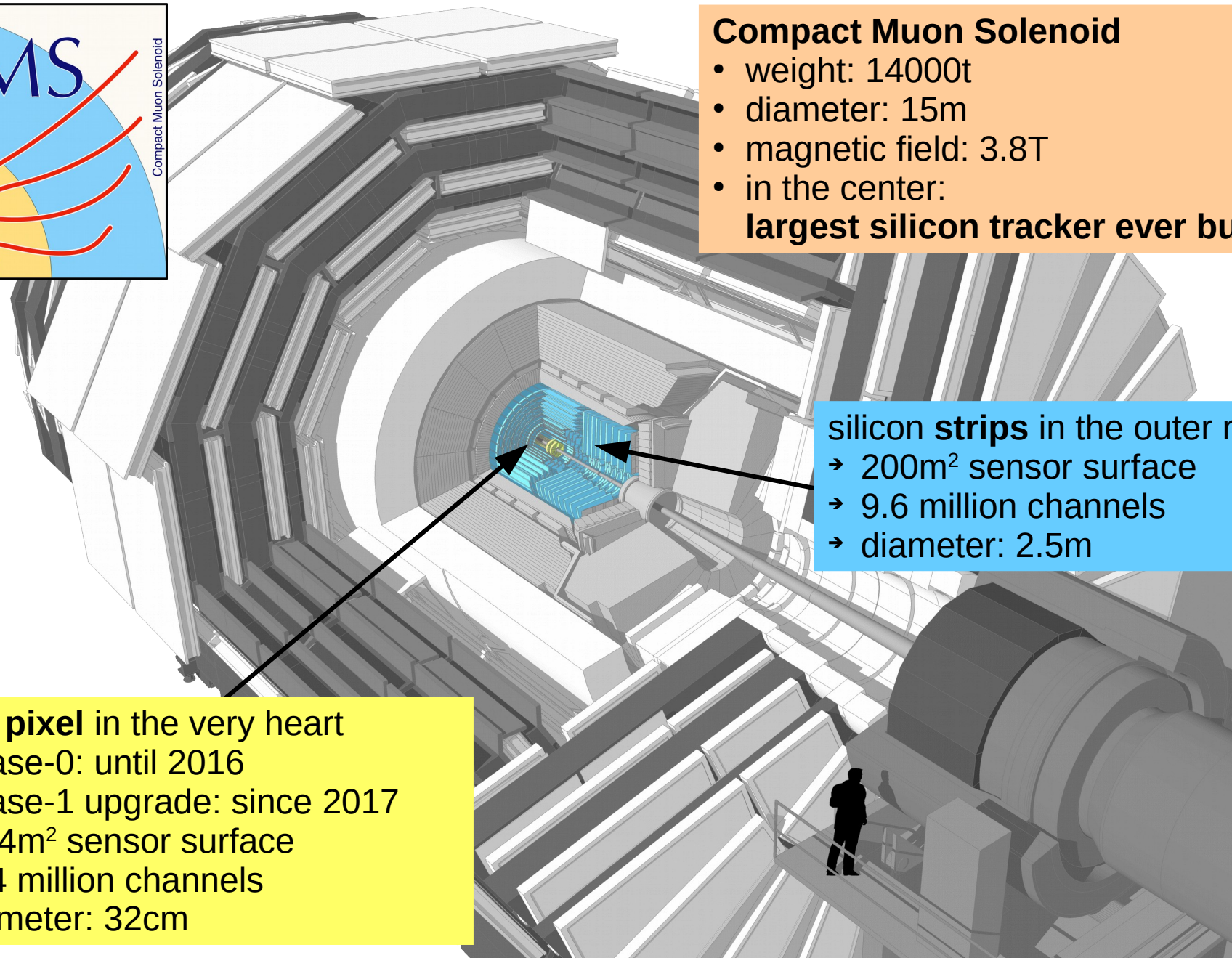
- 40MHz collision rate
- ~50 pile-up events per bunch crossing
- luminosity: $<2e34 \text{ cm}^{-2} \text{ s}^{-1}$

CMS and its Tracker



Compact Muon Solenoid

- weight: 14000t
- diameter: 15m
- magnetic field: 3.8T
- in the center:
largest silicon tracker ever build

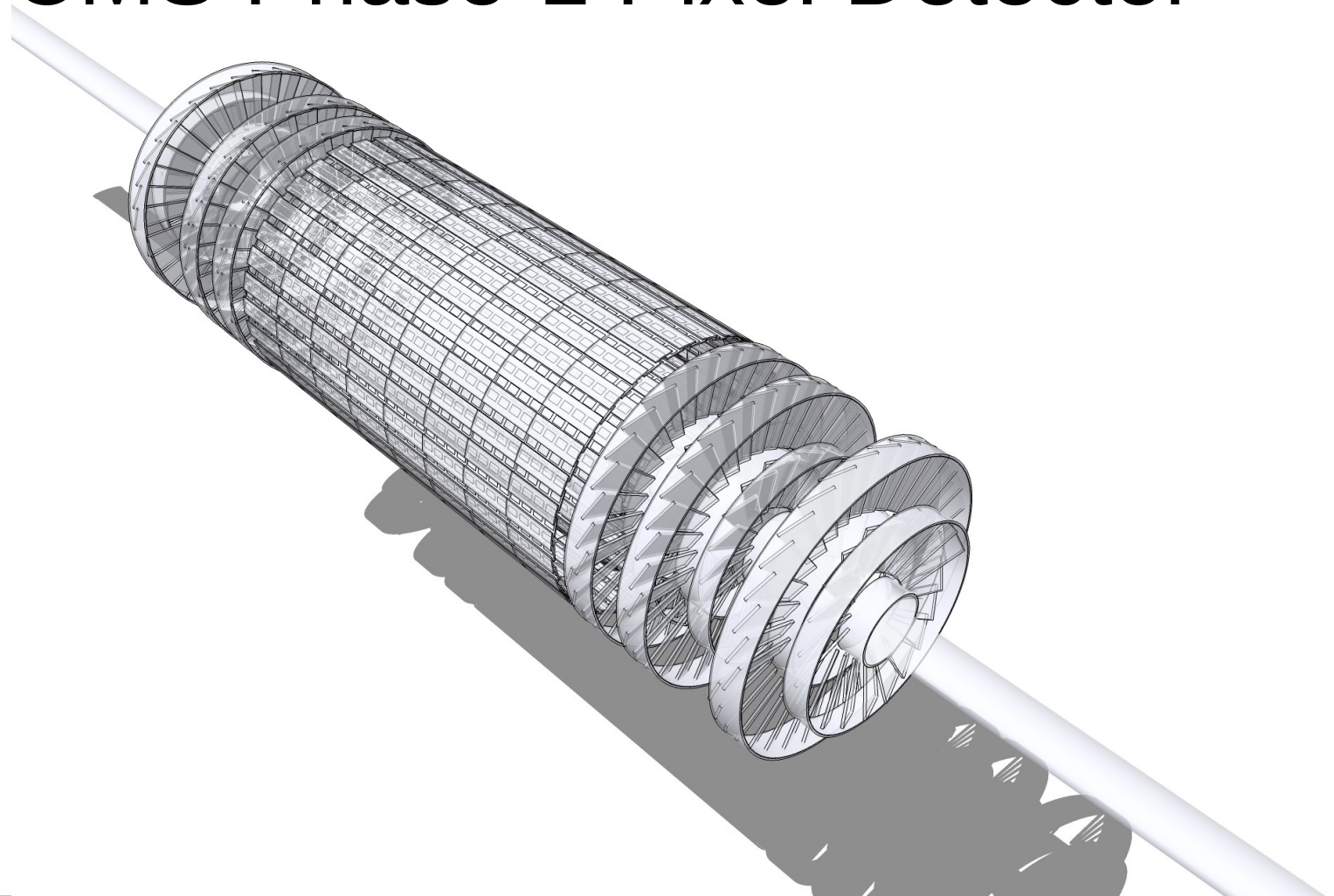
A detailed 3D cutaway rendering of the CMS detector. The central region is highlighted in blue, showing the silicon tracker. A person is standing on a platform in the lower right to provide scale. Arrows point from text boxes to the central and outer regions of the detector.

silicon **strips** in the outer region
→ 200m² sensor surface
→ 9.6 million channels
→ diameter: 2.5m

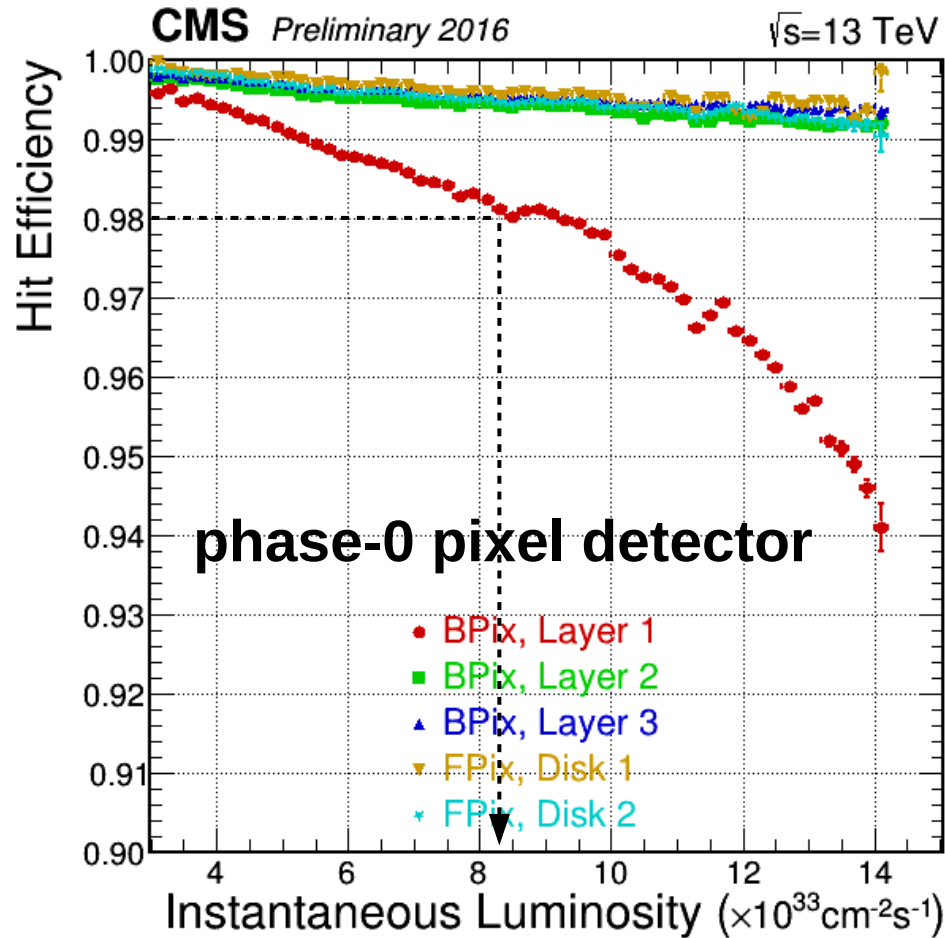
silicon **pixel** in the very heart

- phase-0: until 2016
- phase-1 upgrade: since 2017
- 1.24m² sensor surface
- 124 million channels
- diameter: 32cm

The CMS Phase-1 Pixel Detector



Why did we need an upgrade?

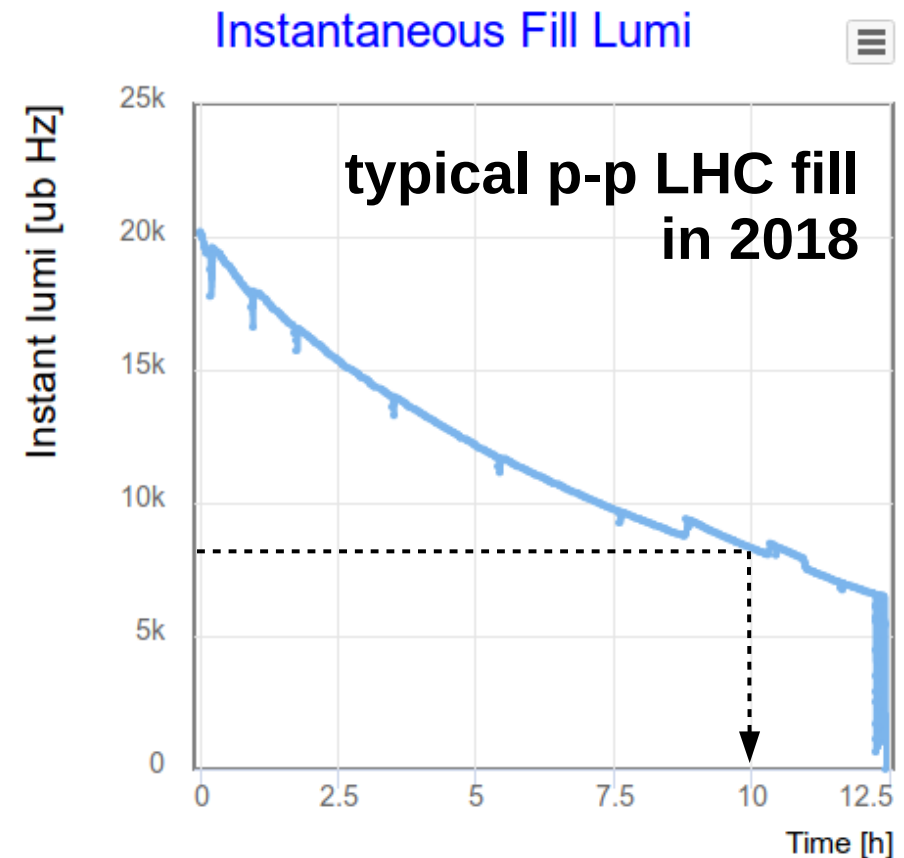


design guidelines for phase-1

- improved ROC
- increased bandwidth
- additional tracking layer
- optimization of material budget

making the case

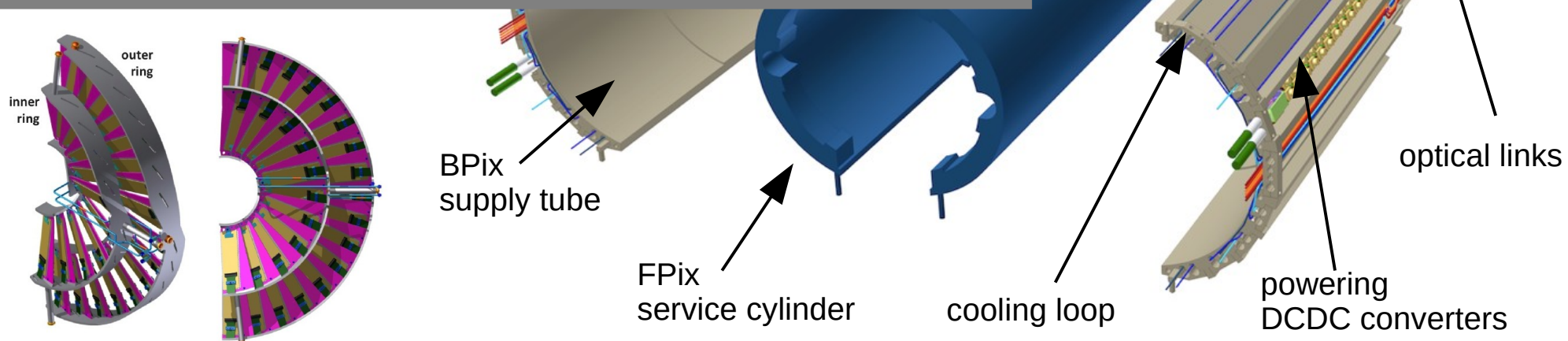
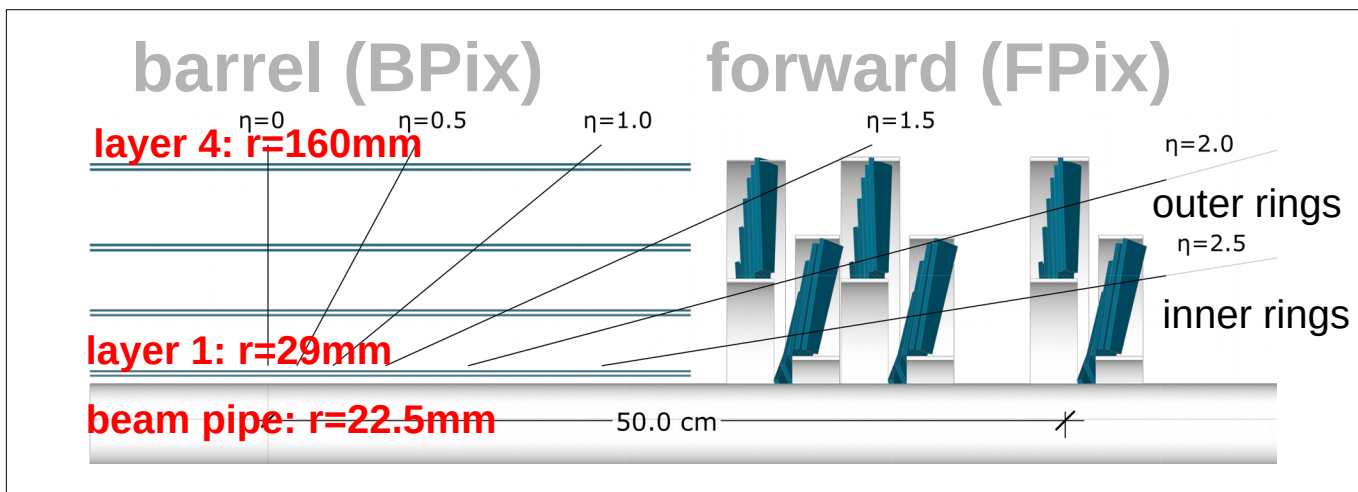
- with the old detector: >10h data taking with an inefficiency in layer 1 larger than 2%
- extrapolation to $2e34 \text{cm}^{-2}\text{s}^{-1}$: 30% inefficiency
- clearly demonstrates that an upgrade is necessary



CMS Phase-1 Pixel Detector

mechanical design

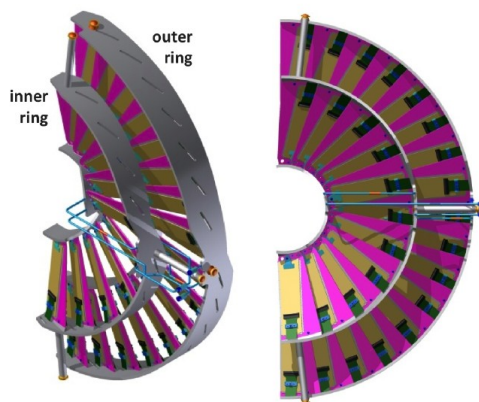
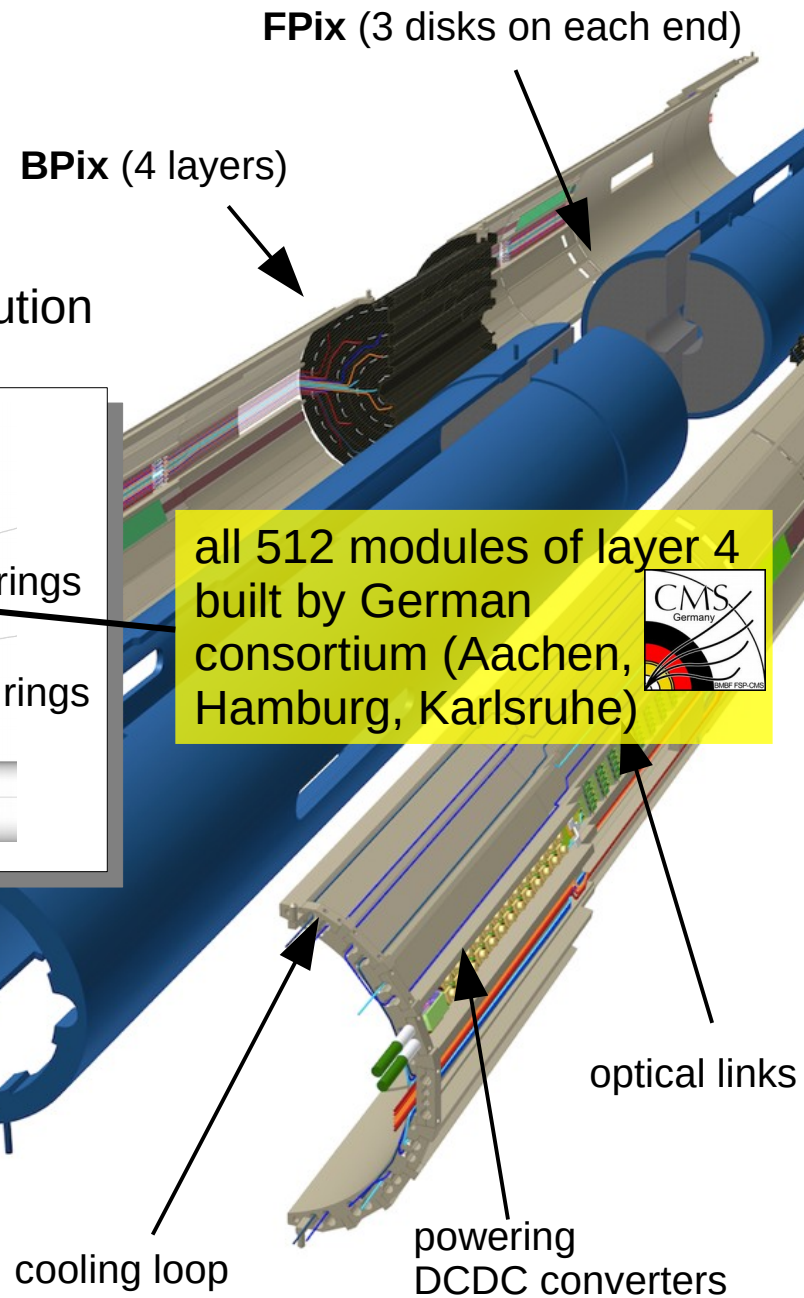
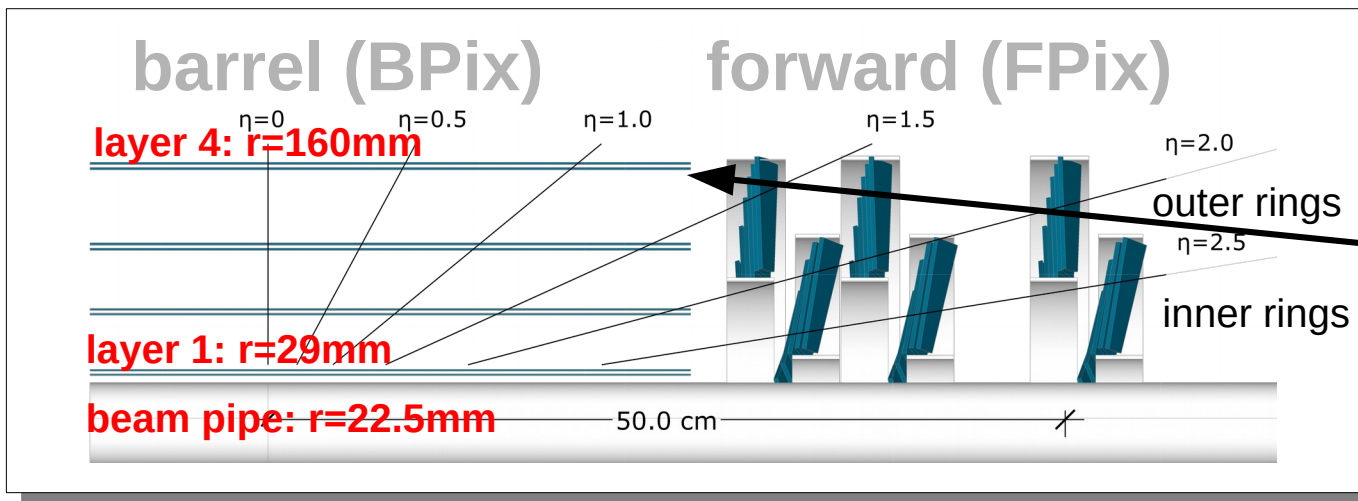
- 4-hit coverage up to $|\eta| < 2.5$
- closer first pixel layer (new beam pipe)
- turbine-like module arrangement in forward disks
- inner rings tilted for optimal radial and azimuthal resolution



