

# **CMS Pixel Detector Upgrade**



### Daniel Pitzl, DESY DESY Instrumentation Seminar 16.9.2011



- Present pixel detector
- 4-layer upgrade
- Read out chip modifications
- Module assembly, testing, and calibration
- preparations in Hamburg

## **CMS and its pixel detectors**







**Panels of the Forward Pixel Detector** 

Forward Pixel Detector has 2 disks on each side at z = 34.5 cm and 46.5 cm. FPix has 672 modules.

Barrel Pixel Detector has 3 layers at R = 4.4 cm, 7.3 cm, and 10.2 cm. BPix has 768 modules.

Total of  $\sim$ 15,840 readout chips, 66M pixels.

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### **CMS Si Tracker**



# **CMS at present: 3 barrel pixel layers**





- Developed and built at PSI, CH, 1994 2008.
- Active length 52 cm.
- 3 layers:
  - ► <R> = 4.4, 7.3, 10.2 cm
- 768 modules
- 12'000 chips
- 51M pixels
- 1.5 kW
- 5.2 kg

### **Present barrel pixel detector**





## **Barrel Pixel insertion 2008**





The CMS pixel detector is accessible and removable during extended Christmas maintenance.

•

- Removal required for beam pipe bake out (vacuum conditioning).
- There is space for a 4th barrel layer.

#### **Conical beam pipe: smaller at the IP.**

# **Pixel operation in 2010**



### • 98.7% alive barrel modules.



### • 96.4% alive forward modules.



#### status Aug 2010

## **Hybrid pixel detectors**





Silicon sensors with 100 × 150 µm<sup>2</sup> pixels, bump bonded to CMOS readout chips.

Requires special bump bond technology. Cost driver: 2c/bump.



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### **CMS Pixel hit resolution**



# **CMS track impact parameter resolution**





# scattering at low momenta and/or high rapidity.

# **CMS impact parameter resolution**





1 GeV 3 GeV

- 18-fold  $\phi$  structure due to pixel cooling pipes visible at low  $p_{T}$ .
- Well described by the detector simulation.

# **Nuclear imaging**





- Reconstructed nuclear interaction vertices.
  - ► Barrel pixel region.
- CMS tracker is shifted by ~3 mm relative to the machine beam pipe.
  - Upgrade: center pixel around pipe!
- Pixel modules, cooling pipes and support rails visible.
  - Upgrade: reduce the material budget!

# LHC plan (S. Bertolucci PLHC 2011)

2009	Start of LHC	
	Run 1: 7 TeV centre of mass energy, luminosity ramping up to few 10 