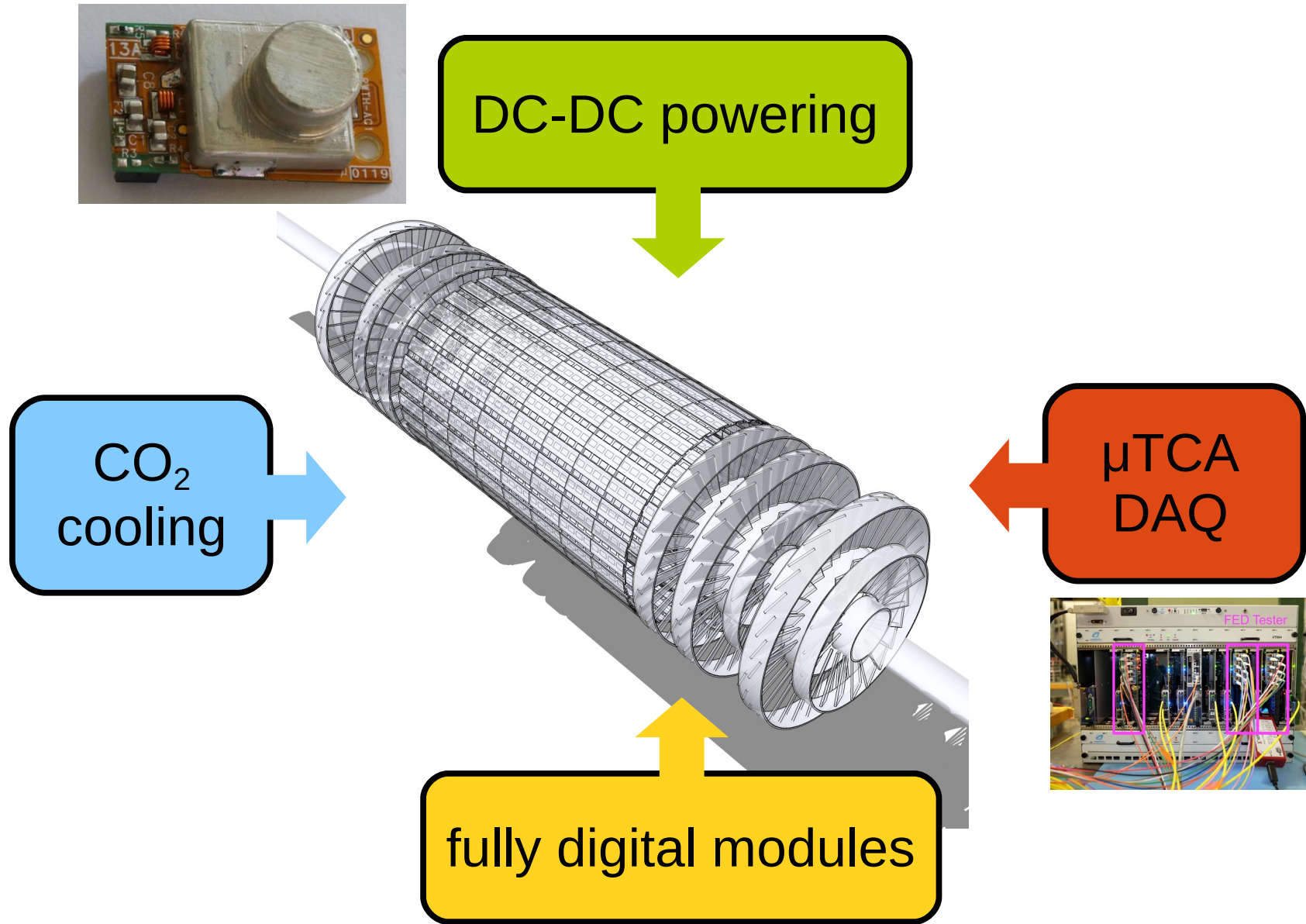
CMS Phase-1 Pixel Detector

mechanical design

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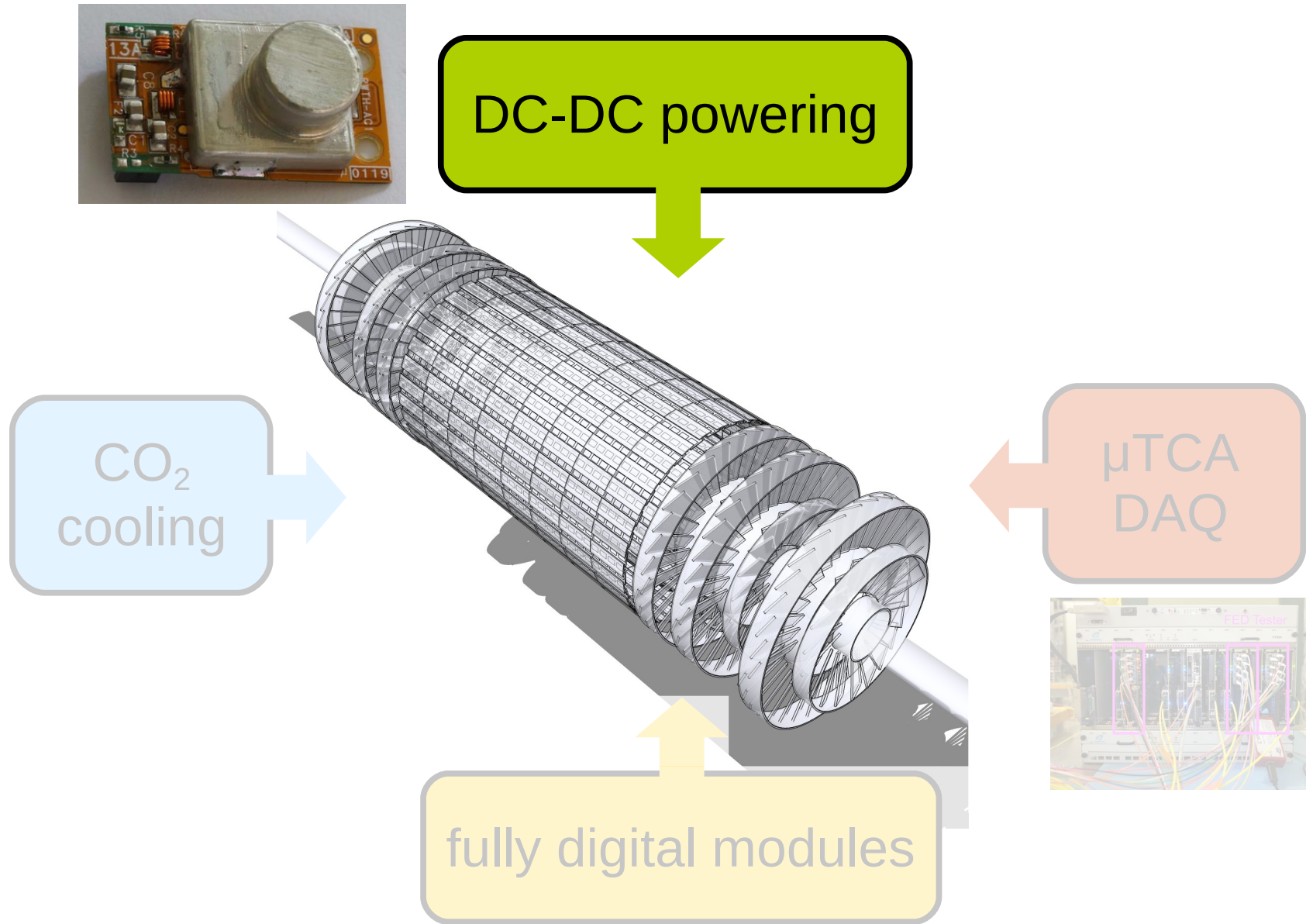


CMS Phase-1 Pixel Detector – Key Technologies



The Phase-1 Pixel Detector contains many key technologies used in the LHC phase-2 upgrade

CMS Phase-1 Pixel Detector – Key Technologies



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DC-DC Powering – Why?

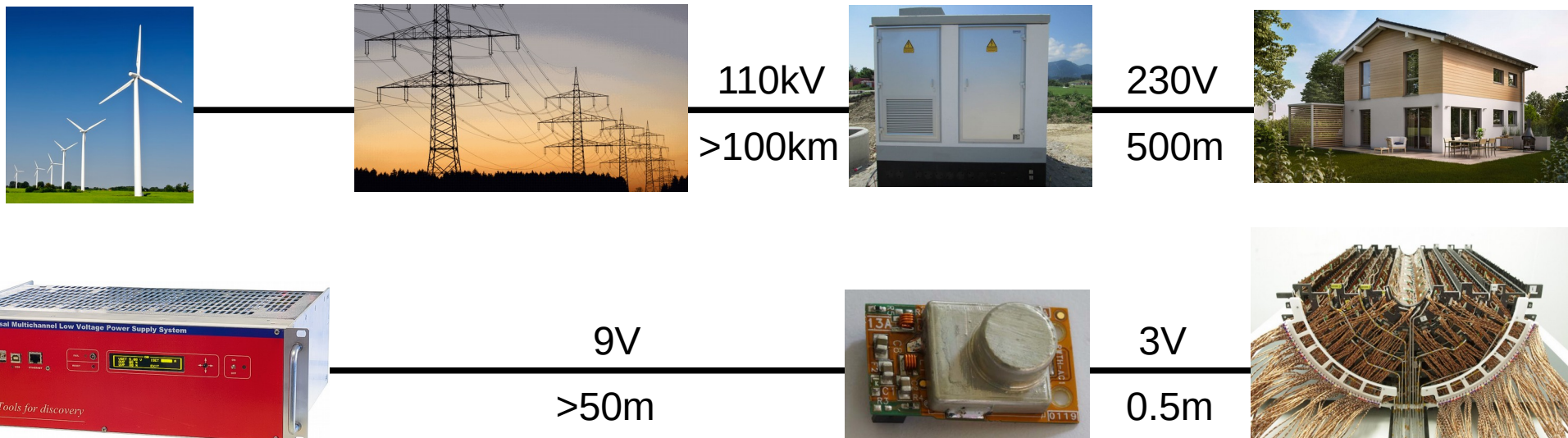
- 1.9 times more channels compared to phase-0
- same number of power cables due to **very** limited space
- without changes: larger currents over the same cable causing large power losses

$$P_{cable\ loss} = R I^2$$

- currents driven by power consumption of the detector module

$$P_{detector} = U \cdot I = \text{const}$$

- **solution:** transfer energy into detector with higher voltage/lower current and transform just before the load to operation voltage
- known concept for power supply of households, but we do it with direct currents (DC)



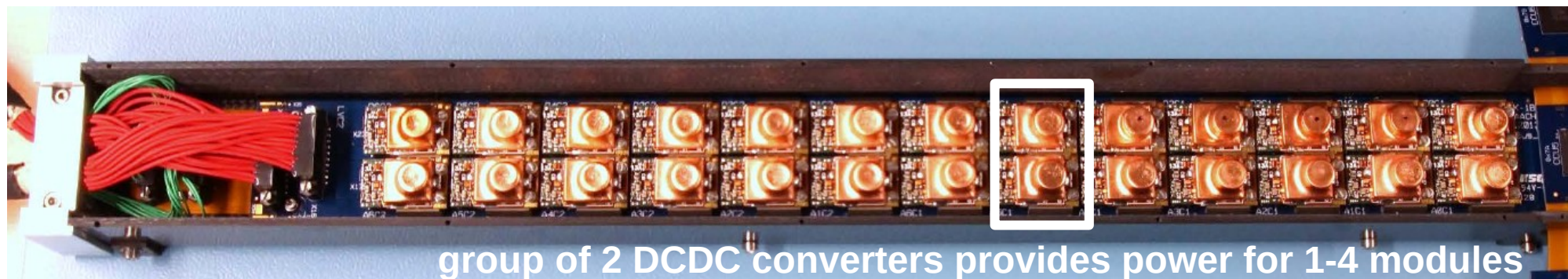
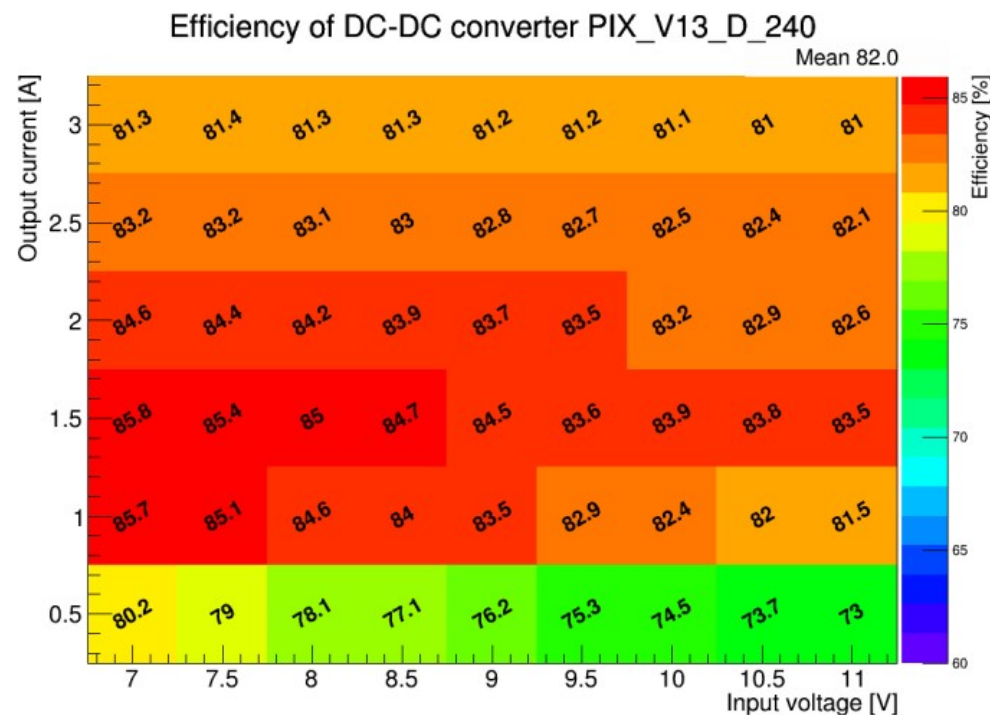
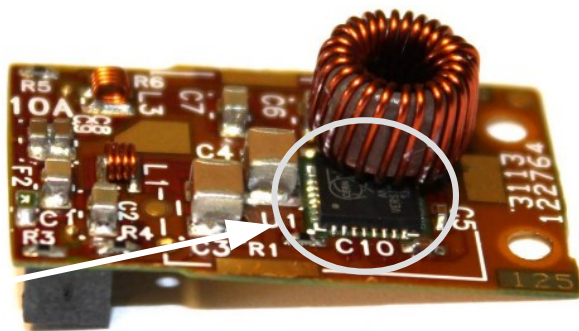
DC-DC Powering

- DC-DC conversion standard technology in electronics
- buck/step-down converter in every computer
- but: not easy to build it radiation hard & magnetic field tolerant

design and specifications

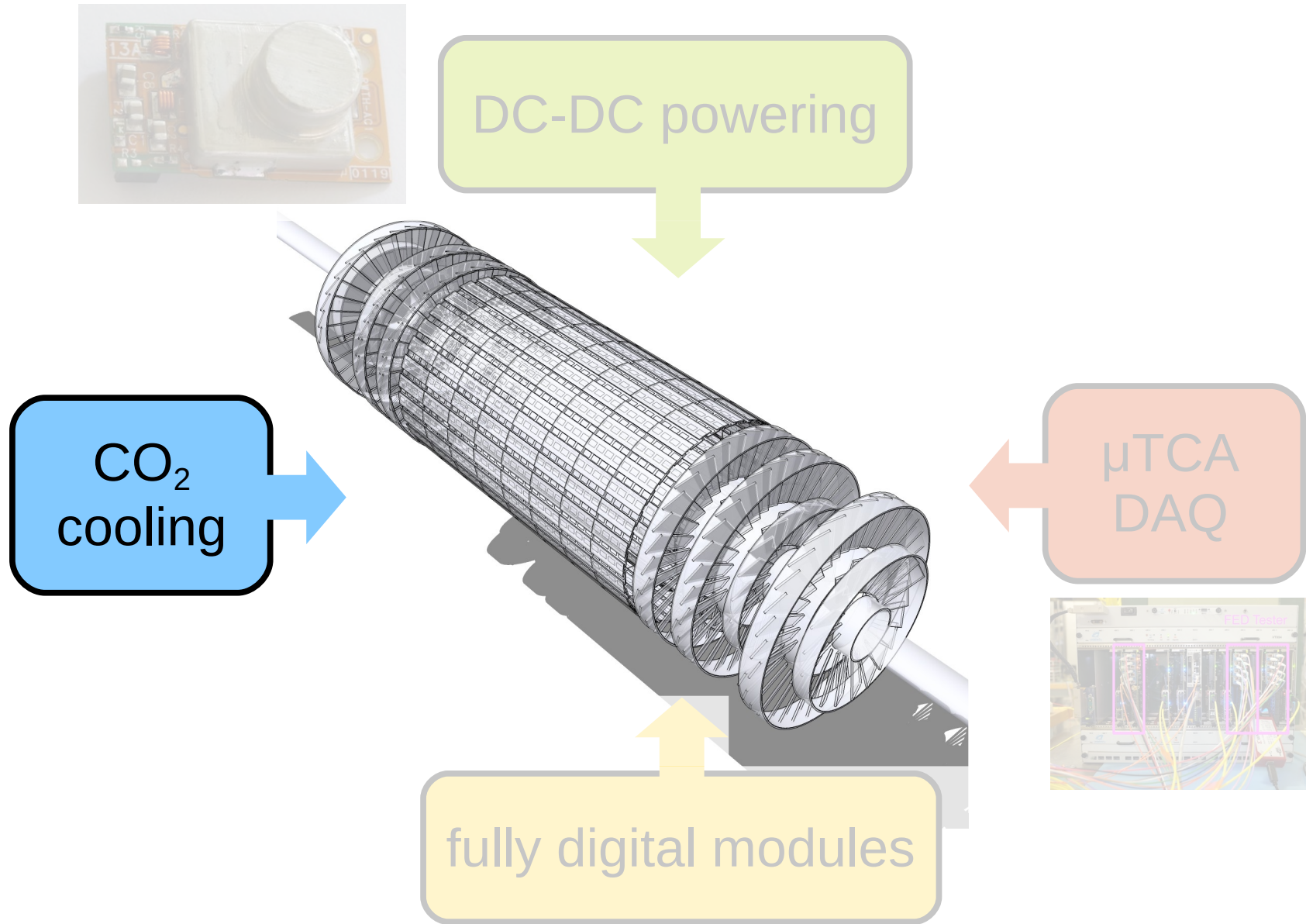
- core: FEAST 2 ASIC designed by CERN
- conversion from 9-12V to 2.4V/3.0V
- magnetic field tolerant: up to 4T
- radiation hard: 150 Mrad (Si), $5e14 \text{ n}_{\text{eq}}/\text{cm}^2$
- conversion efficiency $\sim 82\%$

FEAST2



group of 2 DCDC converters provides power for 1-4 modules

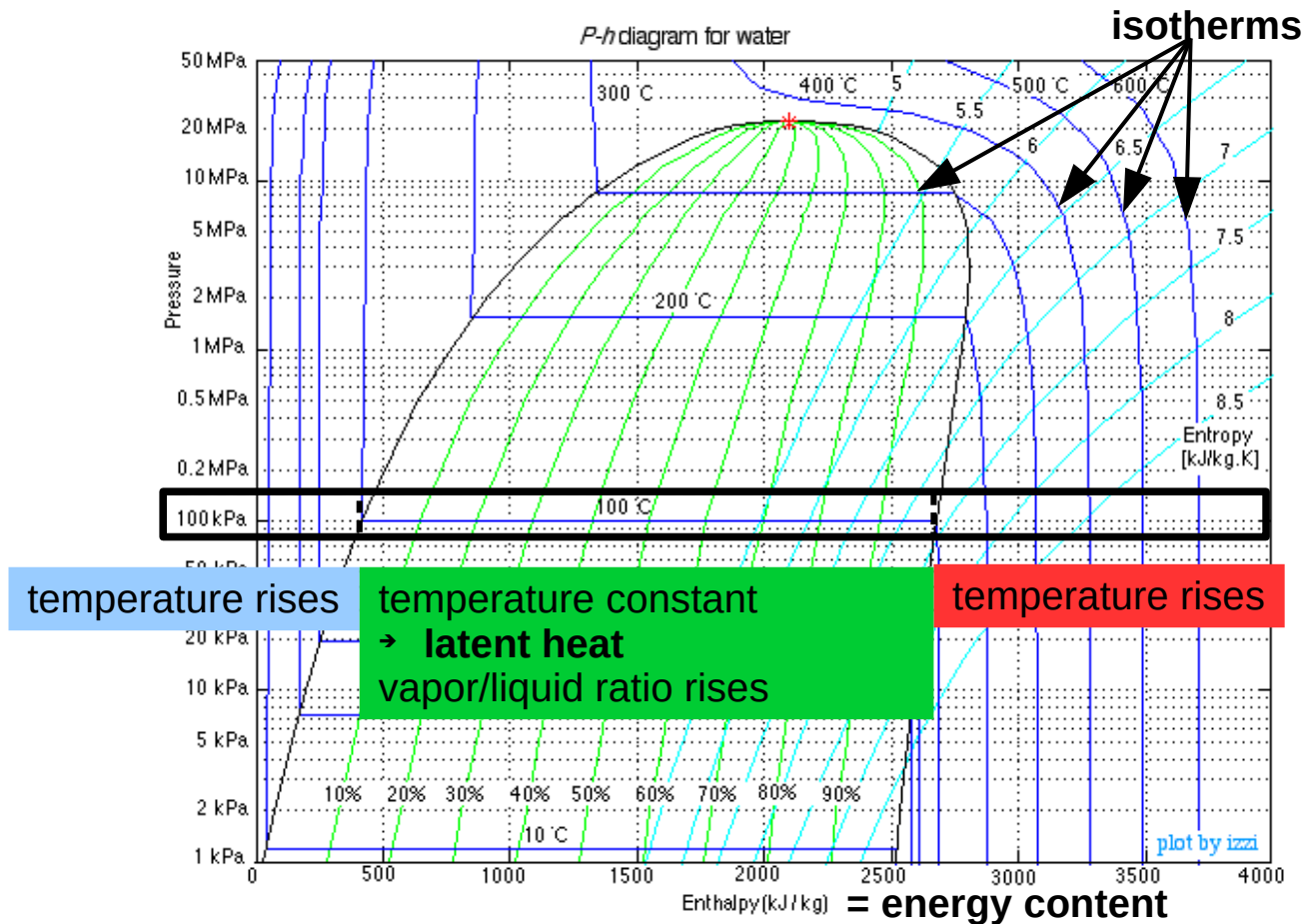
CMS Phase-1 Pixel Detector – Key Technologies



The Phase-1 Pixel Detector contains many key technologies used in the LHC phase-2 upgrade

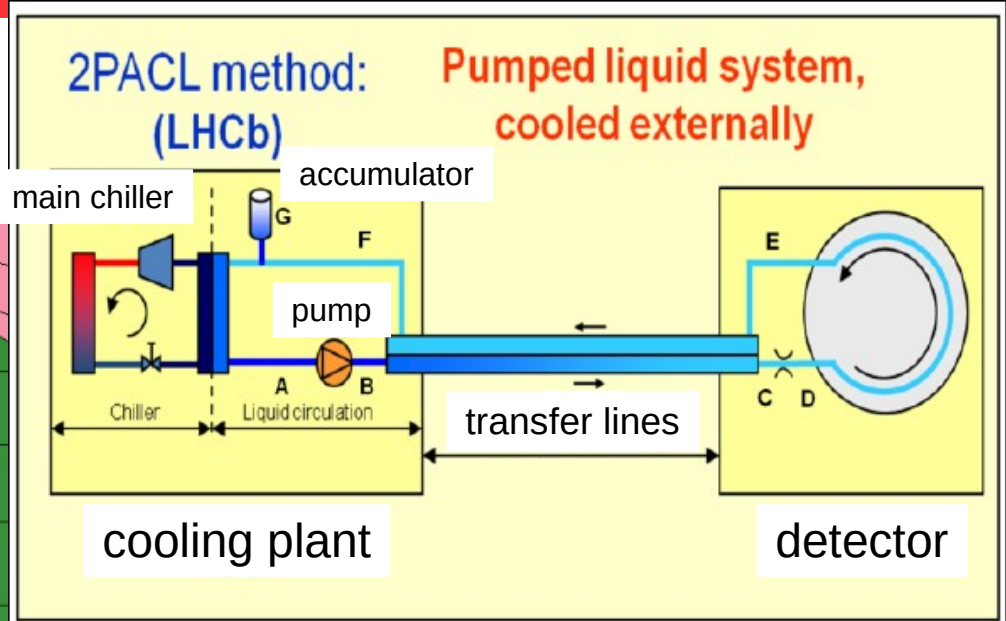
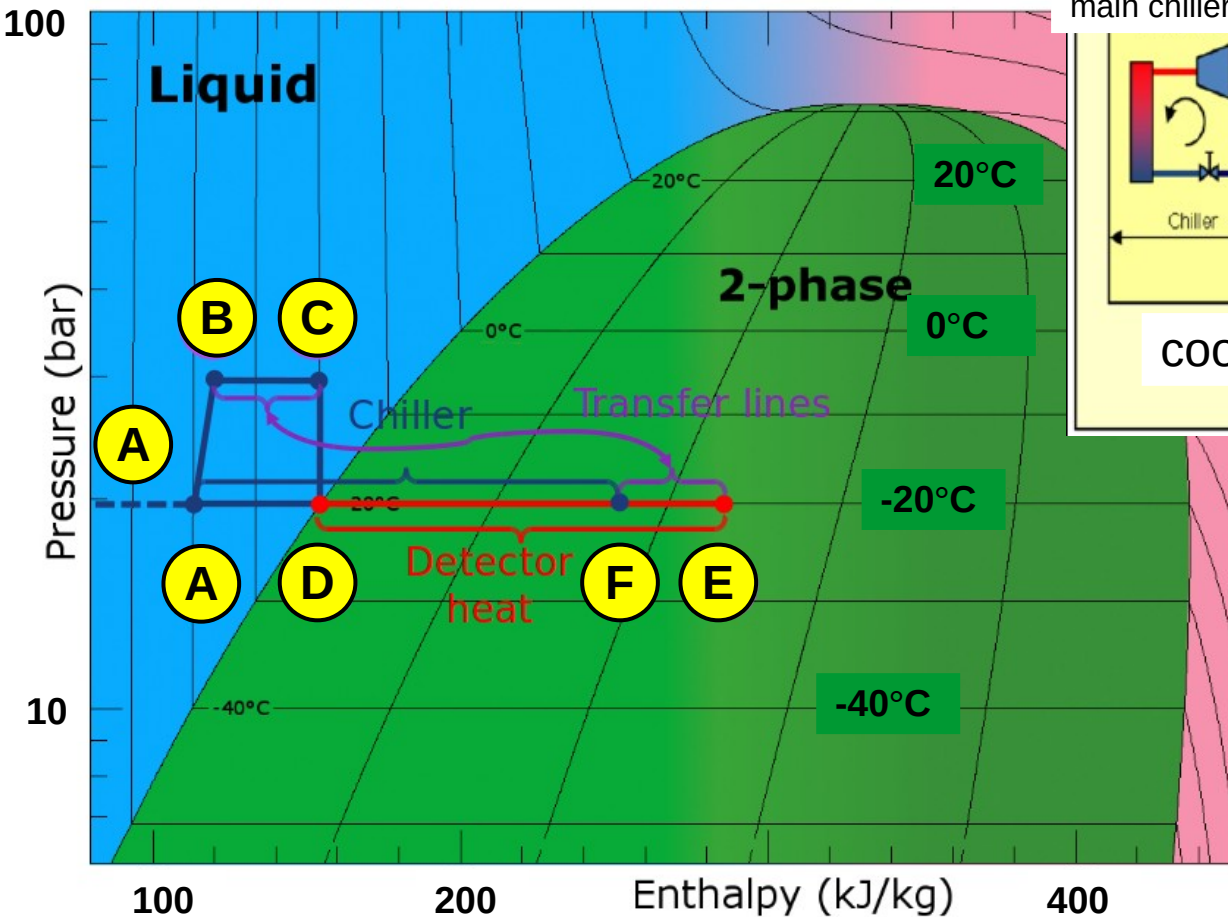
Phase Transition and Latent Heat

What happens at a transition between the liquid and gaseous phase?
example: evaporation of water



- in the **2-phase state** the material can absorb a lot of energy without changing temperature
→ **ideal property of a coolant**
- region of 2-phase state of CO₂ usable for cooling (-50 °C → 30 °C)

CO₂ Cooling – Thermodynamic Cycle

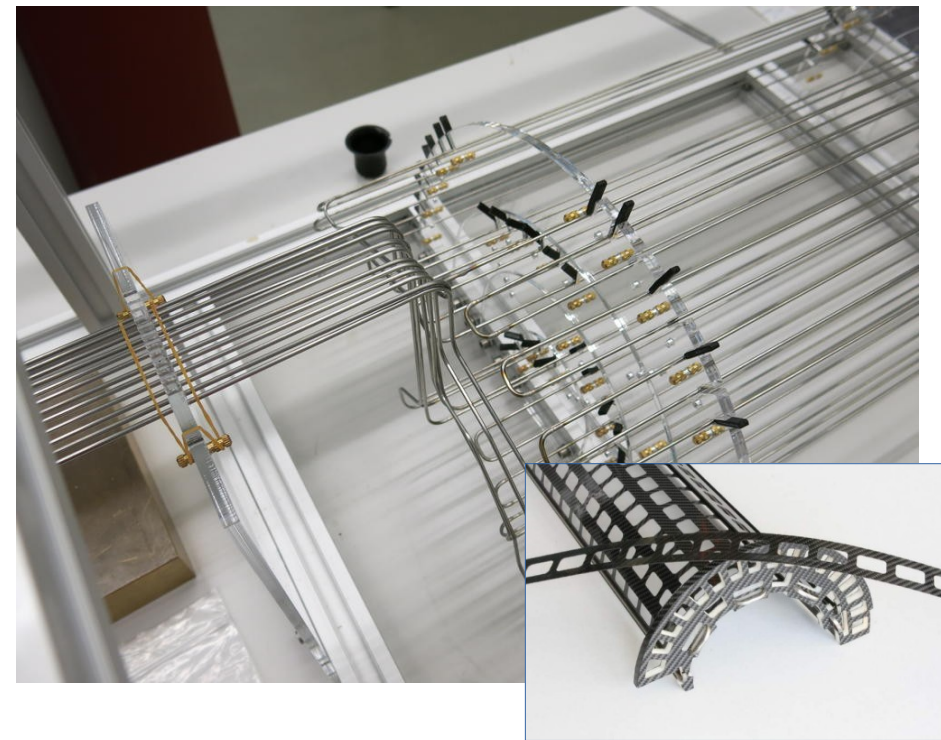
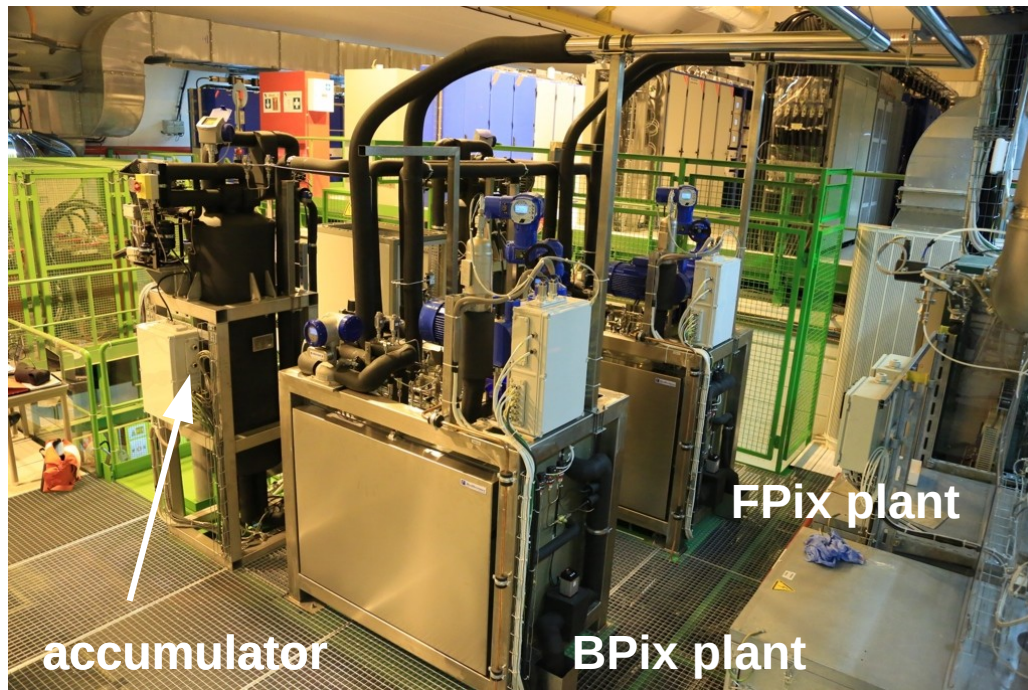


A → B: increase CO₂ pressure for transfer to the experiment
 B → C: increase temperature due to heat exchange with returning CO₂
 C → D: reduce pressure inside the detector to reach on-set of evaporation
 D → E: absorb heat from the detector
 E → F: condensate CO₂ fluid/vapor mixture using incoming (colder) CO₂ pipe
 F → A: cool down CO₂ with main chiller

- D → G : low impedance system = almost constant pressure from the inlet to the detector up to the accumulator in the cooling plant
- pressure in accumulator (G) steers temperature inside the detector

in theory

CO₂ Cooling – Specifications



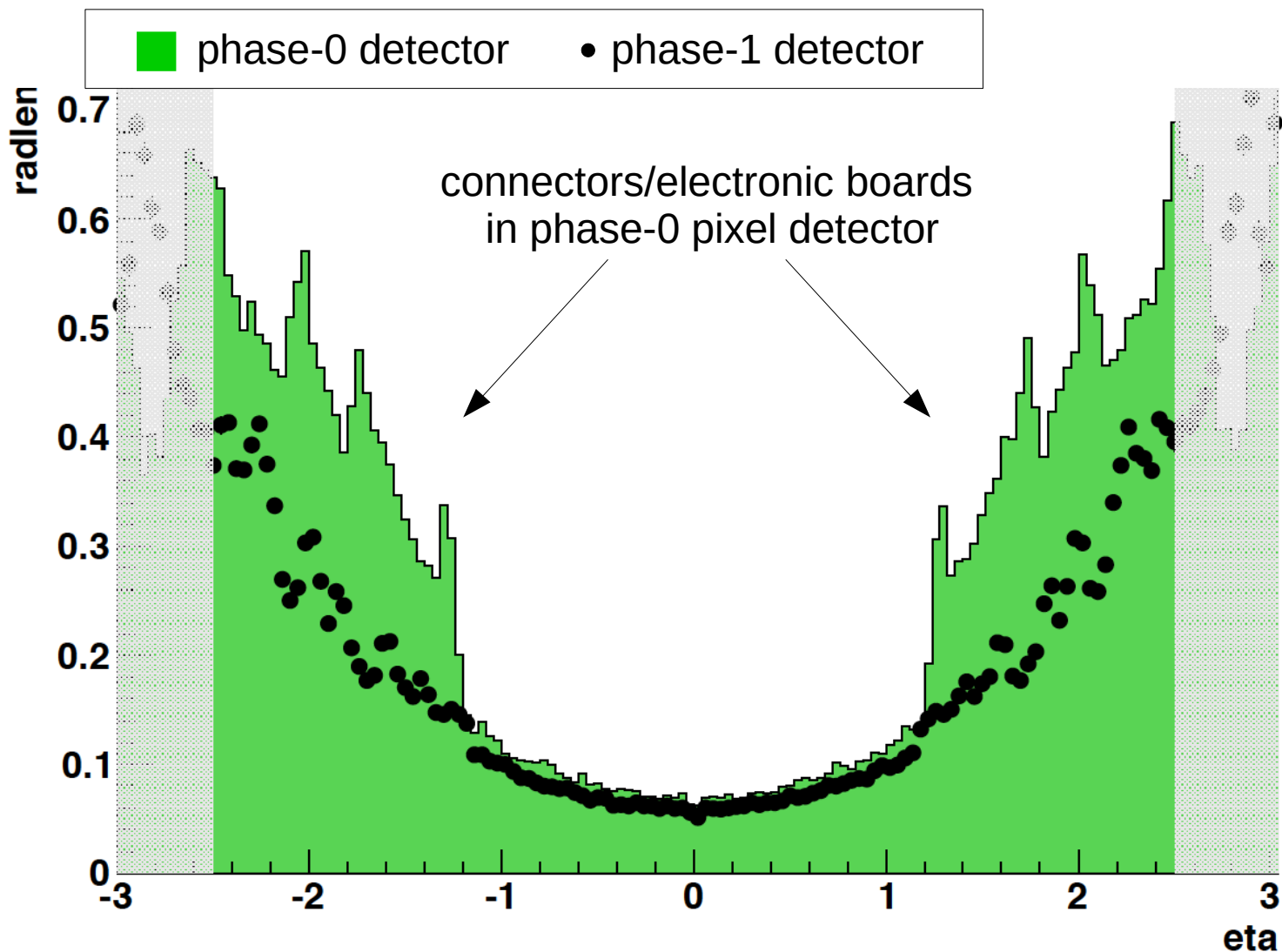
CO₂ cooling plants

- 2 identical cooling plants (BPix, FPix)
- redundant system
- cooling power per plant: 15kW
- location: in CMS service cavern (accessible)
- operational range:
16°C (60bar) → -22°C (20bar)
- lower temperature possible, but not commissioned

inside the detector

- carbon fiber structure for efficient heat exchange
- stainless steel cooling loops
 - diameter 1.7mm
 - wall thickness 50µm
- **very lightweight detector design possible!**

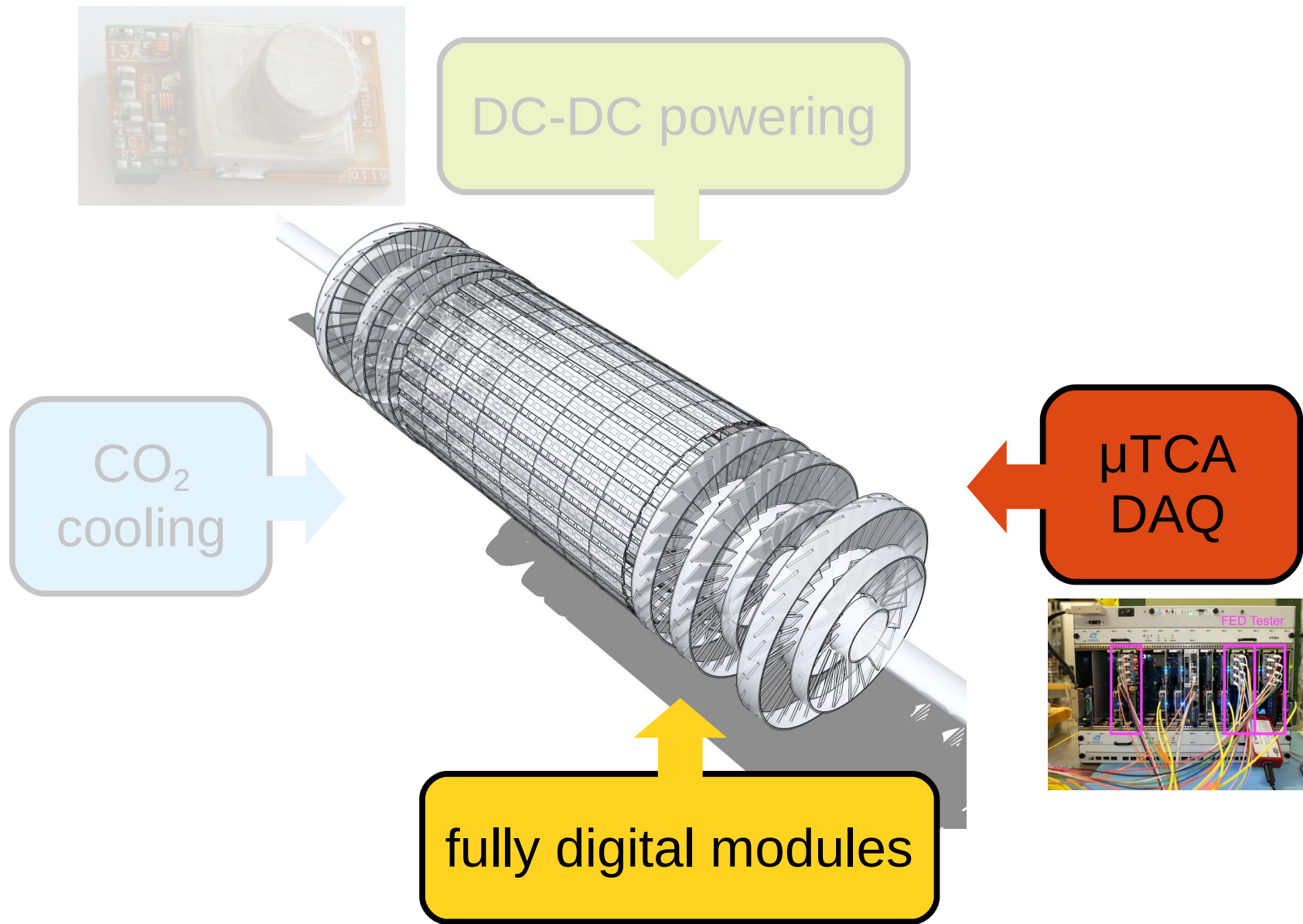
Material Budget



- electronic boards/connectors moved to higher η
- 2-phase CO₂ cooling
- lightweight mechanical support structures

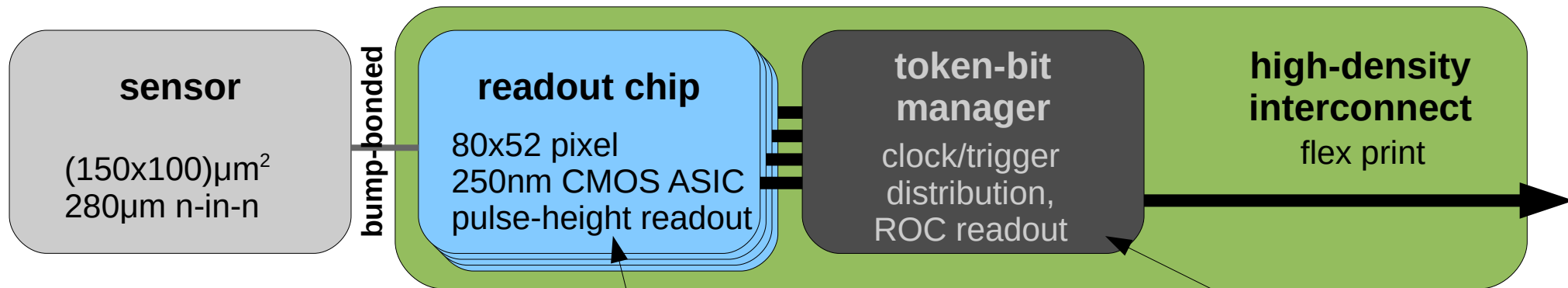
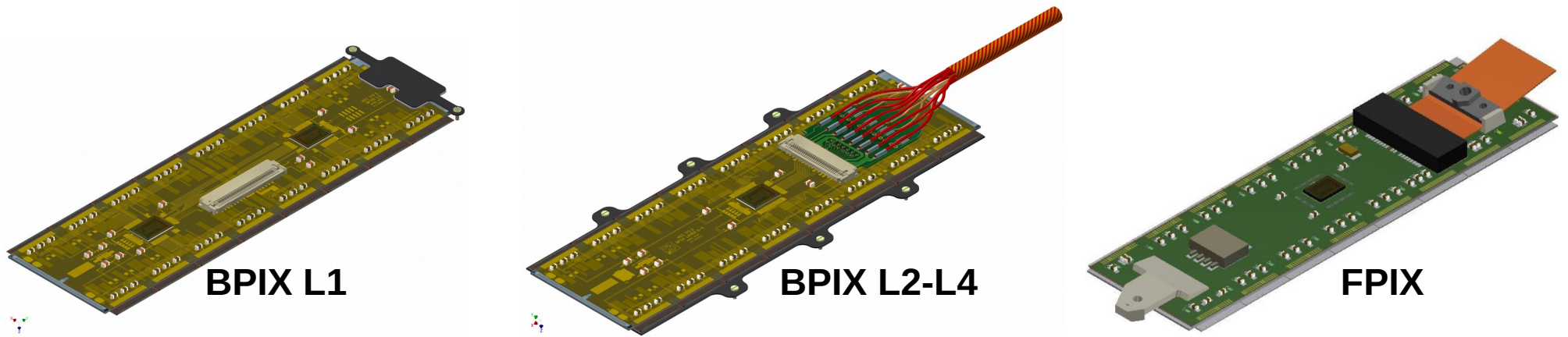
despite additional tracking layer:
material further reduced

CMS Phase-1 Pixel Detector – Key Technologies



The Phase-1 Pixel Detector contains many key technologies used in the LHC phase-2 upgrade

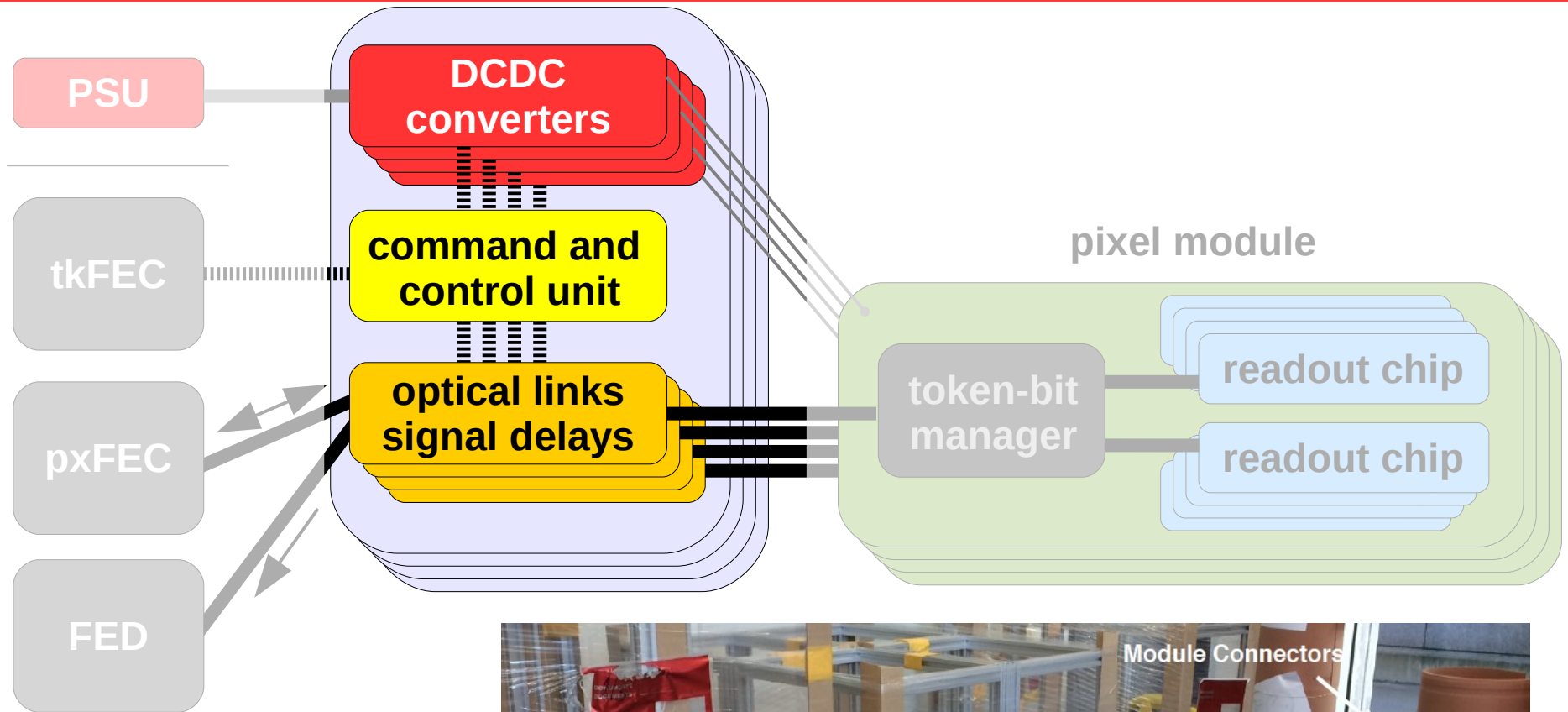
Signal Path – Digital Modules



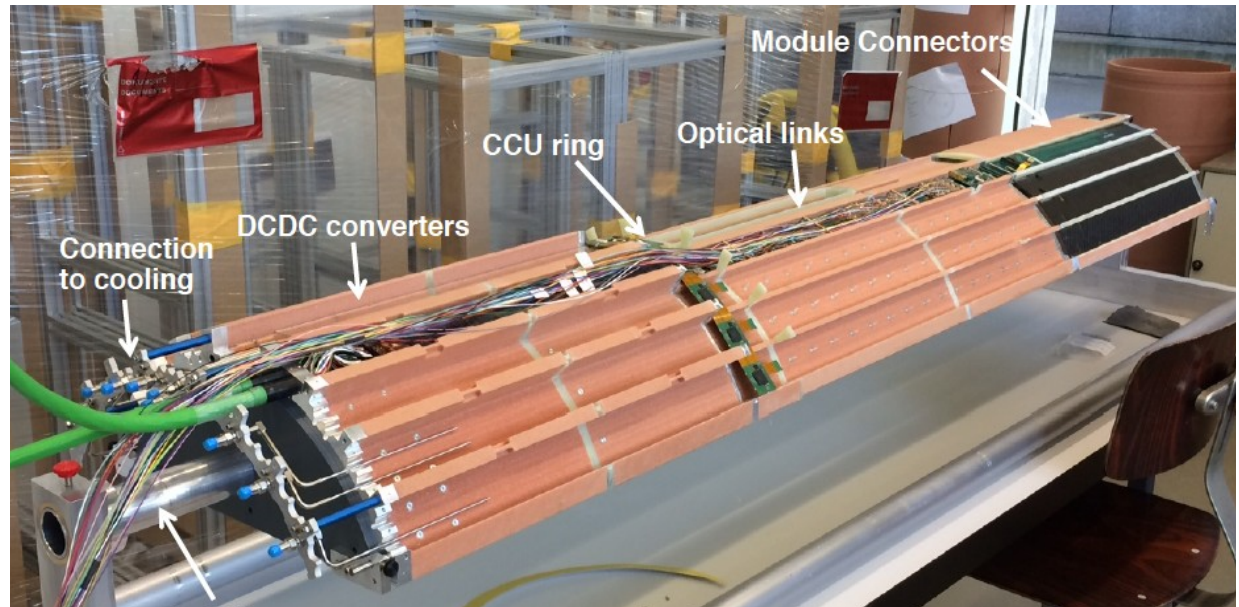
- **ROC with digital RO** based on psi46
- same architecture (“column drain”)
- on-chip digitization (8bit ADCs)
- increased buffers (hit, timestamp)
- improved charge threshold (reduced by factor ~ 1.5)
- **special layer-1 ROC (“dynamic cluster drain”), PROC600 which is capable to cope with 600MHz/cm²**
- **digital TBM**
- 160Mbit/s digital
- module out-bound data stream: 400Mbit/s

all components capable to deal with high integrated & instantaneous luminosities

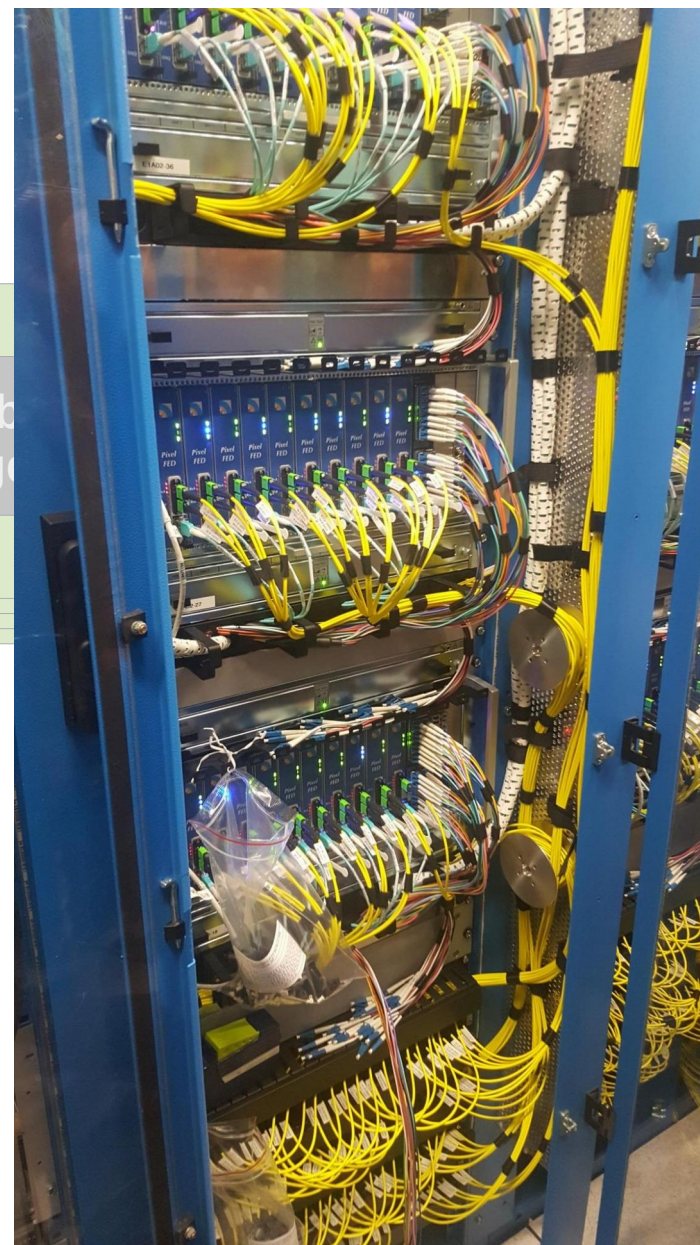
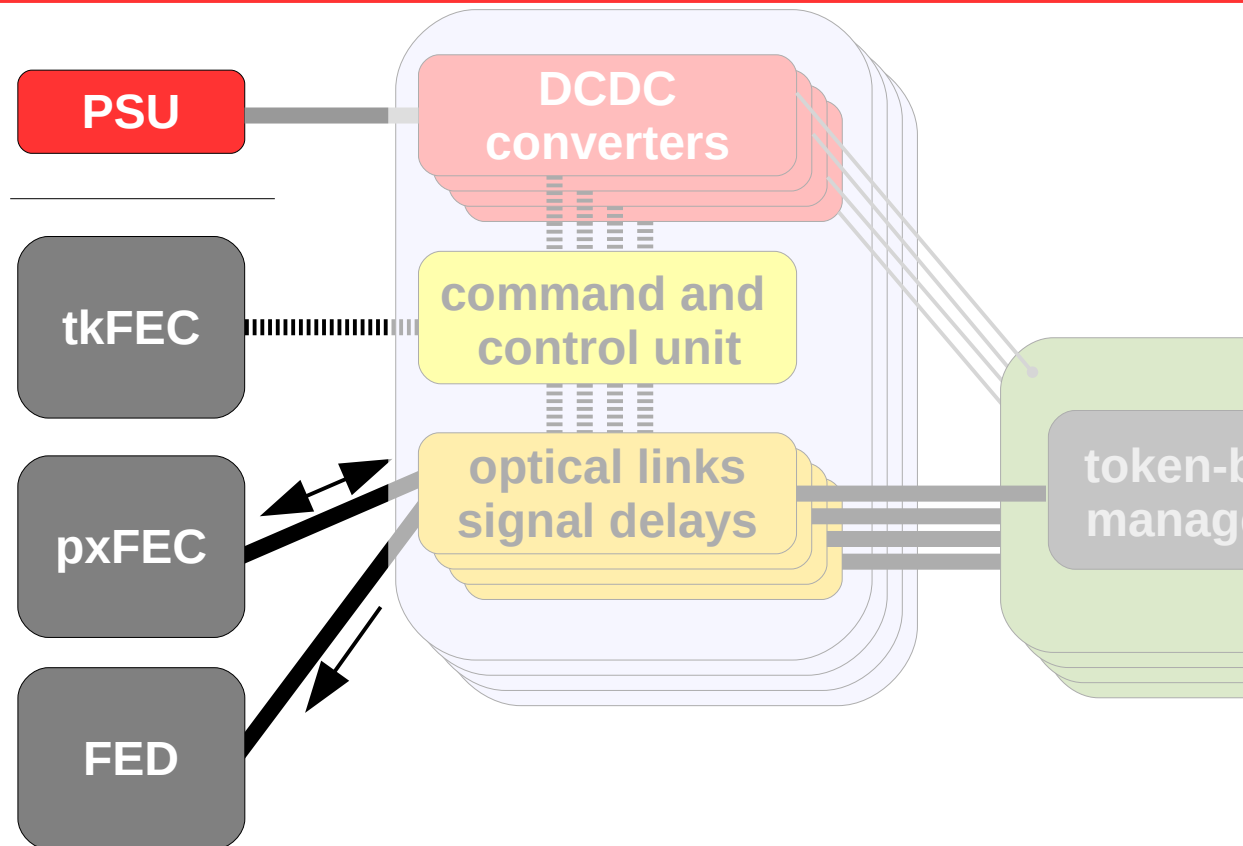
Signal Path – Service Cylinder



service electronics integrated in long channels outside the tracking volume (high- η region; $\eta > 2.5$)

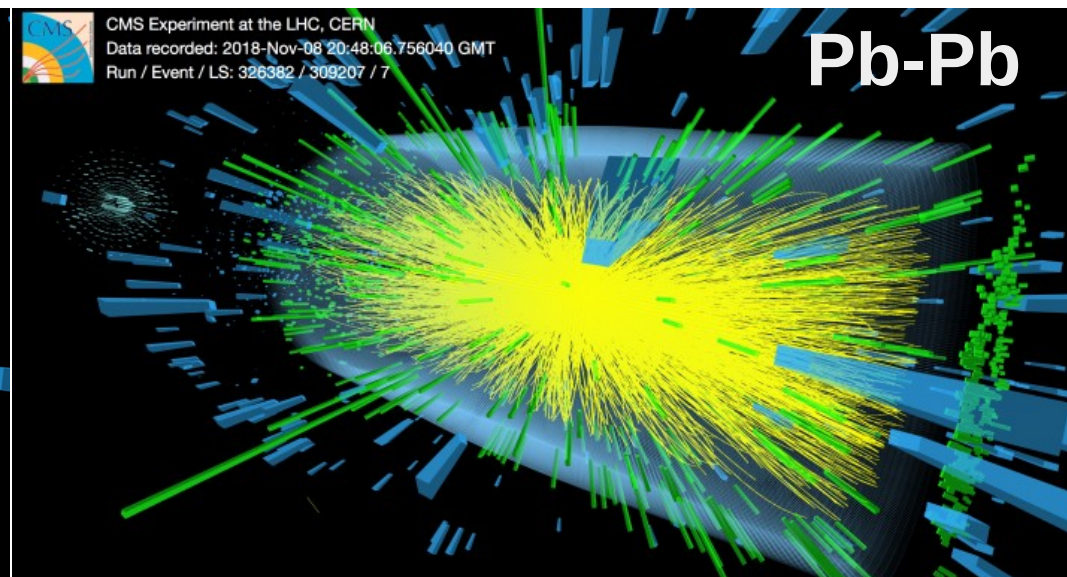
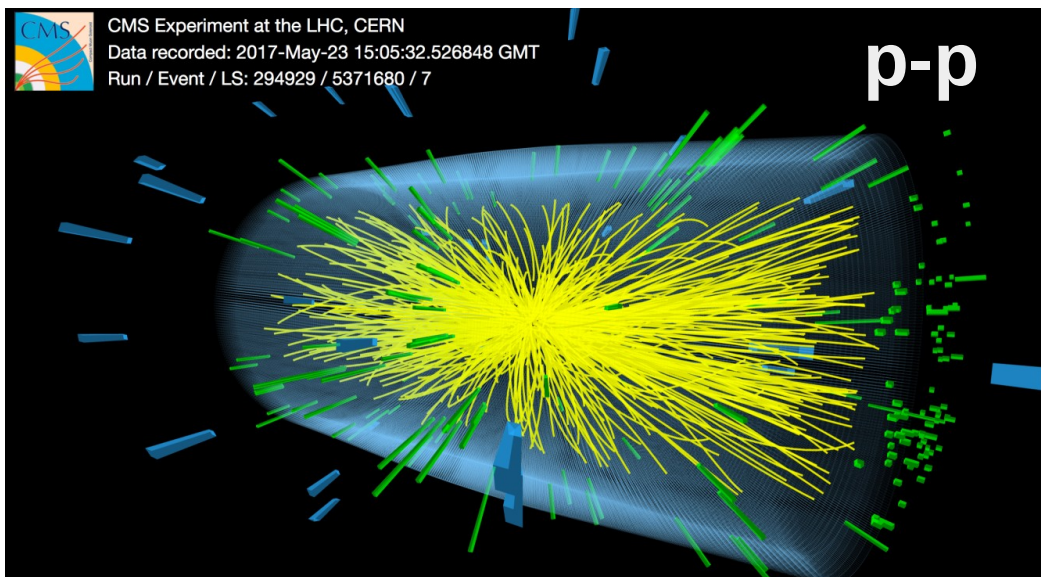


Signal Path – μ TCA Backend Electronics



- replacement of VME **front-end boards**
 - 108 **FrontEndDrivers** (FED) → detector readout
 - 16+3 **FrontEndController** (FEC) → detector control
- new CMS-wide crate standard: **μ TCA**
 - all based on **generic AMC card** (FC7) built around Kintech 7 FPGA and 4GB DDR3 RAM
 - different **flavors** realized with **FPGA mezzanine cards/ firmware**
- capable to drive/receive links of **up to 10Gb/s**

Operational Experience

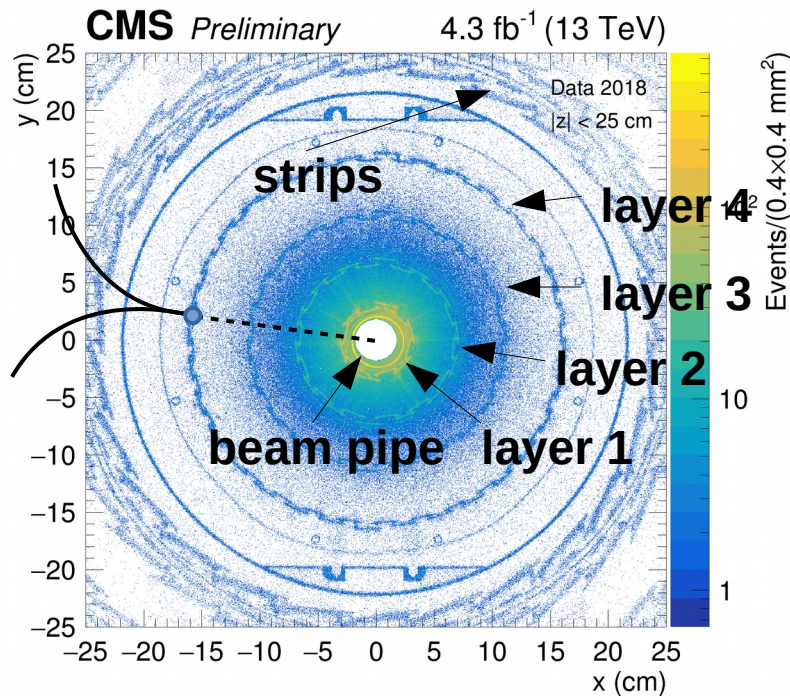


Disclaimer

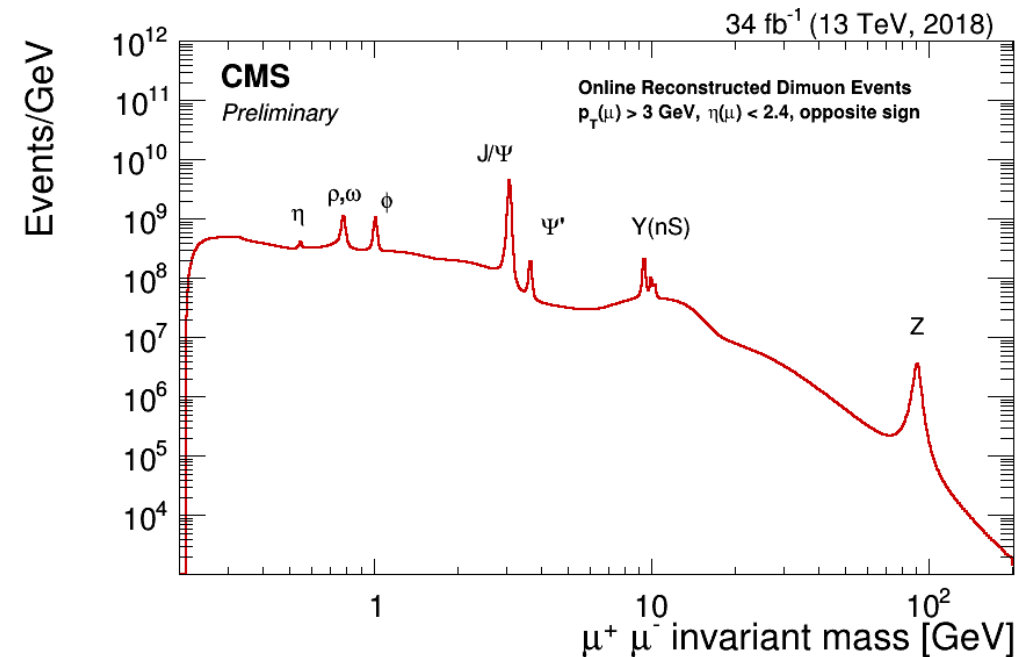
- I will be **very open on problems** we encountered during the last two years
- BUT: don't get the wrong impression that the detector did not work
- the physics performance was always excellent not at last thanks to an hard-working, fantastic operation team!



vertices from nuclear interaction



di-muon mass on HLT trigger level



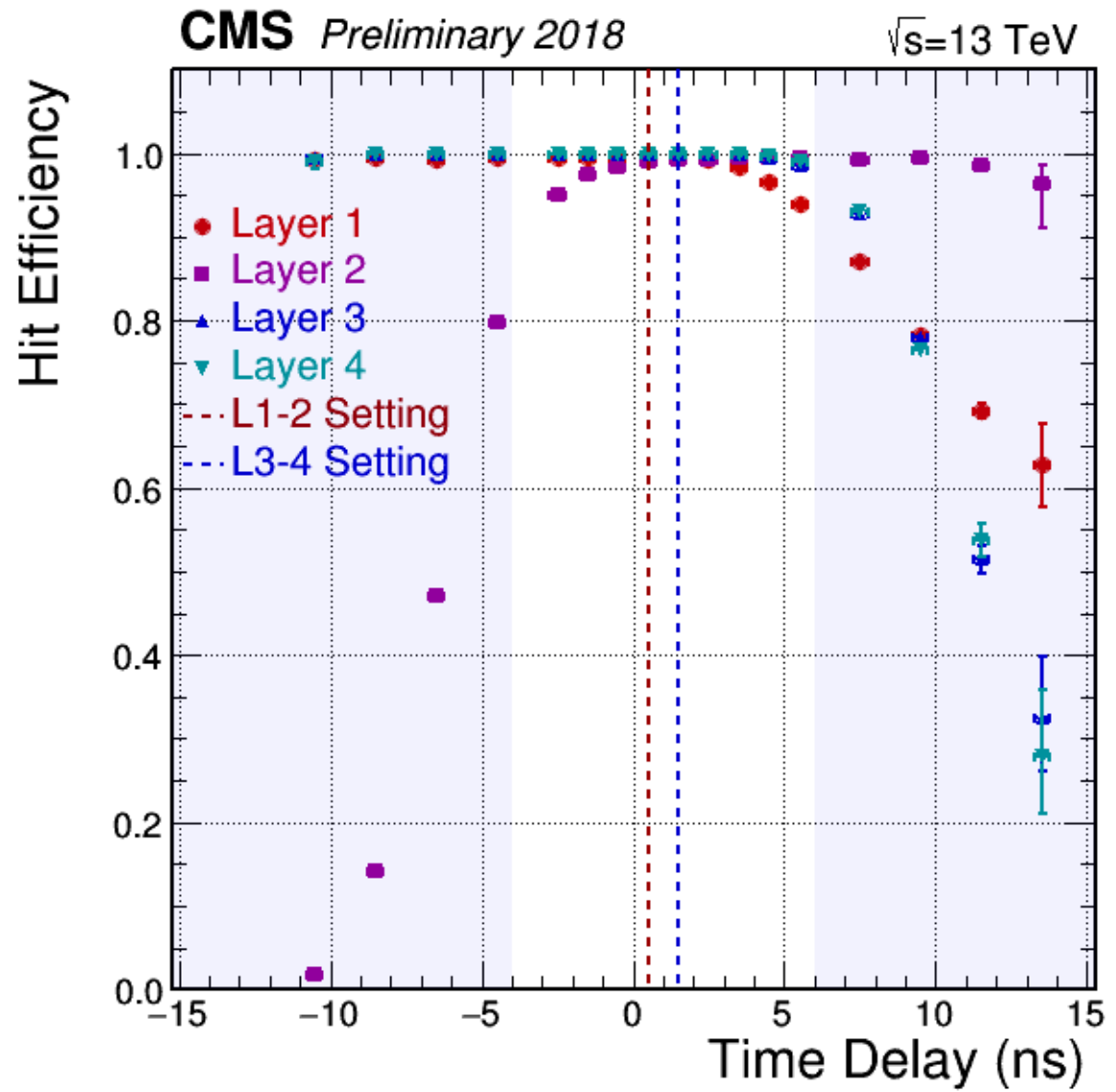
Timing between Layers

timing between layers

- read-out chip in layer 1 (PROC600) half a clock cycle (12.5ns) faster than the ROCs in layer 2-4 and FPix (psi46dig)
- shared clock/trigger distribution in layer 1/ layer 2 per ϕ -sector
- read-out of layer 2 too early, layer 1 too late
- very small overlap of efficiency plateau

plans for the future

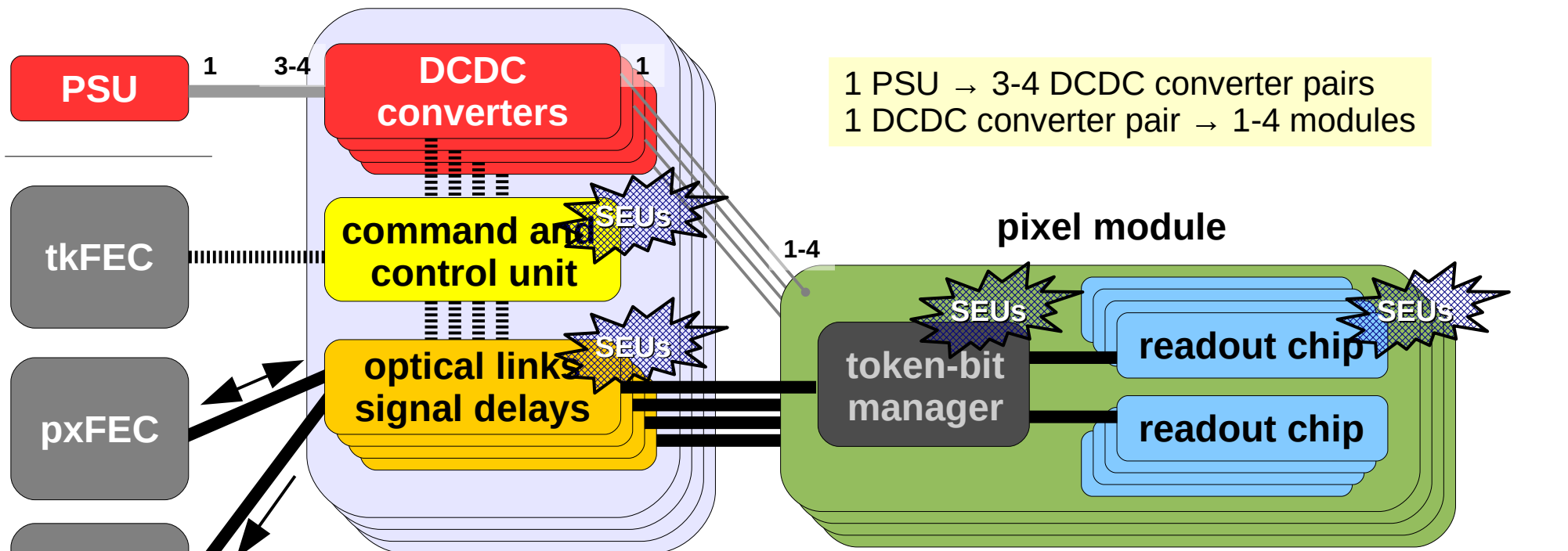
- current solution seems to work, though is not comfortable to operate so close to the efficiency edge
- TBM for layer 1 replacement includes adjustable delay (on the module level)



Single Event Upsets

status in 2017/2018

- single event upset expected in high radiation environment
- SEUs observed in many components in 2017/2018
- normal procedure: download configuration parameters to the front-end regularly



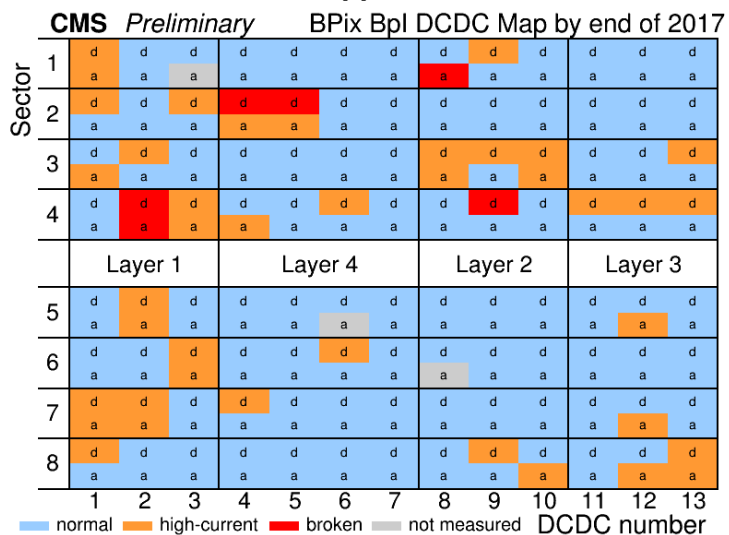
special TBM SEU

- SEU causes latching of one out of two cores of the TBM
- latched TBM core stops data output
- reprogramming the TBM does **not** resolve the problem
- only powercycle recovers the TBM core and thus the ROCs behind
- in 2017: [command and control unit used to disable/enable DCDC converter](#)

The DCDC Story

timeline

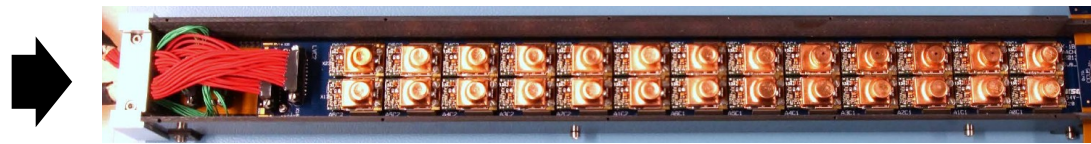
- October 5th 2017: first DCDC converter stopped working
- extrapolation of failure rate to 2018: no sufficient tracking by mid 2018
- extraction of the detector during YETS 2017/2018
- replacement of **all** converters with similar version, but bigger fuse ([allowing to operate the converters at a lower input voltage](#))
- early 2018: reinstalled the detector without managing to break a single converter outside CMS(!)



characterization of extracted converters

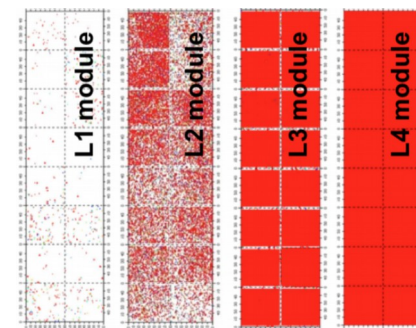
→ I-V characterization of all extracted converters:

- 65 not switching anymore
- 333 higher currents in disabled state
- rest (~800) behaves normally



damage on modules

- sensor leakage currents cannot be drained efficiently if ROC is not powered
- damage on the pre-amplifier if HV on/ LV off
- damage proportional to time and sensor leakage current
- **6 (accessible) out of 8 damaged modules in L1 replaced**



The DCDC Story

after months of investigation

- chip designers of the FEAST chip found a way to reproduce the breaking symptoms
- once a feedback loop was established, breaking mechanism could be identified quickly

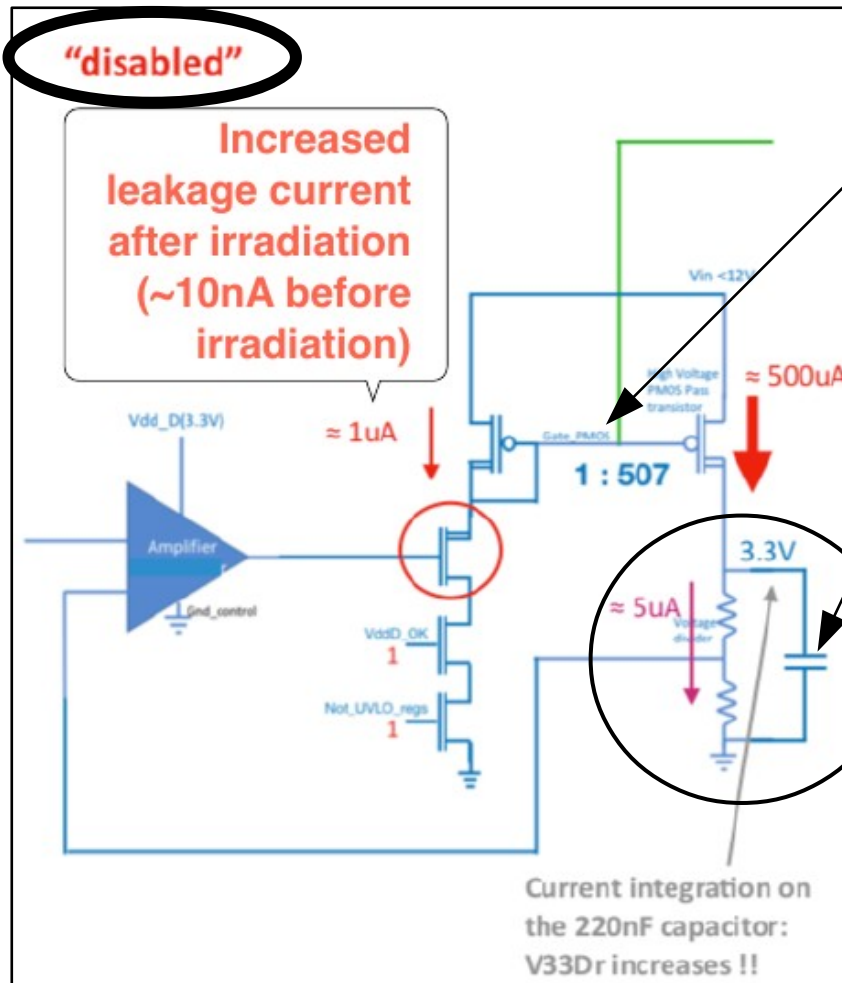


figure by Federico Faccio

amplifying
current mirror

capacitor
charging up in
the disabled
state causing
voltage spikes
well beyond
3.3V

part providing
current to the
drivers of the
power
transistors

two possible results

- high leakage current forms new ohmic path to ground (\rightarrow high current)
- converter breaks irreversibly (\rightarrow broken)

consequences for operation

- lower input voltage helps
- stop disabling the output
- what about latched TBM cores? \rightarrow enable/disable output of the power supply (not DCDC)

**no DCDC converter
broke in 2018!**

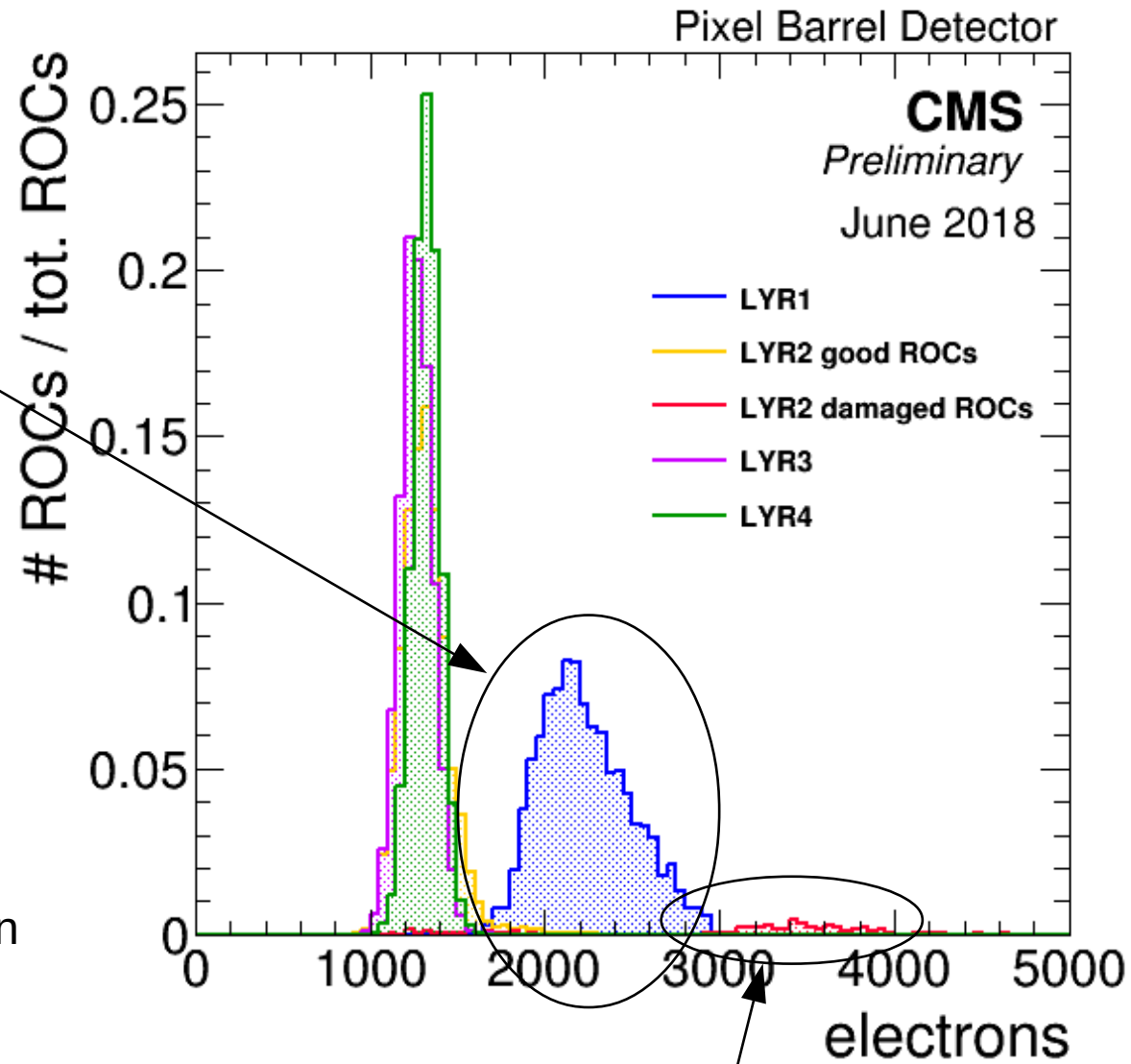
Layer-1 readout chip crosstalk

crosstalk issue

- large crosstalk has been observed
 - extra hits appear correlated with real hits
 - effect is highly rate dependent
- higher thresholds needed in order to operate the chip efficiently
- two main sources of the problem identified recently
 - dominant contribution can be mitigated by optimized programming sequence
 - better shielding in the ROC design will further improve the situation

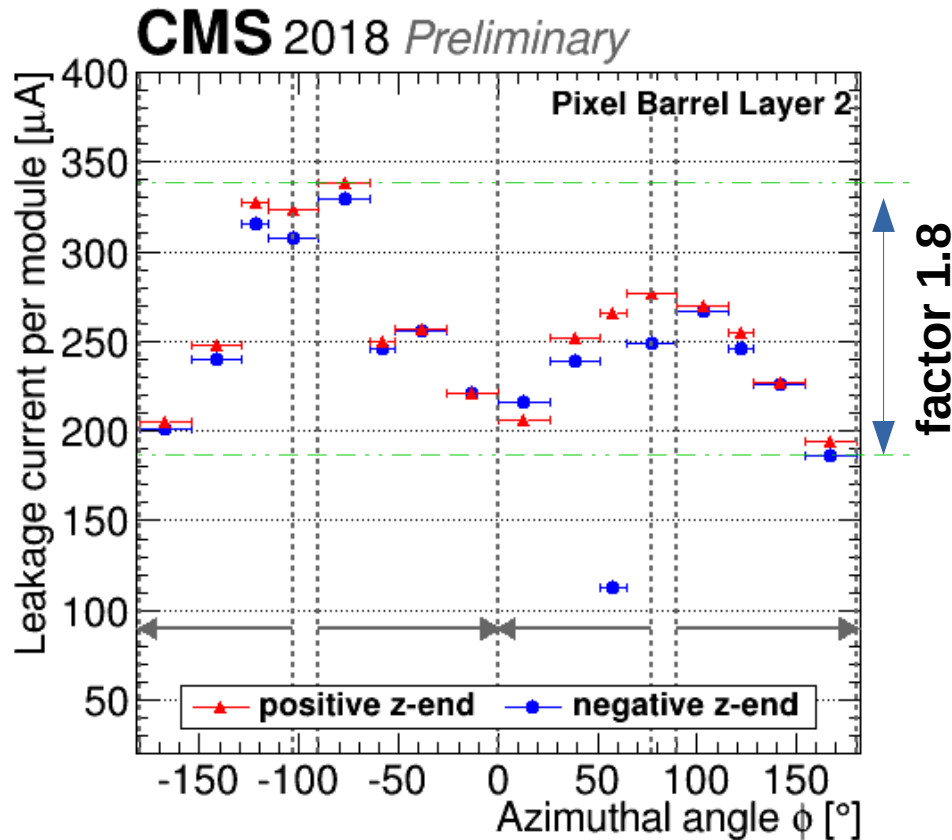
plans for the future

- crosstalk problem will be addressed in the next version of the read-out chip
- problem will be gone after LS2



Leakage Currents

observation: strongly varying leakage currents within the same layer

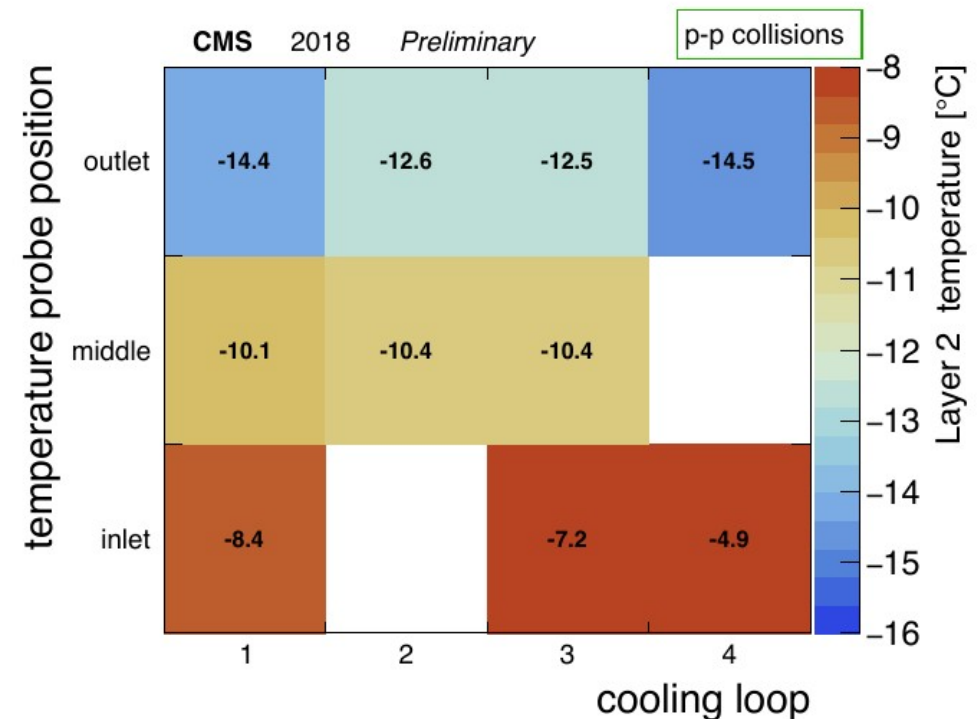


thermal mock-up fully instrumented with temperature probes ideal tool to characterize the cooling performance!

hypothesis: inhomogeneous cooling?

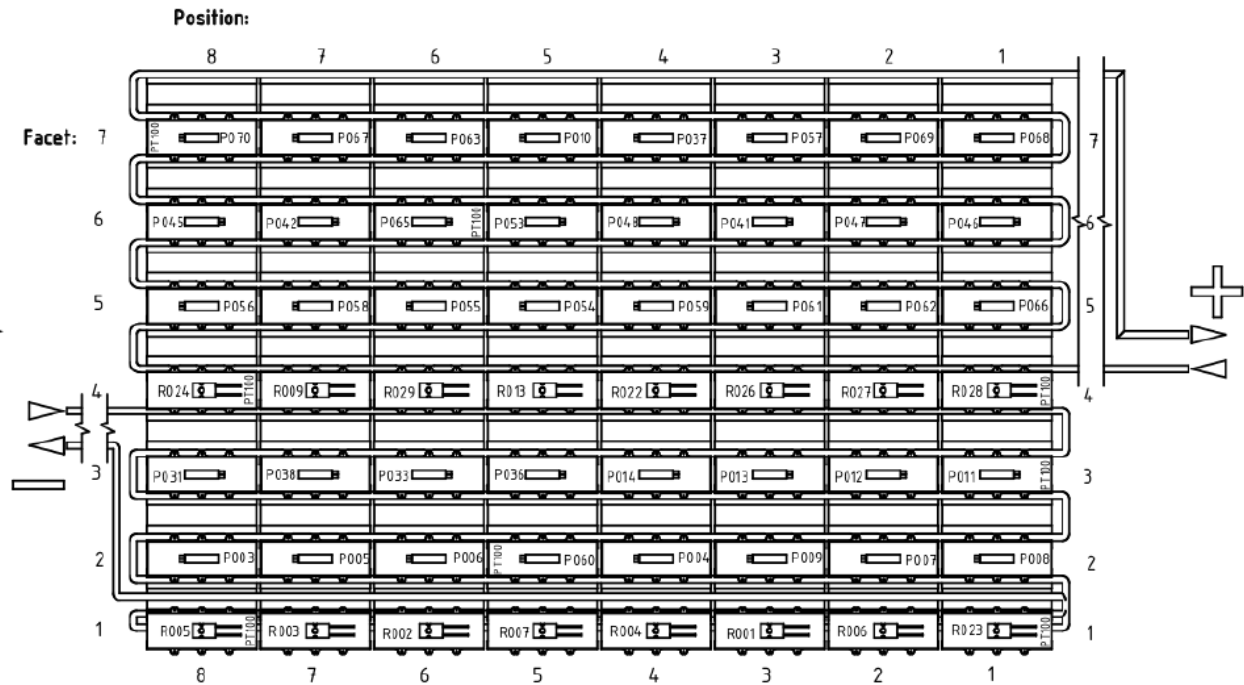
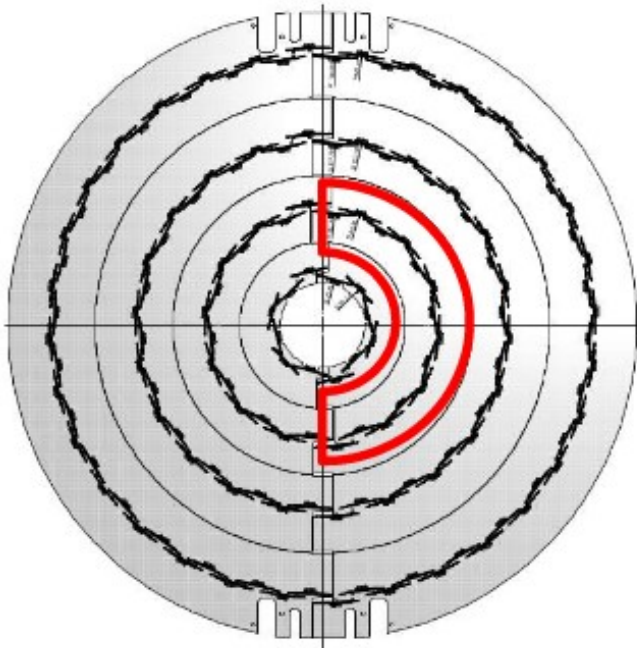
challenges:

- measured leakage currents always sum of 4 or 8 modules in layer 2
- only 2-3 temperature probes along a cooling pipe (covering $\Delta\phi=90^\circ$)
- position of the probes not known precisely

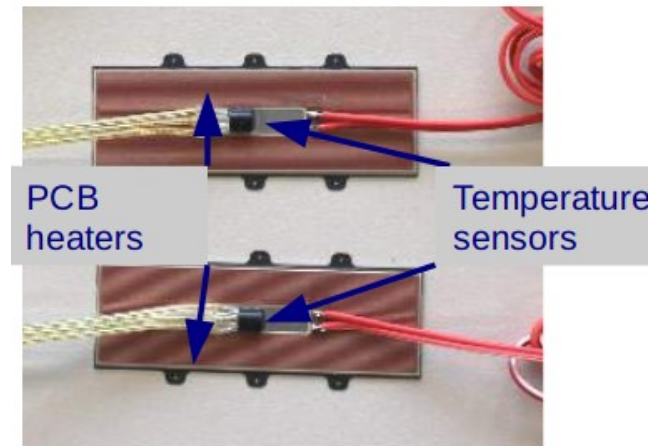


Thermal Characterization

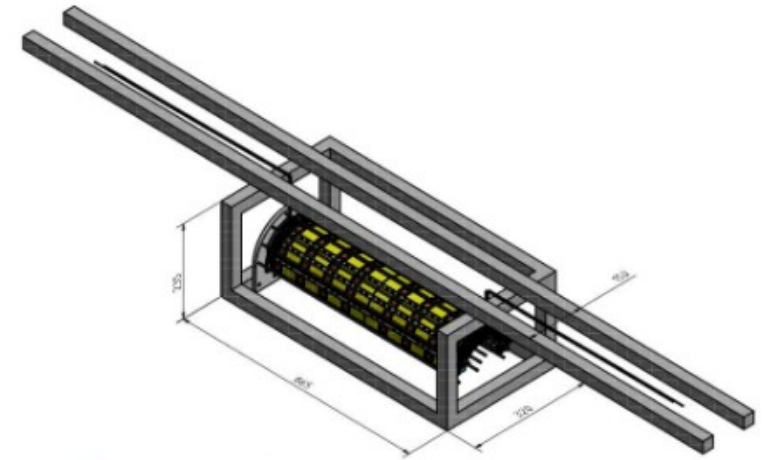
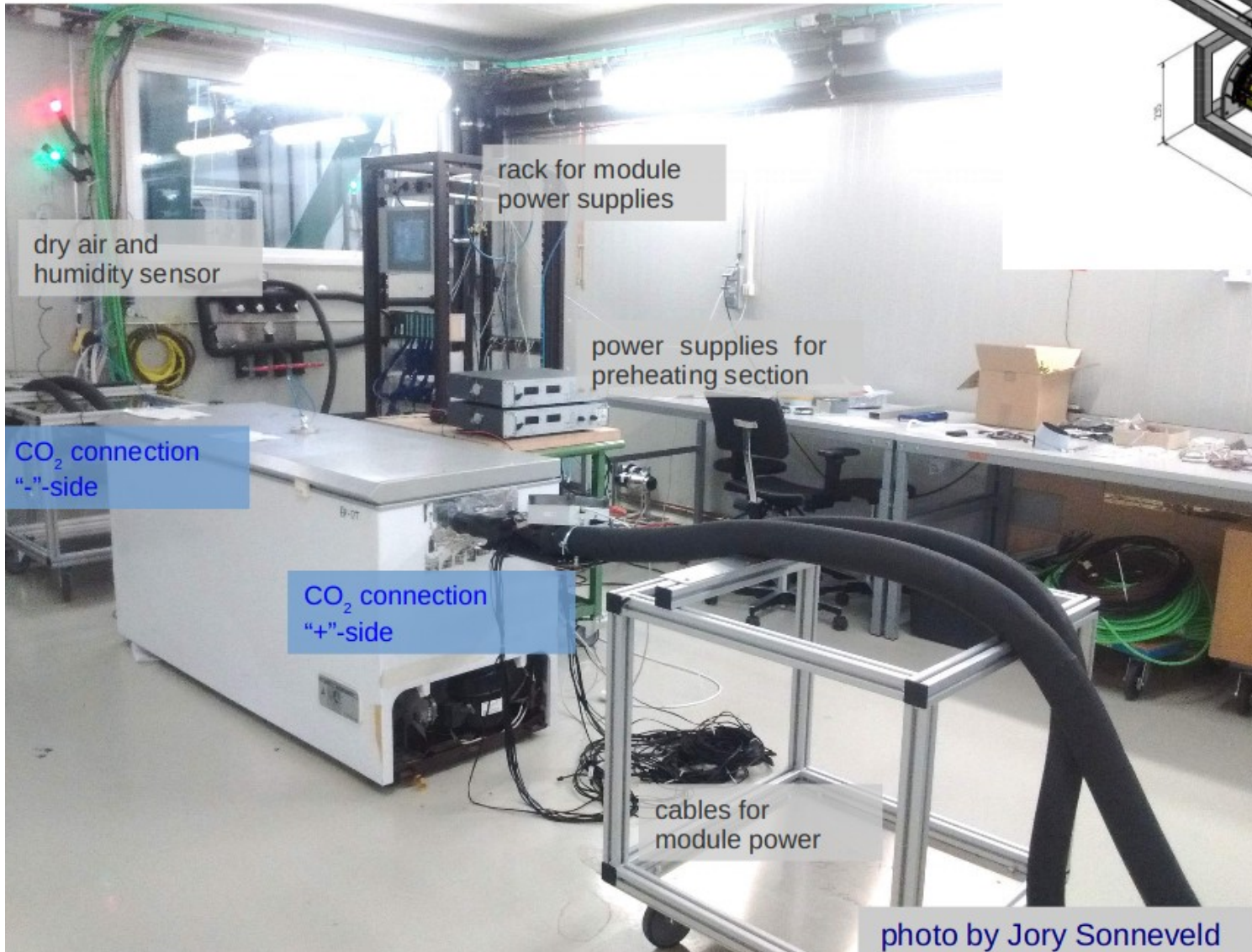
1:1 rebuild of layer 2 half-shell with heater modules



- adjustable heat load for groups of modules
- temperature sensor on top of each module
- adjustable preheating on a section of the CO₂ pipe in front of the mock-up
- PLC and arduino based readout



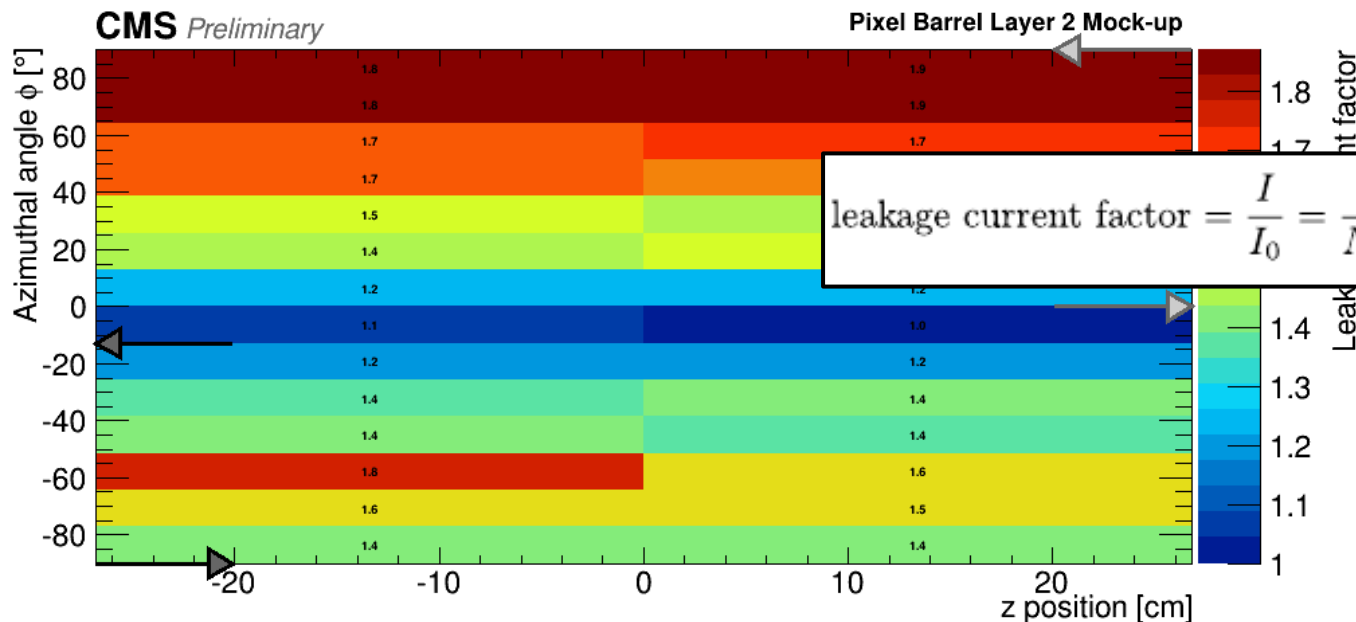
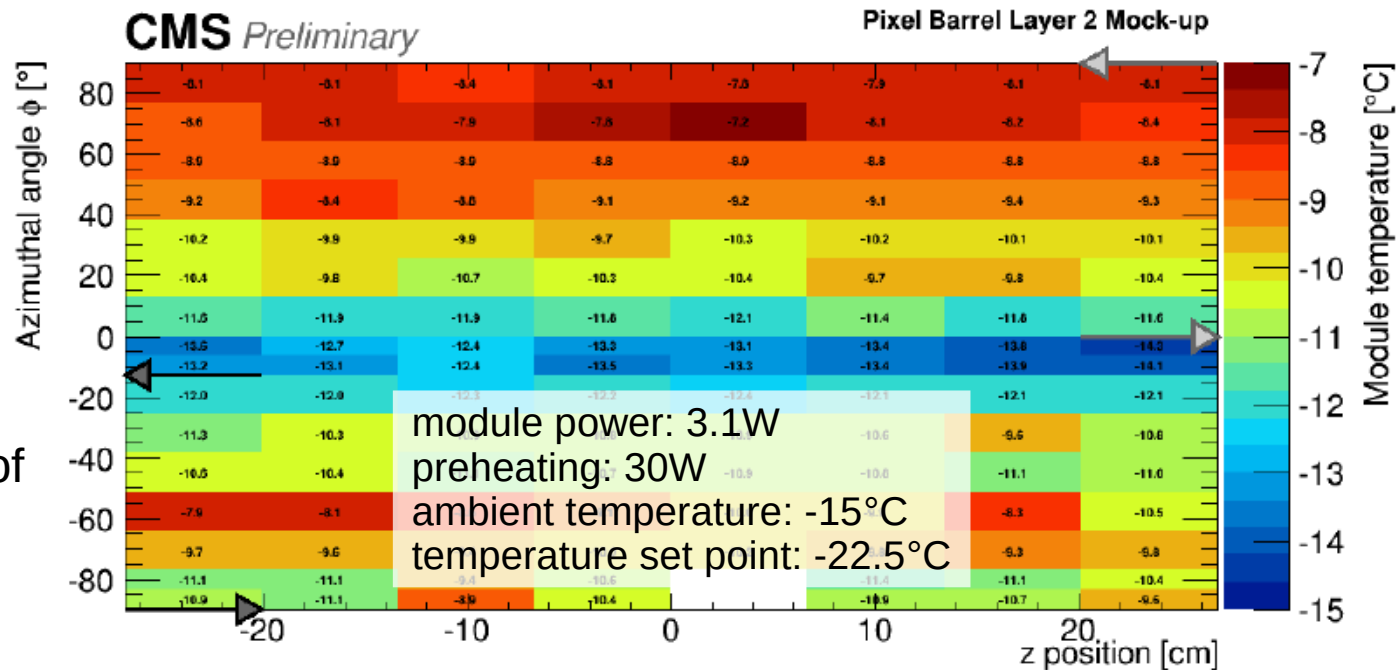
Thermal Characterization



Thermal Characterization

- temperature variation: $-15^{\circ}\text{C} \rightarrow -7^{\circ}\text{C}$
- cooler at the outlet
- expected behavior of CO2 cooling

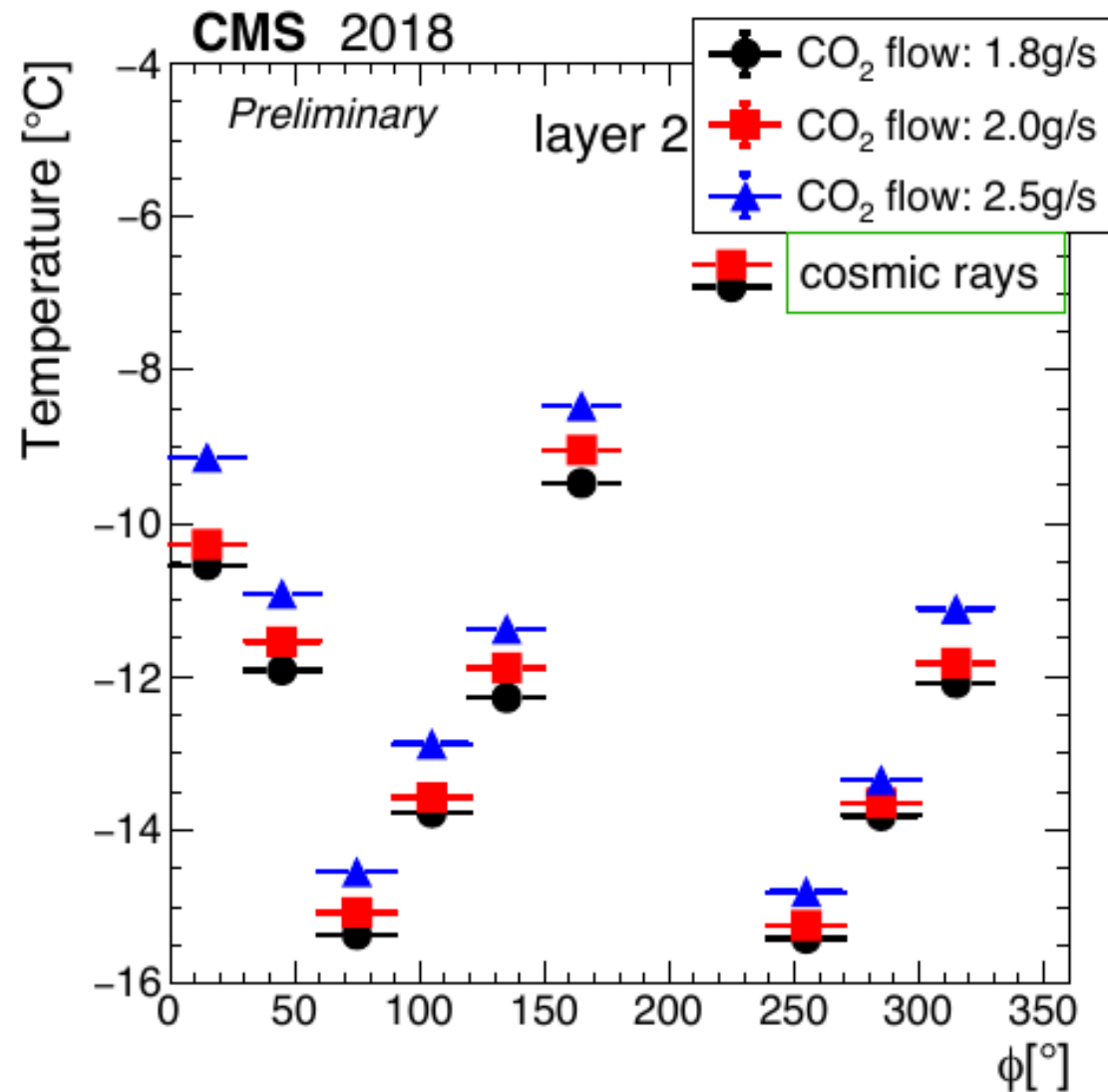
prediction of relative factor of leakage currents based on detailed measurement in thermal mock-up



factor 1.8 observed in detector
 consistent hypothesis
 temperature effect

Experience with CO₂ Cooling

- large temperature gradient observed
- not expected, but reproduced with thermal mock-up
- very stable and reliable operation during 2017 and 2018
- adjusted (reduced) the flow over the last two years three times to optimize the cooling performance
- thermal mock-up of a part of a layer as an inevitable tool in understanding the (counter-intuitive) characteristics of the cooling



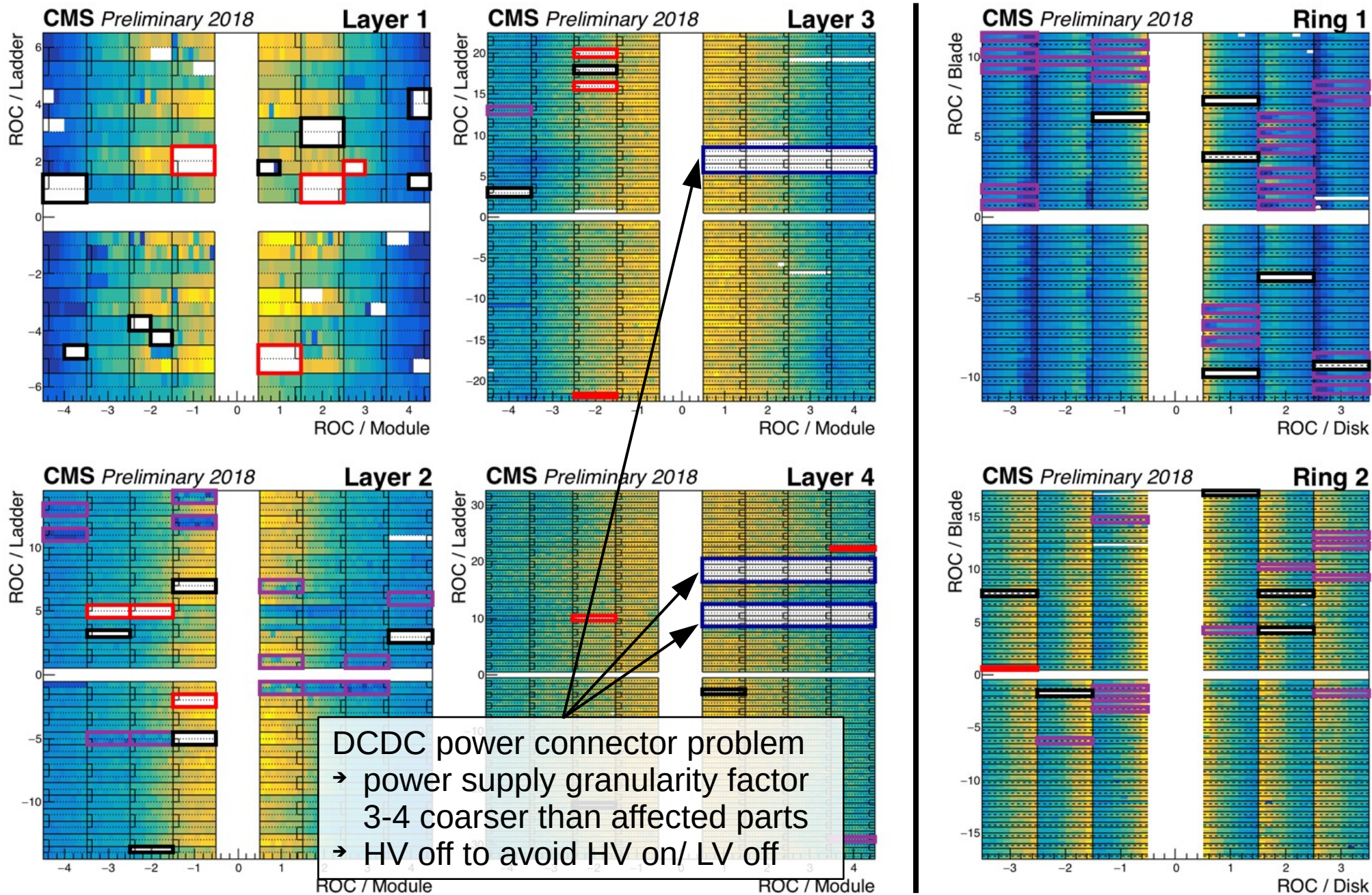
important lessons learned:

- 2-phase CO₂ is a very efficient coolant, but it is not easy to warm up the detector if there is no heat dissipation from active components
- annealing? safety?
- heating wires should be considered for each CO₂ cooled system

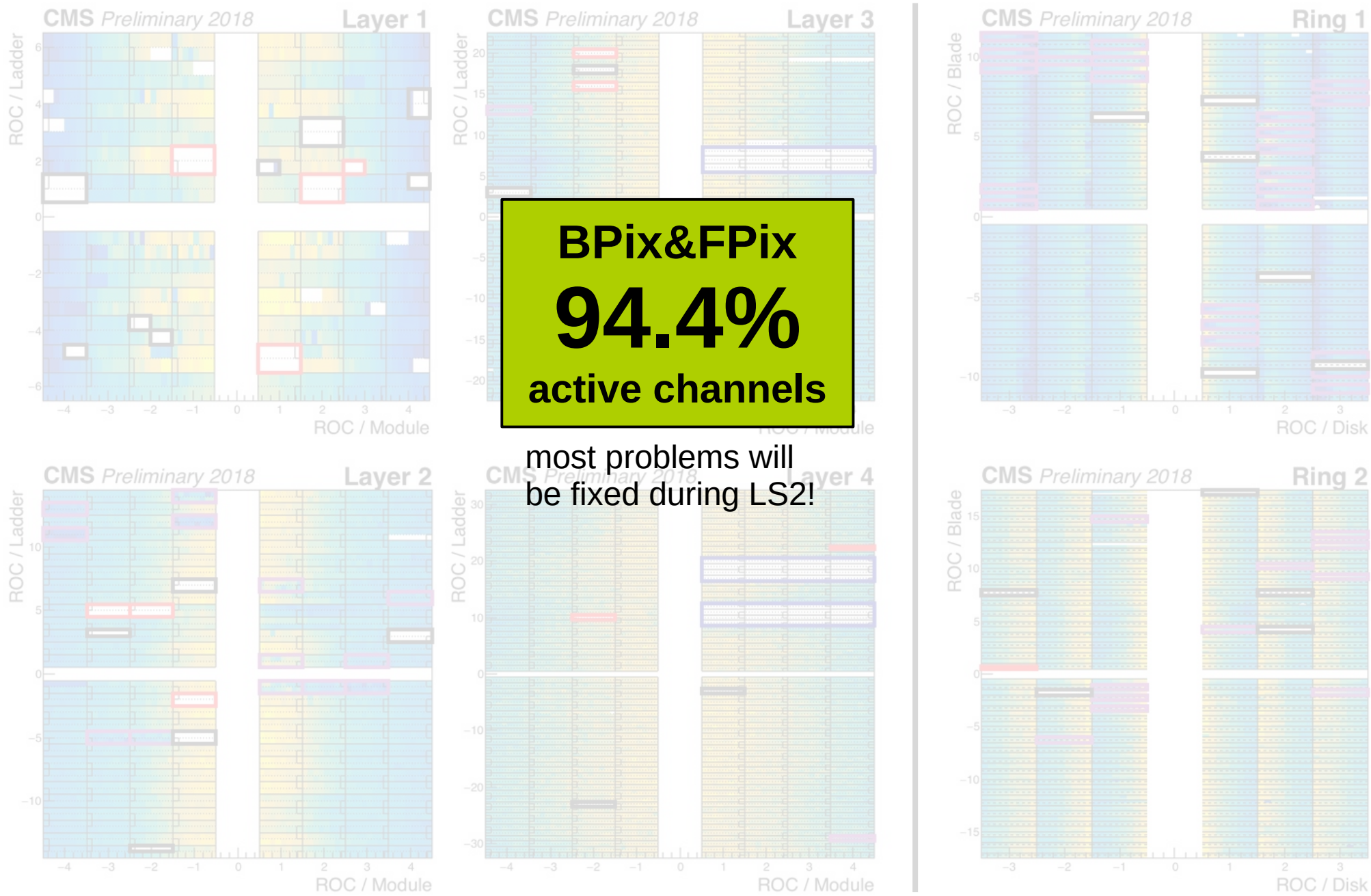
Detector Performance and Radiation Effects



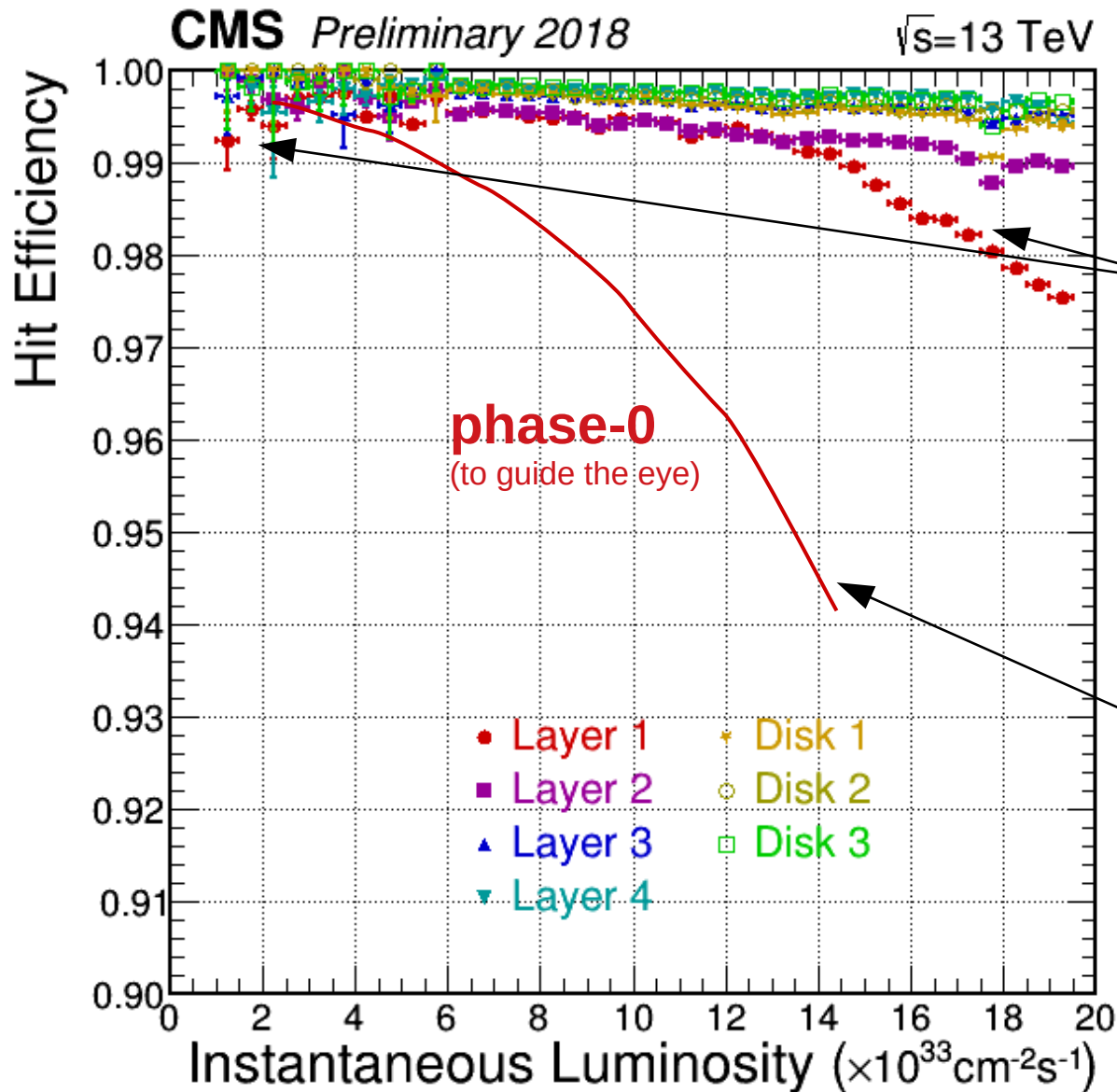
Detector Status by the end of 2018



Detector Status by the end of 2018



Hit Efficiency



- inefficiency in layer 1 for luminosities $> 1.4 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$ and $< 1 \times 10^{33} \text{cm}^{-2}\text{s}^{-1}$ understood
- will be further improved in new version of PROC600

note:

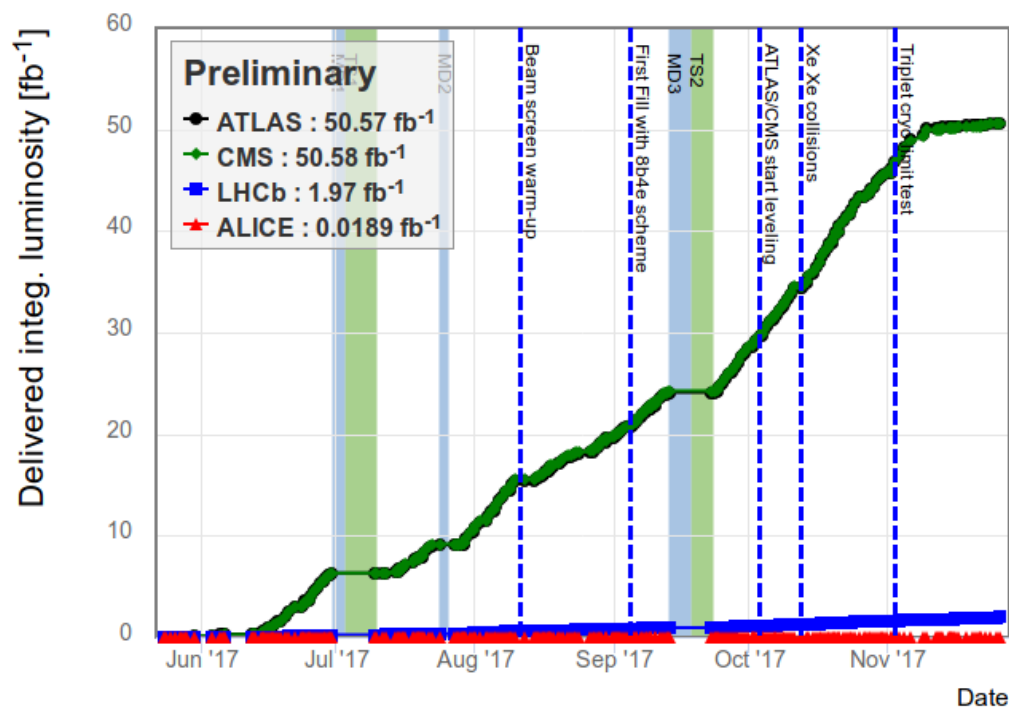
$r_{L1}(\text{phase-0})=43\text{mm}$

$r_{L1}(\text{phase-1})=29\text{mm}$

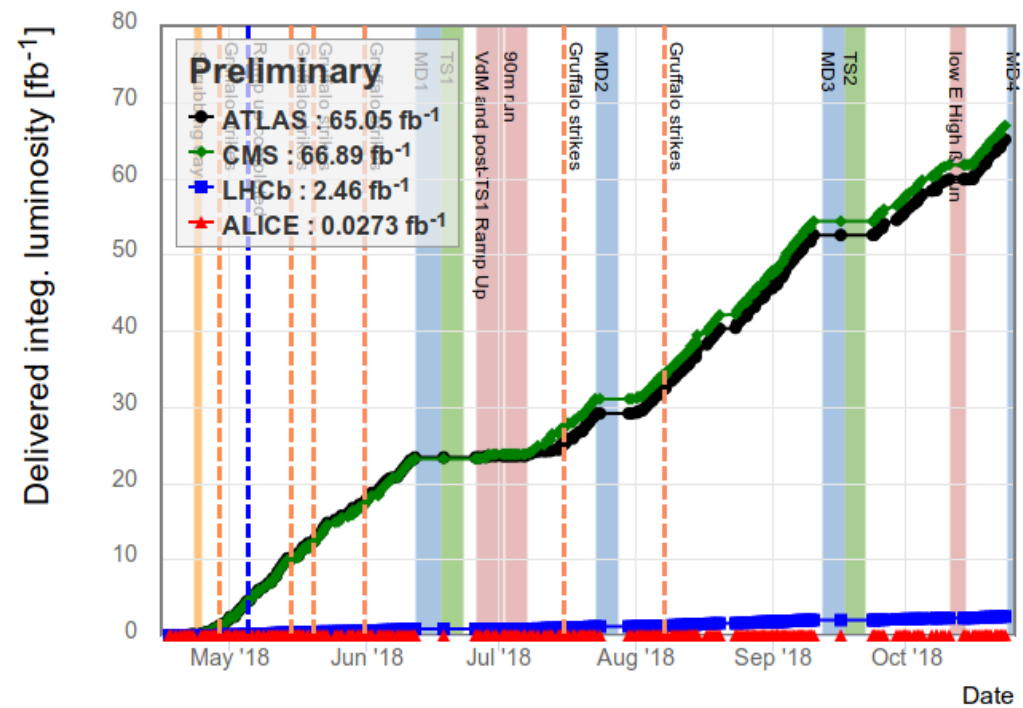
phase-1 ROCs exceed performance of phase-0 by far

Collected Dose in 2017&2018

Delivered Luminosity 2017



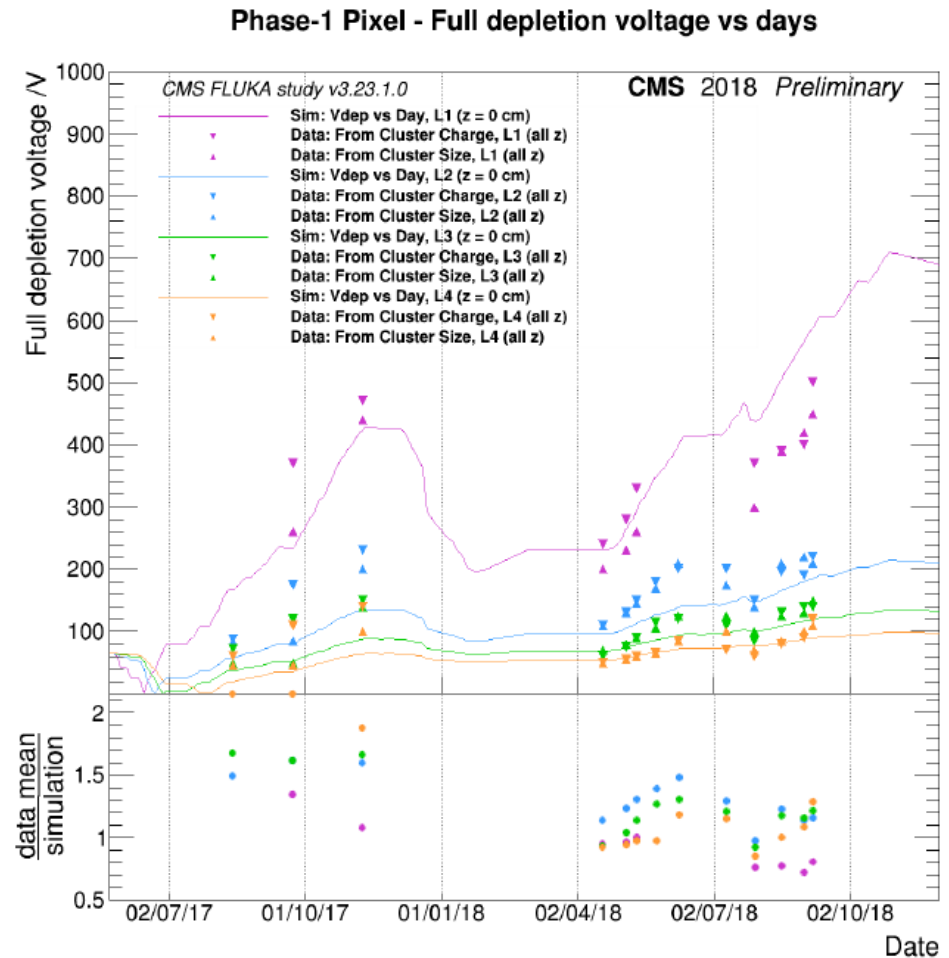
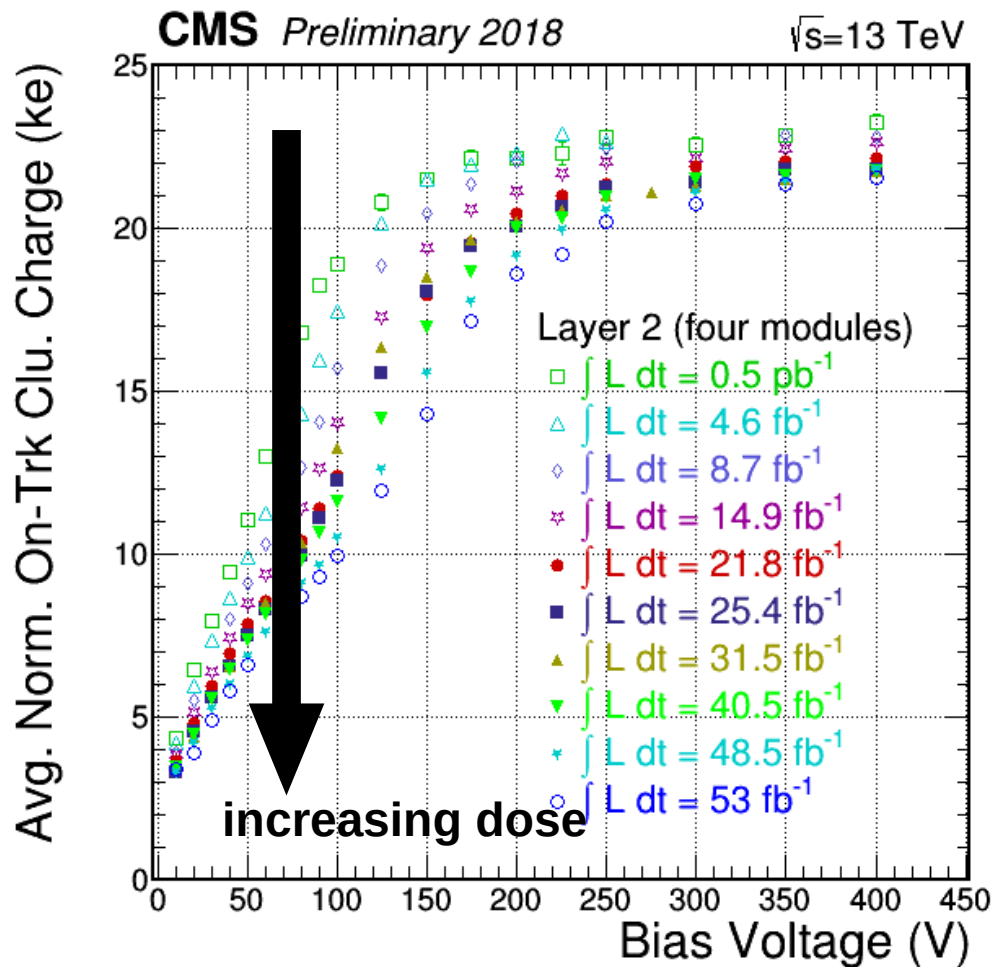
Delivered Luminosity 2018



$\sim 120\text{fb}^{-1}$ (end of Run-2)	fluence [$10^{14} n_{\text{eq}}/\text{cm}^2$]	dose [Mrad Si]
layer 1 ($r=29\text{mm}$)	8.4	40.1
layer 2 ($r=66\text{mm}$)	1.5	8.5
layer 3 ($r=109\text{mm}$)	0.9	5.2
layer 4 ($r=160\text{mm}$)	0.6	2.8

- limit for ASICs: 150Mrad
- “limit” for sensor: $15 \cdot 10^{14} n_{\text{eq}}/\text{cm}^2$
- Run-3: at least 220fb^{-1} more = $15 \cdot 10^{14} n_{\text{eq}}/\text{cm}^2$ (layer 1) → $23.4 \cdot 10^{14} n_{\text{eq}}/\text{cm}^2$ at the end of Run-3 without exchange

Monitoring and Prediction of Radiation Effects



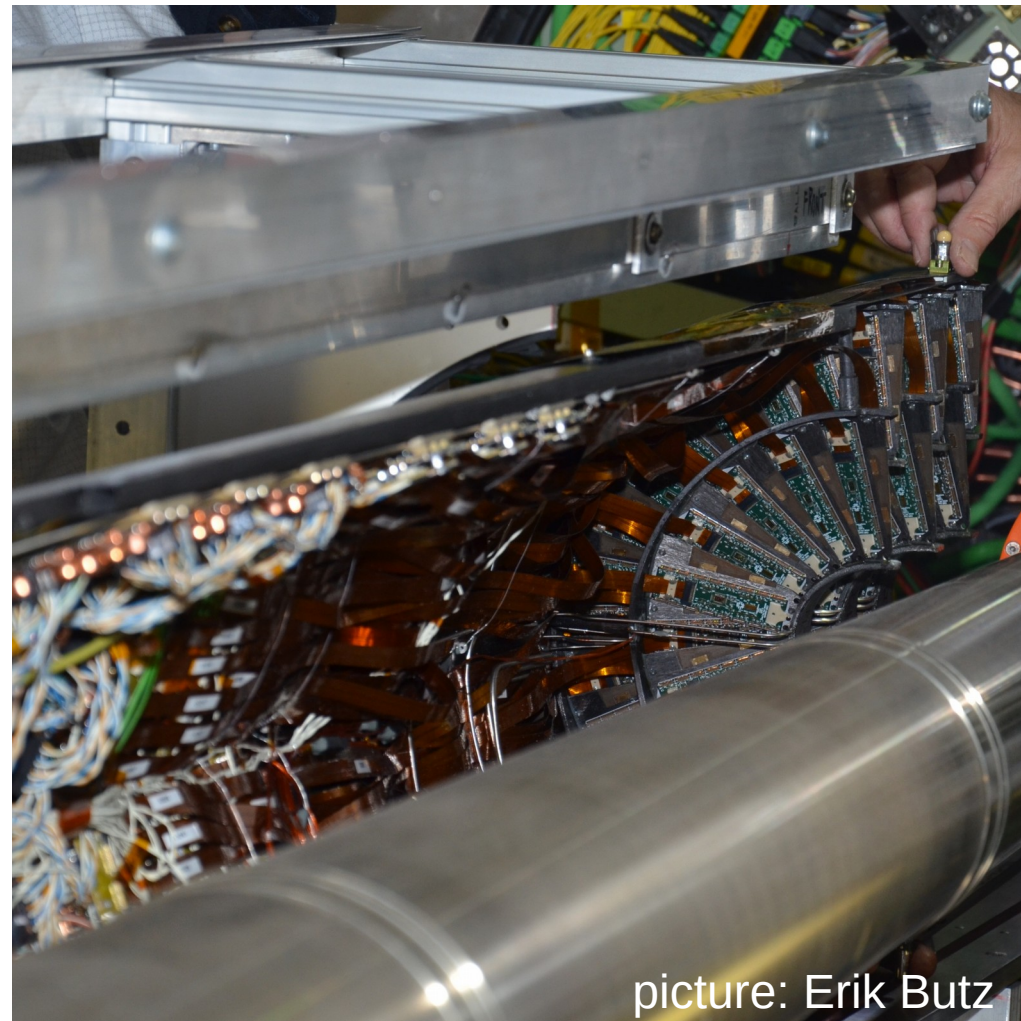
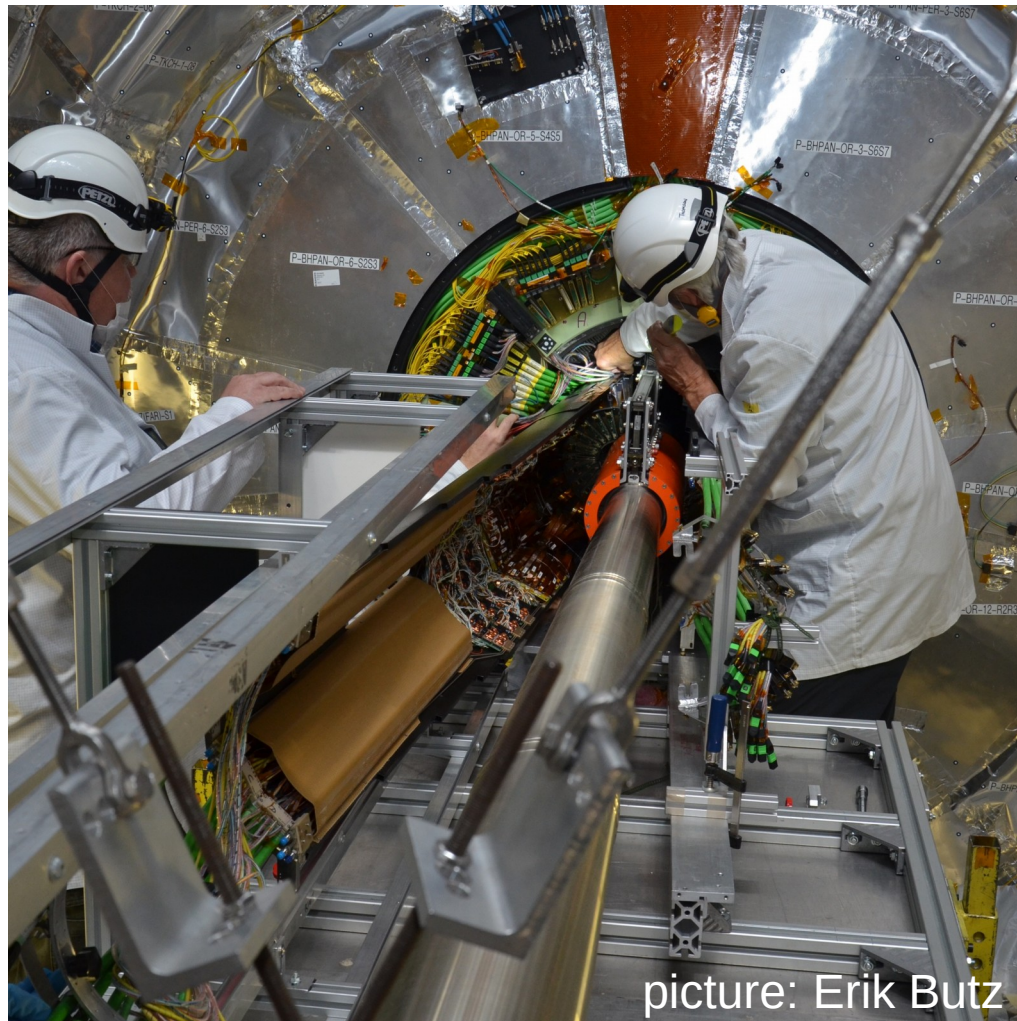
- every 1-2 weeks: bias scan on representative subset of modules in order to monitor radiation effects on sensor
- simulation based on Hamburg-model (effective space charge) to predict evolution
- very valuable tool for detector operation

Detector Extraction and Scheduled Work



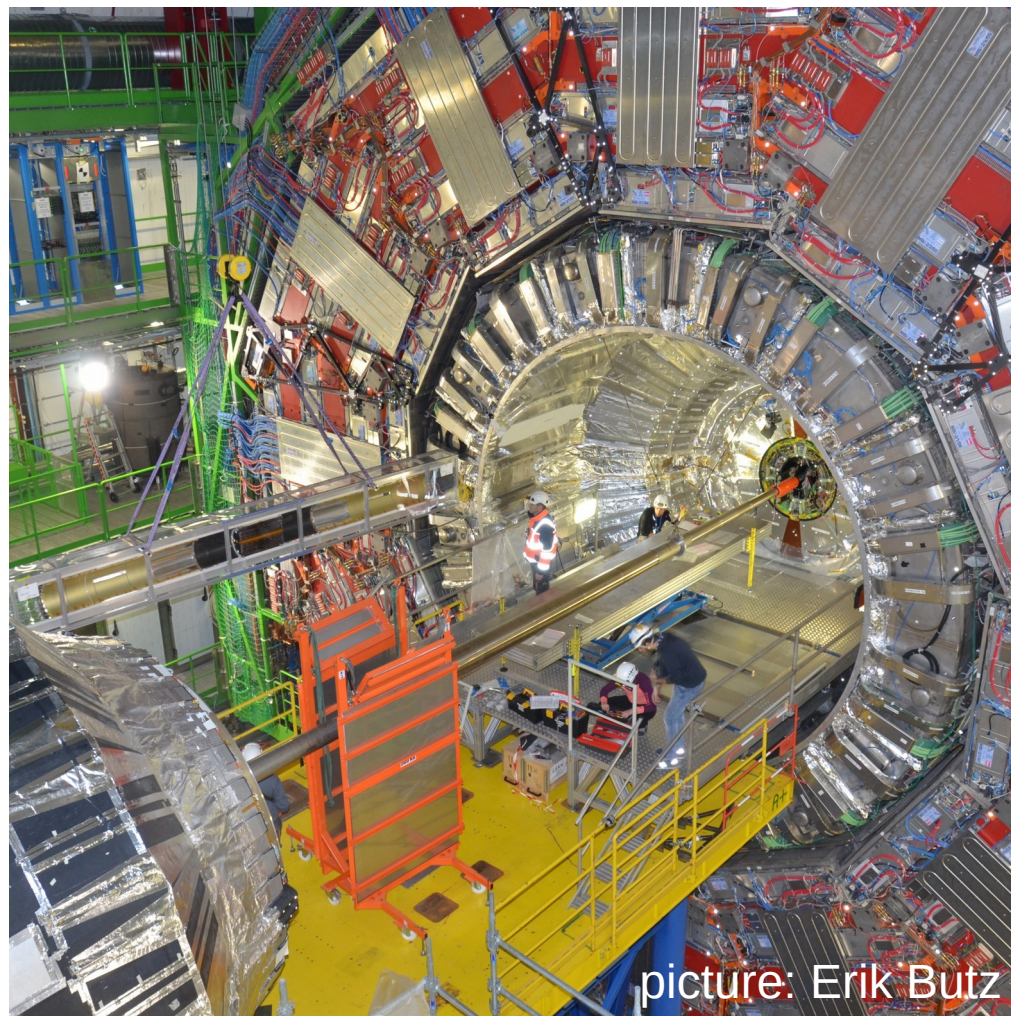
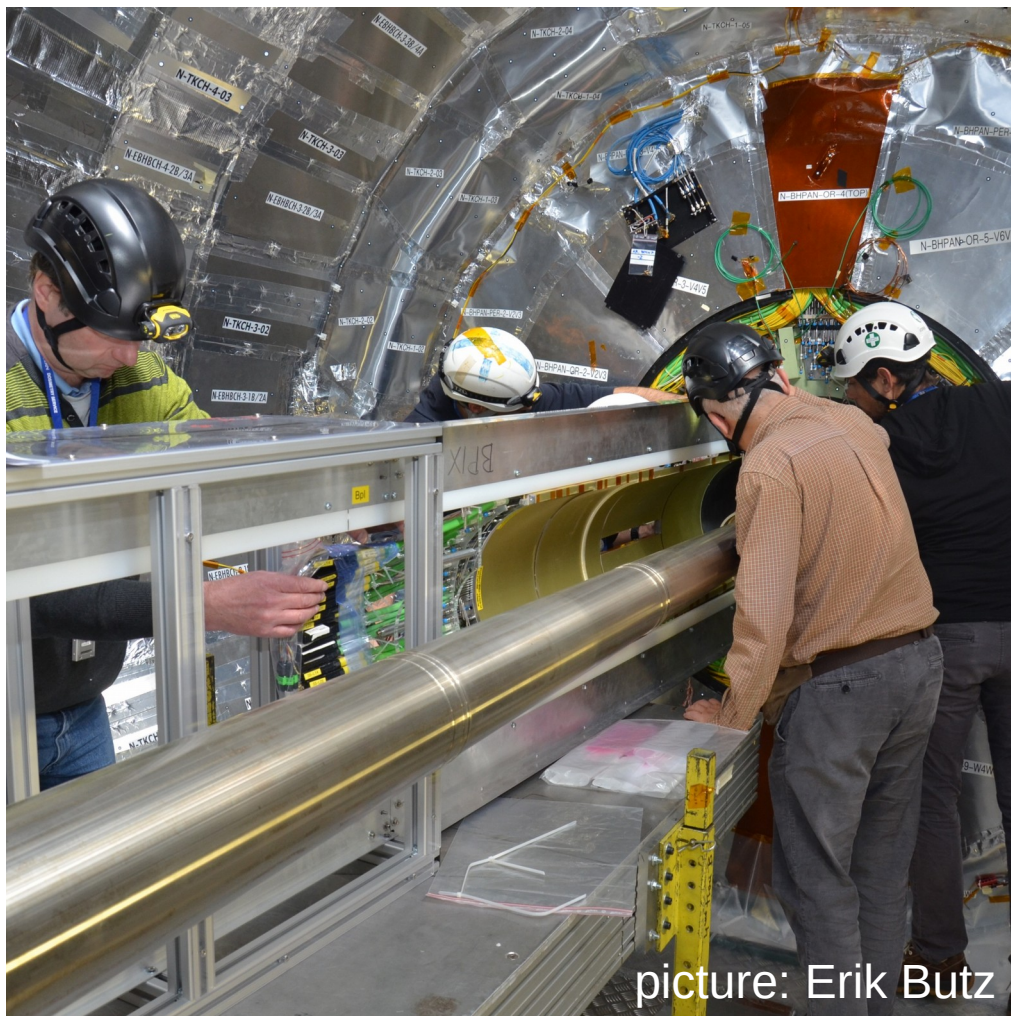
Detector Extraction 2019

FPix -z extraction (11.01.2019)



Detector Extraction 2019

BPix extraction (15.01.2019)

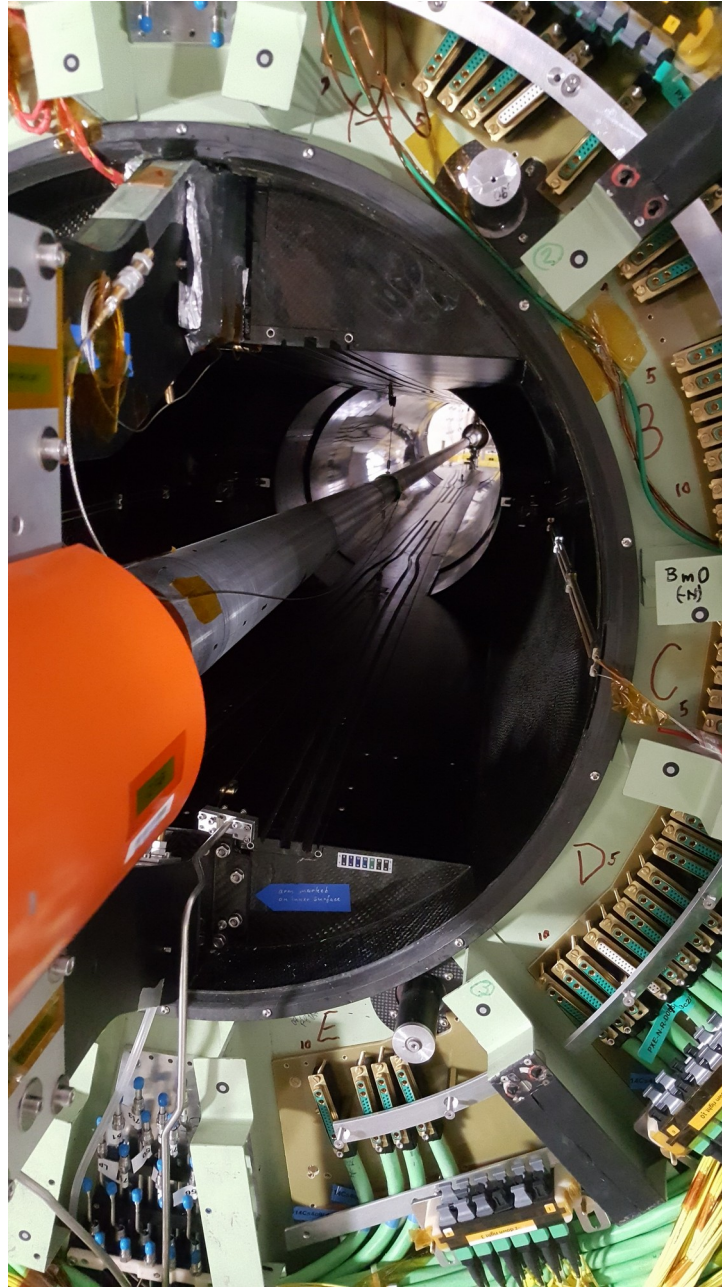


Detector Extraction 2019

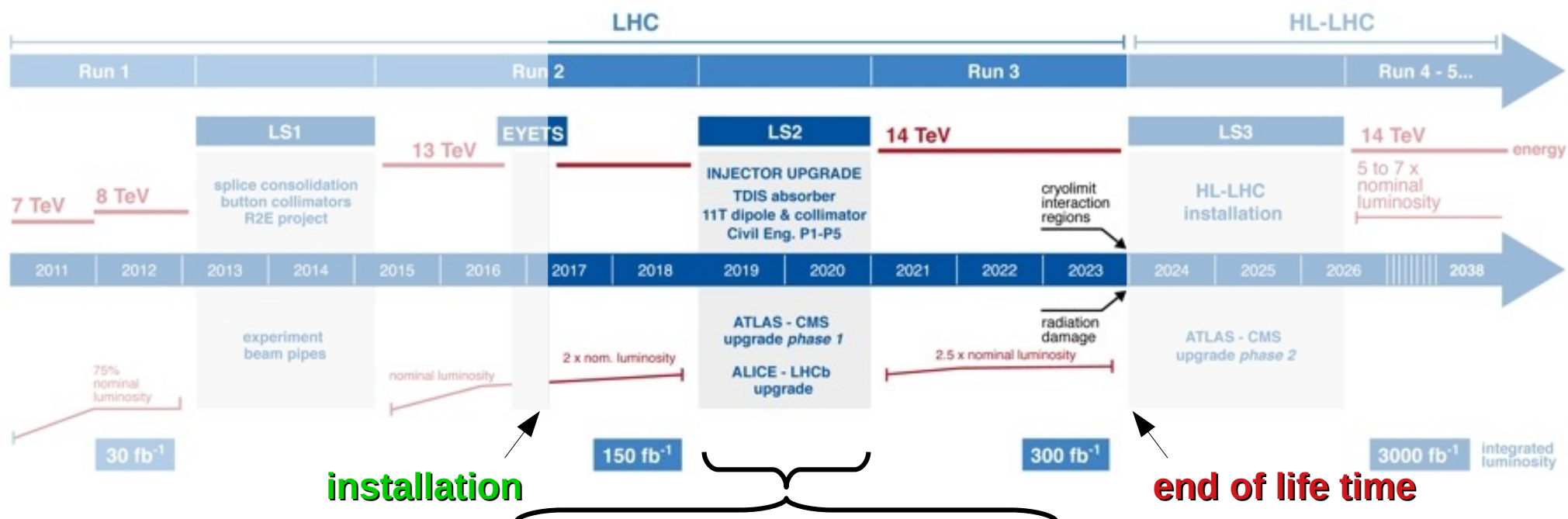


picture: Erik Butz

Detector Extraction 2019



Plans during LHC Long Shutdown 2 (LS2)



general detector maintenance

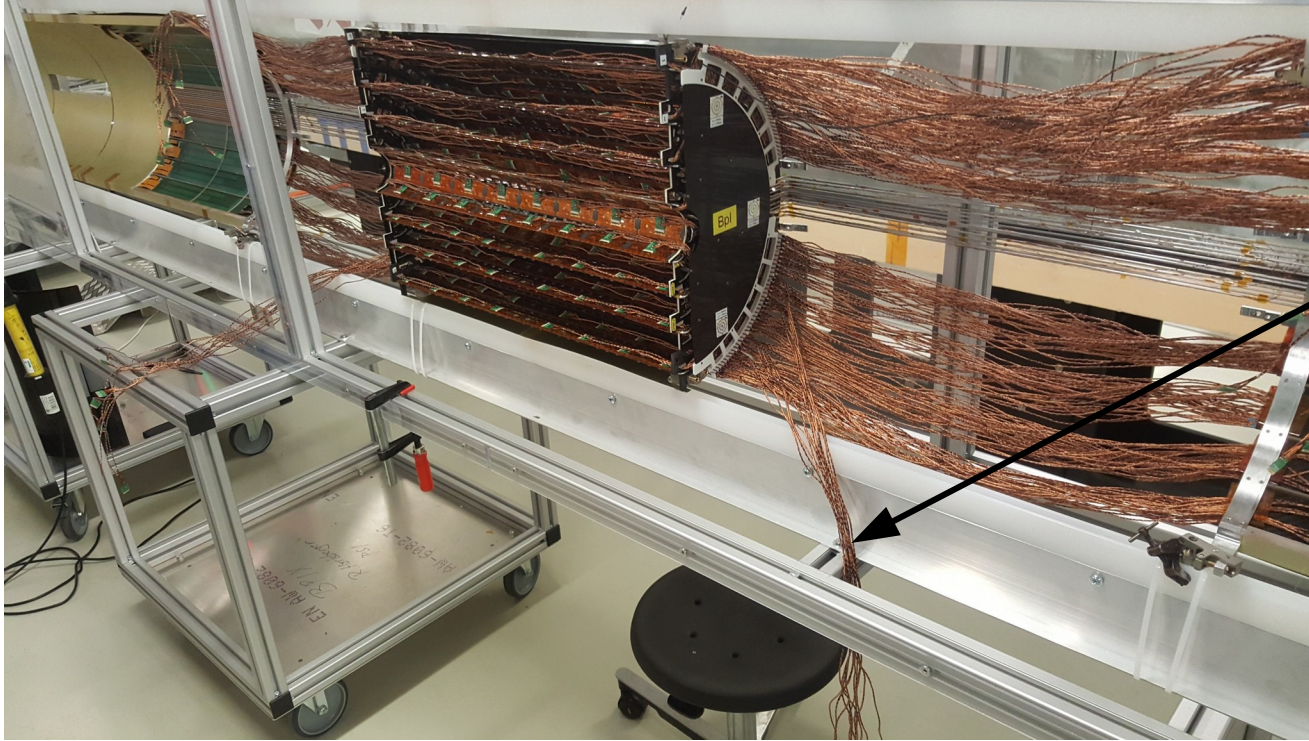
- replace all DC-DC converters with improved version
- fix problematic connections
- replace damaged modules in BPix (mostly layer 2)
- upgrade power supplies to be able to go to 800V (was 600V)

layer 1 replacement

- new version of the TBM
 - solves SEU problematic
 - allows to adjust timing difference between PROC600/psi46dig
- new version of the ROC
 - eliminates crosstalk → lower thresholds
 - mitigates sources of inefficiencies

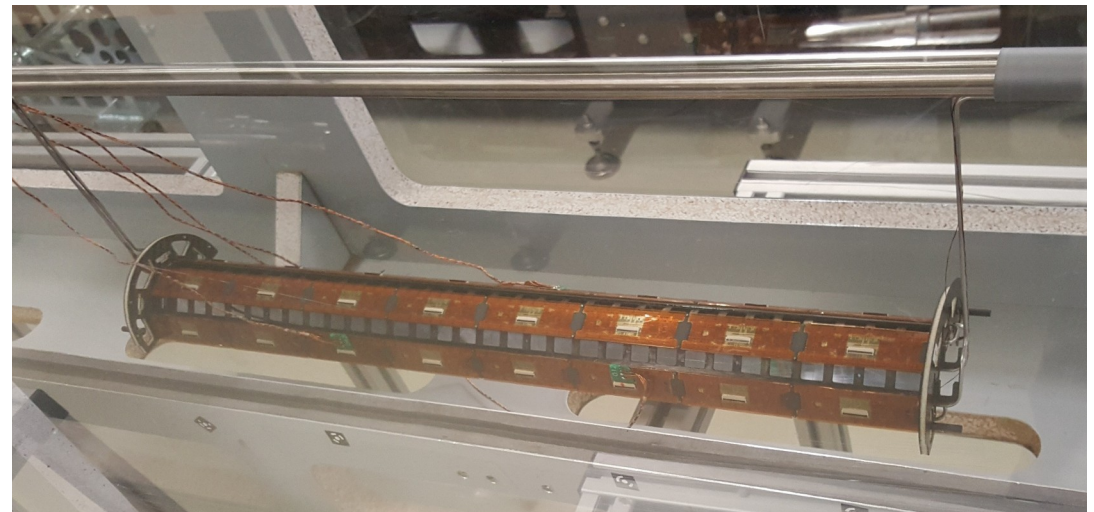
CMS Pixel detector will be in a significantly improved state at the beginning of LHC Run-3

Work has started...



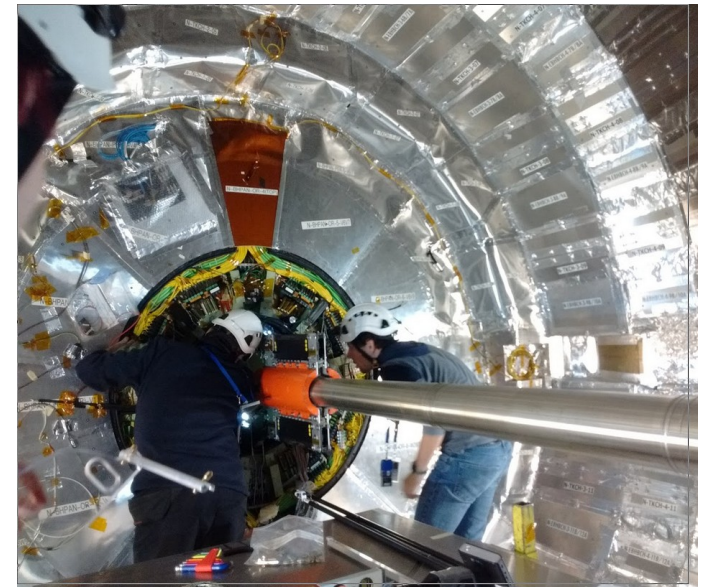
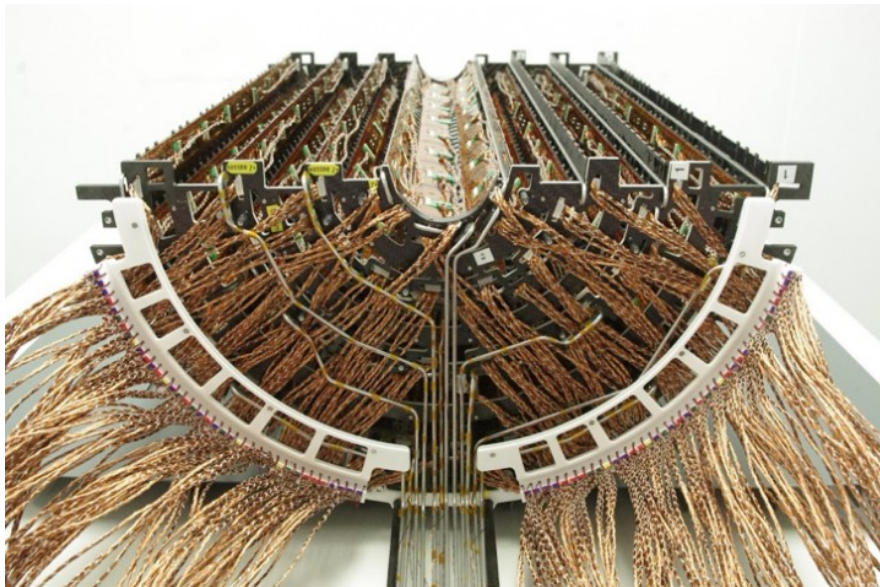
disconnected L1 module cables

unmounted layer 1 (first half)



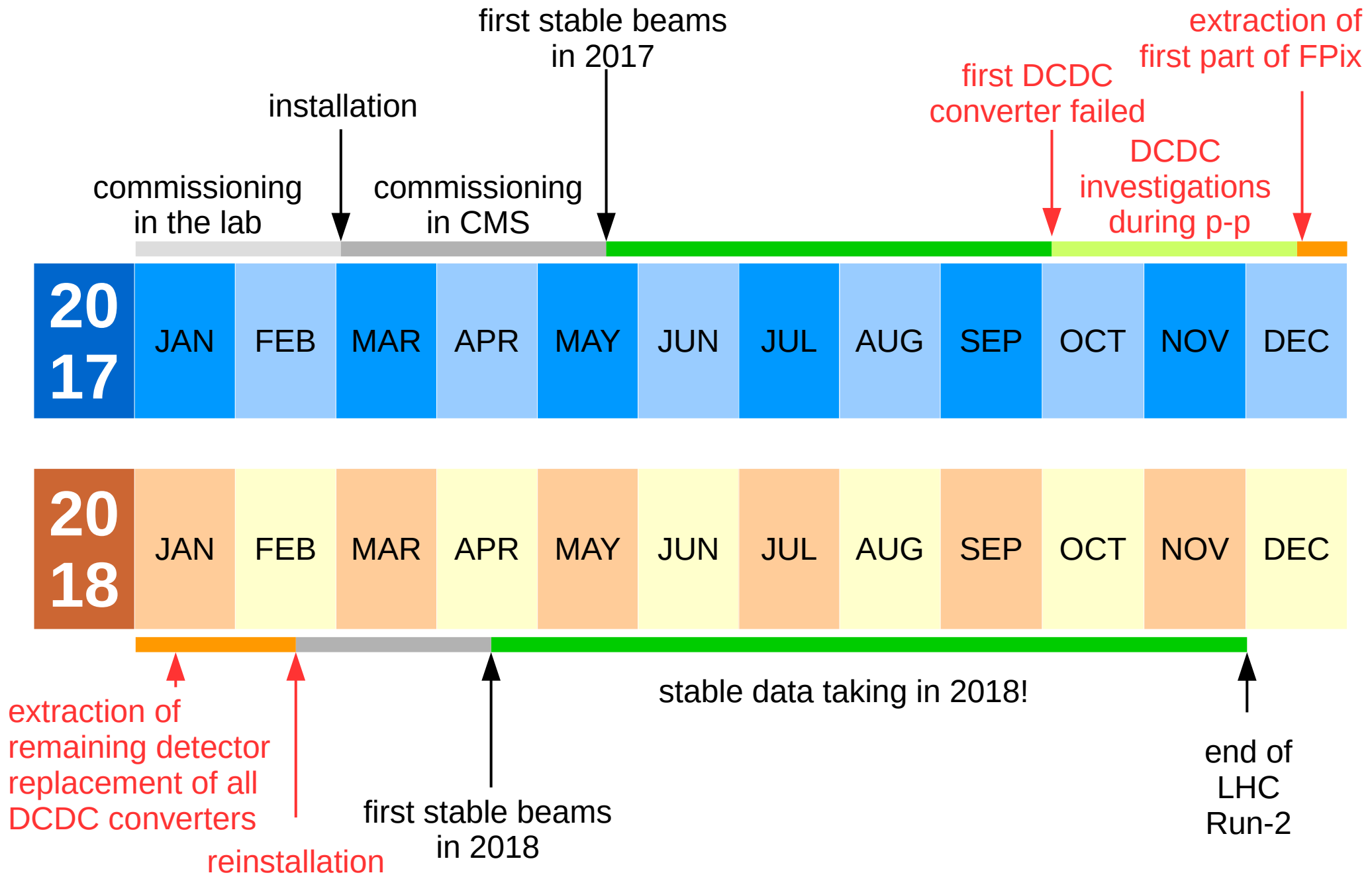
Conclusions

- successful commissioning and operation of the CMS phase-1 pixel detector since 2017
- unforeseen extraction at the end of 2017 due to massive DCDC failure
- very smooth running in 2018
- gathered very valuable experience with key technologies of modern silicon detectors
- significant improvements planned for LS2 to get the detector in the best possible shape for its remaining lifetime

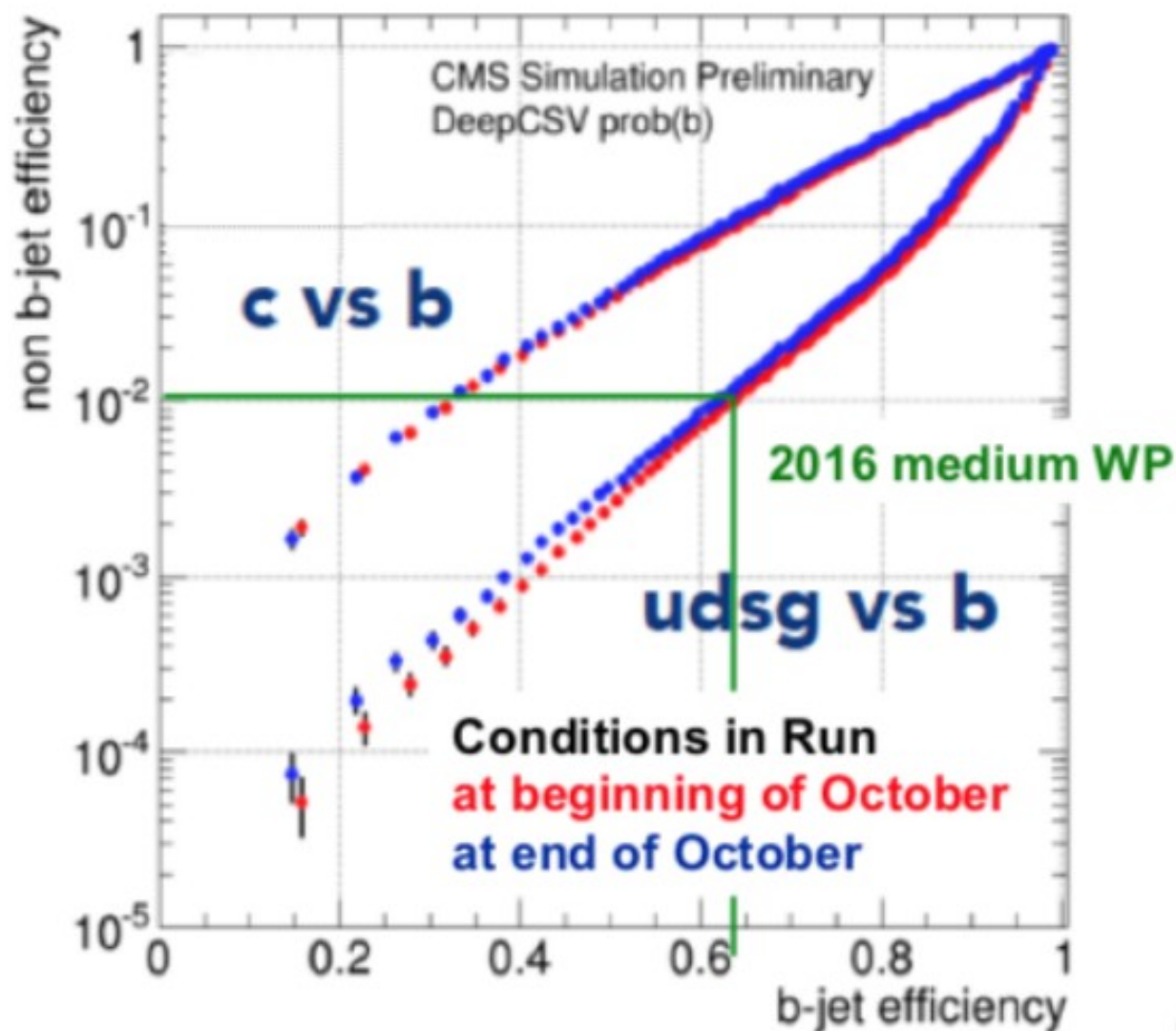


Backup

Timeline of the last two years



CMS Pixel Detector – Physics Impact



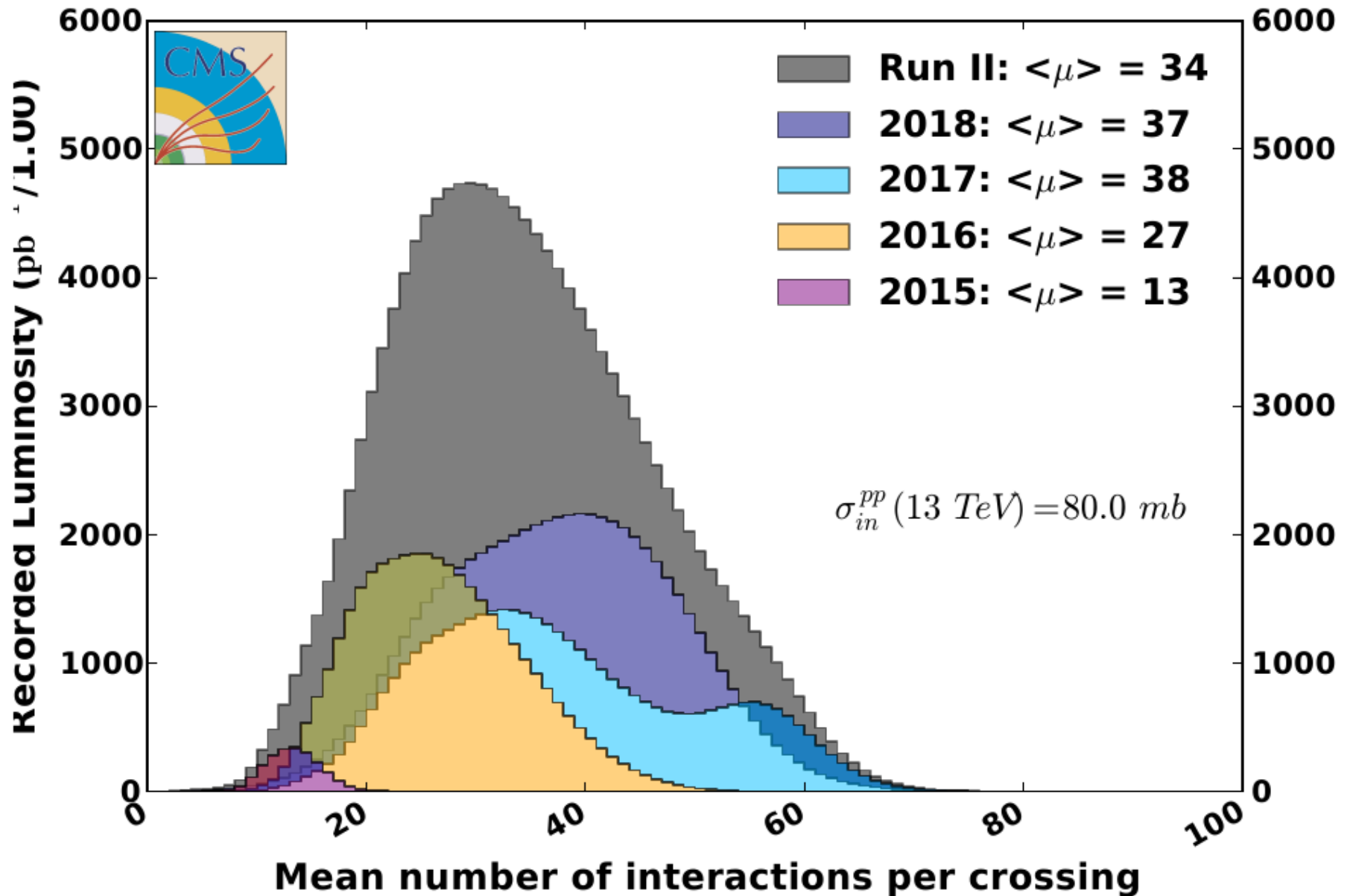
LHC Parameters during Run-2

Parameter	Design	2018	2017	2016	2015
Energy [TeV]	7.0	6.5	6.5	6.5	6.5
No. of bunches	2808	2556	2556 - 1868	2220	2244
No. of bunches per train	288	144	144 - 128	96	144
Max. stored energy per beam (MJ)	362	312	315	280	280
β^* [cm]	55	30 \rightarrow 27 \rightarrow 25	40 \rightarrow 30	40	80
Bunch Population N_b [$10^{11}p$]	1.15	1.1	1.25	1.25	1.2
Typical normalized emittance [μm]	3.75	\sim 1.8 / 2.2 SB	1.8 / 2.2 SB	1.8 / 2 SB	2.6 / 3.5 SB
Peak luminosity [$10^{34} \text{ cm}^{-2}\text{s}^{-1}$]	1.0	2.1	2	1.5	< 0.6
Half Crossing Angle [μrad]	142.5	150 \rightarrow 130	150 \rightarrow 120	185 \rightarrow 140	185

<https://indico.cern.ch/event/751857/contributions/3259373/attachments/1783143/2910577/belen-Evian2019.pdf>

Pile-up Distribution during Run-2

CMS Average Pileup (pp, $\sqrt{s}=13$ TeV)



Projected LHC Parameters in Run-3

Parameters at the end of a fill

ROUND OPTICS	2021	2022	2023	Comment
Beam energy [TeV]	7.0			7 TeV is being re-discussed for Run III
Collisions at IP1/5 & IP2/IP8	2736/2736 & 2250/2376			Possible heat-load limitation not included
Bunch length [ns]	1.0			1.0 ns after ~10 h of SB, then kept constant
Normalized emittance [μm]	2.5			
β^* [m] at IP1/5	0.28			Telescopic optics
Half X-angle [mrad] at IP1/5	162 ($9.4 \sigma_{beam}$)			V/H
Levelling time @ $2 \times 10^{34} \text{Hz/cm}^2$ [h]	0.0 \rightarrow 5.0	5.0 \rightarrow 11.9	11.9	Burn off calculated with 110 mb (IR8 included)
Optimal fill length [h]	-- \rightarrow 9.8	9.8 \rightarrow 14.6	14.6	Assuming a turn around time of 4 h
Bunch charge [10^{11} ppb]	0 \rightarrow 0.89	0.89 \rightarrow 0.97	0.97	
β^* [m] at IP2/IP8	10.0/1.5	10.0/1.5	10.0/1.5	β^* @ IP2/8 is kept constant over the full Run
Half X-angle [mrad] at IP2/8	200/250	200/250	200/250	V/H at IP2/8 (V-Xing in IR8 under discussion)
Half sep. @ IP2 [σ_{coll}]	0 \rightarrow 1.60 ⁽¹⁾	1.60 \rightarrow 1.64	1.64	For $1.3 \times 10^{31} \text{Hz/cm}^2$ & 200-70=130 μrad Xing
Half sep. @ IP8 [σ_{coll}]	0 \rightarrow 0.13 ⁽²⁾	0.13 \rightarrow 0.38	0.38	For $2.0 \times 10^{33} \text{Hz/cm}^2$ & 250+135=385 rad Xing (worst case)

⁽¹⁾ **Lumi levelling at $1.3 \times 10^{31} \text{Hz/cm}^2$ in Alice over the full fill length** is granted when the intensity ramp up reaches $\sim 2 \times 10^{10}$ ppb with 2250 collisions/turn

⁽²⁾ **Lumi levelling at $2.0 \times 10^{33} \text{Hz/cm}^2$ in LHCb over the full fill length** will be granted towards the end of 2021 @ 1.4×10^{11} ppb for negative LHCb polarity assuming 2376 collisions/turn [and earlier for positive polarity, with 115 μrad internal crossing, when the intensity ramp up reaches 1.15×10^{11} ppb].

https://indico.cern.ch/event/751857/contributions/3259414/attachments/1782259/2914150/nkarast_evianRunIII.pdf

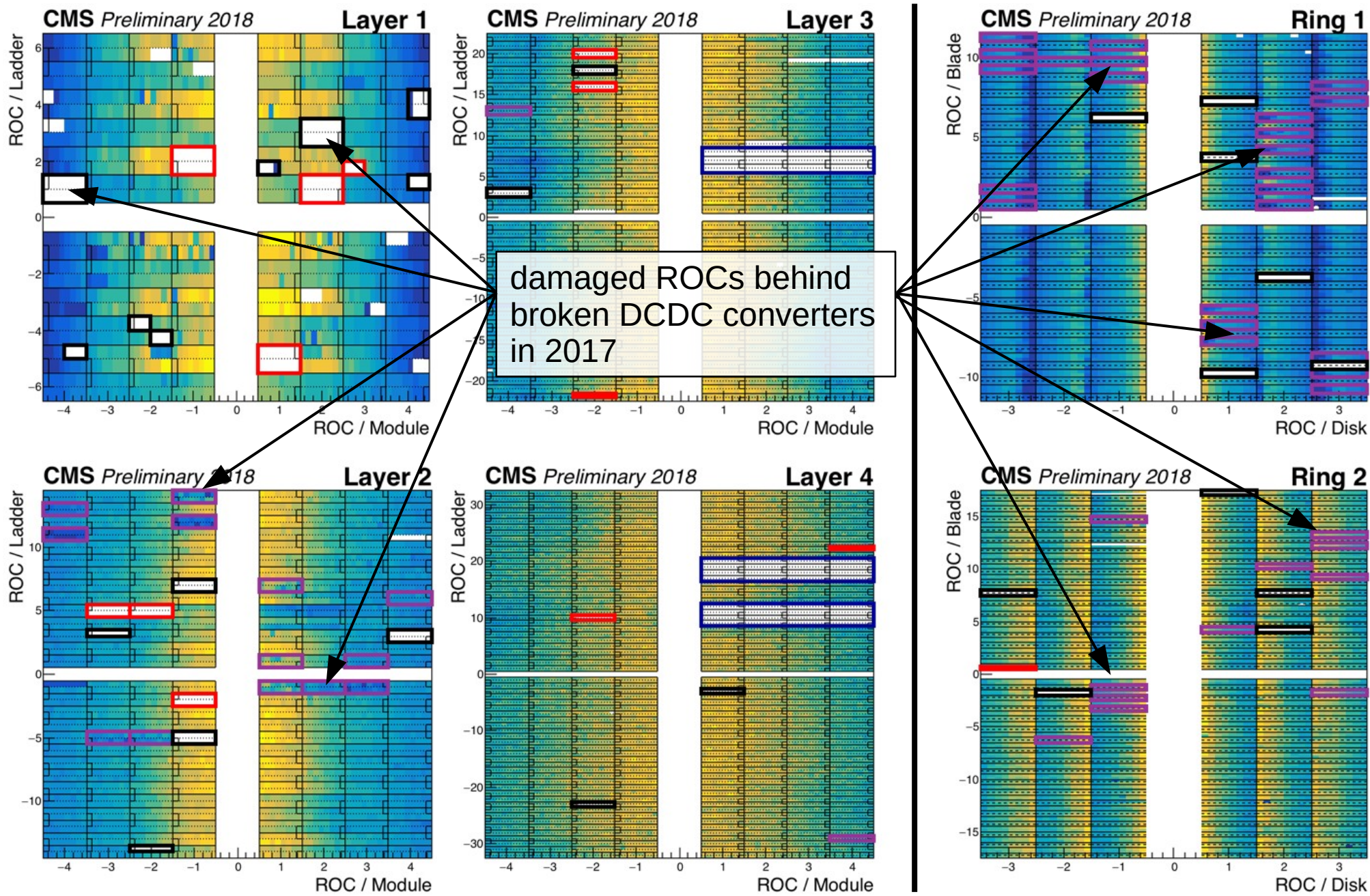
Projected LHC Parameters in Run-3

	2021	2022	2023
Intensity ramp up [10^{11} ppb]	0 → 1.4	1.4 → 1.8	1.8
Round optics (Flat optics)			
Optimal fill length [h]	-- → 9.8 (10.8)	9.8 (10.8) → 14.6 (16.4)	14.6 (16.4)
β^* [m] at IP1/5	0.28 (0.50/0.15)		
Integrated lumi in IR1/5 [fb^{-1}]	18 (19)	97 (102)	106 (110) → 411 (421)
β^* [m] at IP2	10.0		
Integrated lumi in IR2 [pb^{-1}]	36 ⁽¹⁾	90	90
β^* [m] at IP8	1.5		
Integrated lumi in IR8 [fb^{-1}]	~ 3 ⁽²⁾	14	14 Exceeds target

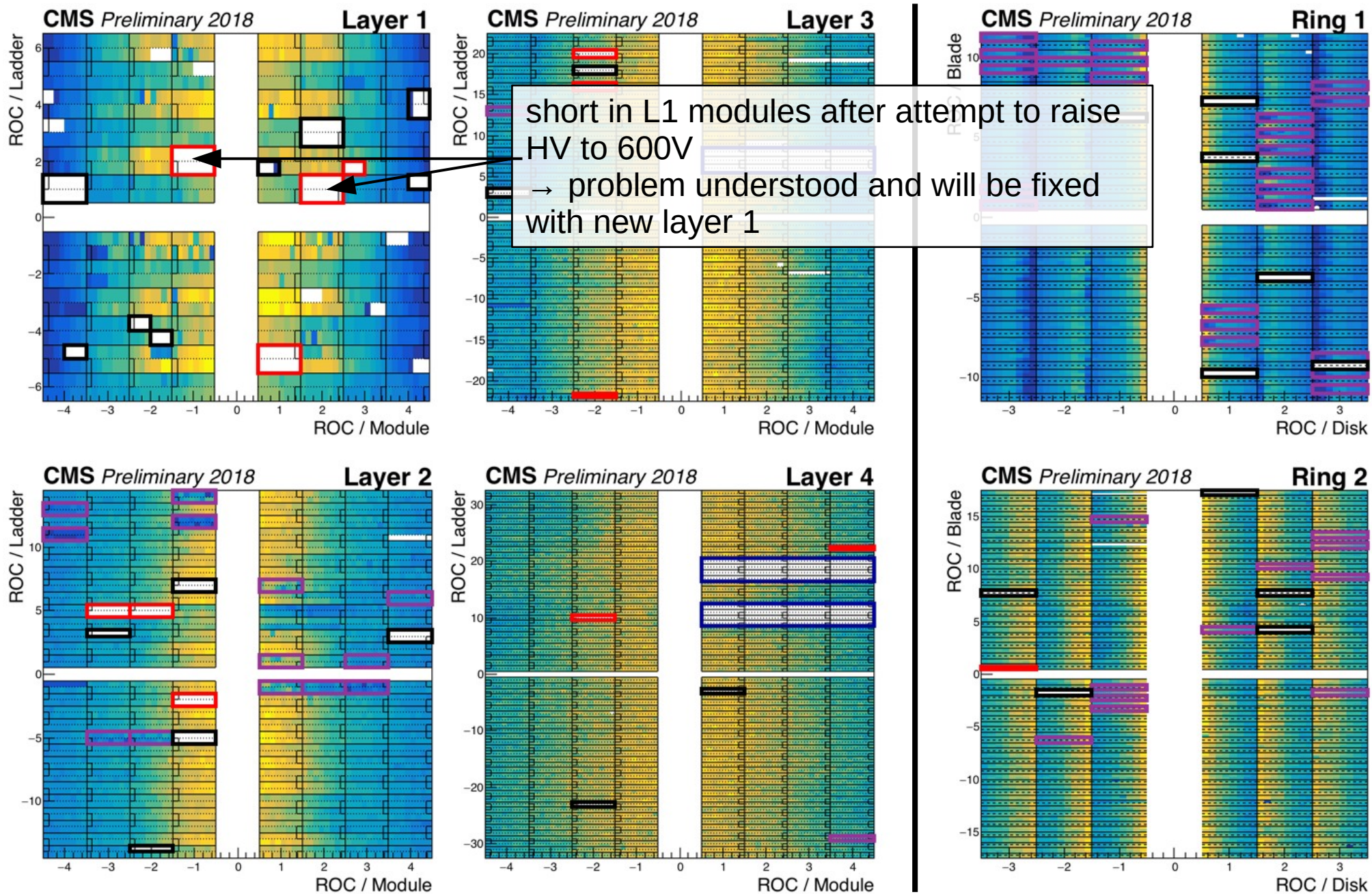
(1) **Lumi levelling at $1.3 \times 10^{31} \text{Hz/cm}^2$ in Alice over the full fill length** is granted when the bunch population reaches $\sim 2 \times 10^{10}$ p/b with 2250 collisions/turn

(2) **Lumi levelling at $2.0 \times 10^{33} \text{Hz/cm}^2$ in LHCb over the full fill length** is granted when the intensity ramp up reaches 1.4×10^{11} ppb (resp. 1.15×10^{11} ppb) with 2376 collisions/turn for negative (resp. positive) LHCb polarity. A performance reduction factor of 50% has been applied accordingly in 2021.

Detector Status by the end of 2018



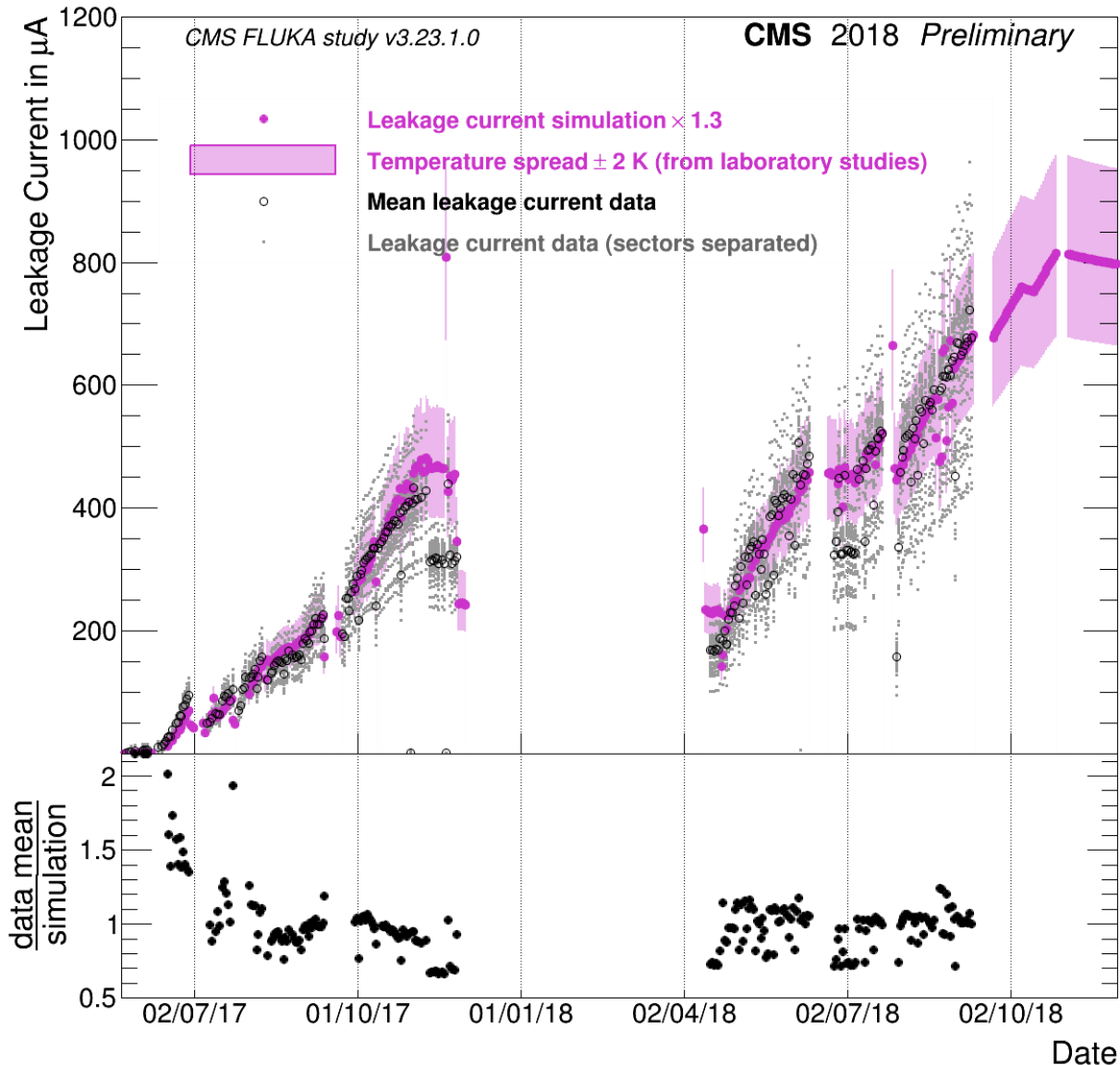
Detector Status by the end of 2018



Monitoring and Prediction of Radiation Effects

L1: Leakage current per module

Simulation for $z = 0$ cm, scaled to silicon temperature and multiplied with factor to fit data: $\times 1.3$

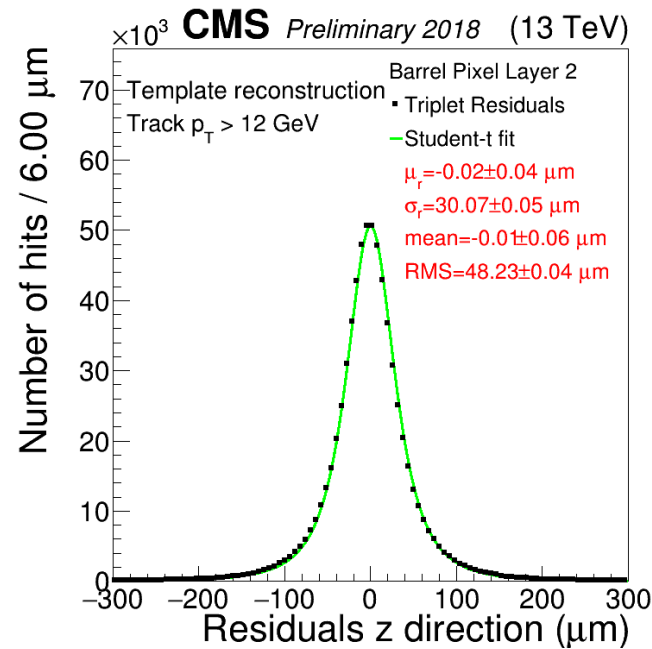
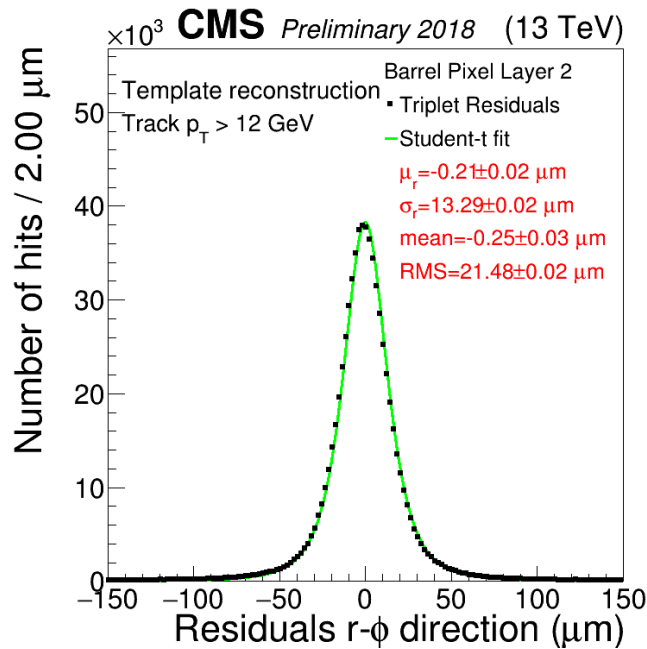


leakage currents modeling

- impressively well matching prediction (except a constant factor!)
- assumption: silicon temperature $\sim -8.5^\circ\text{C}$
- reasonable comparing with data from thermal mock-up
- thermal mock-up again one of the main ingredients to understand temperatures inside of the detector
- ongoing work: further improvements of the temperature model

Residuals/ Resolution

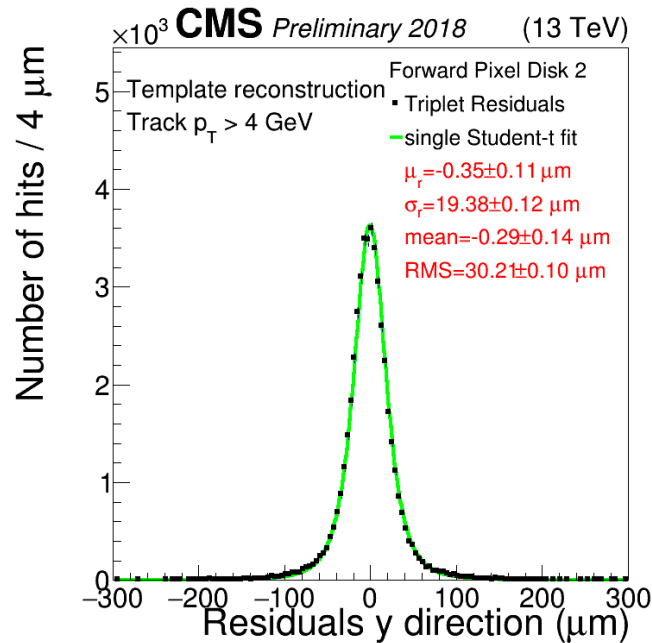
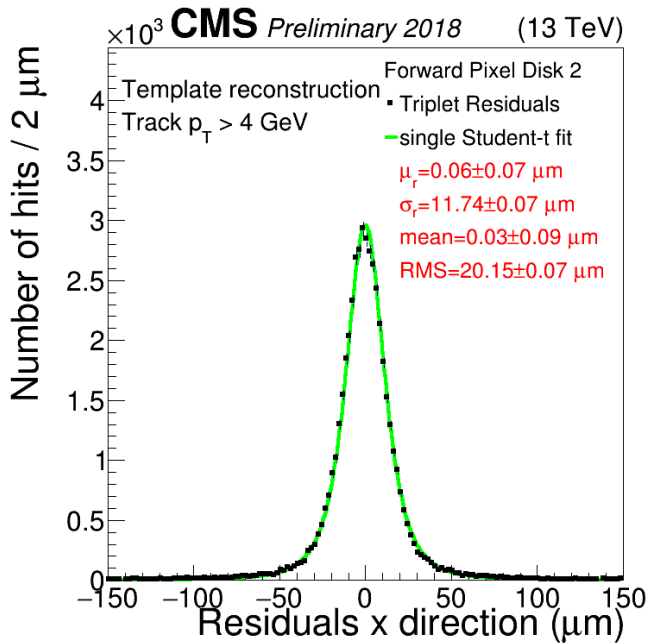
**BPix
L2**



13 μm (r- ϕ)
 30 μm (z)

pixel size:
 150x100 μm^2

**FPix
D2**



12 μm (x^{mod}),
 19 μm (y^{mod})

**excellent hit
 resolution**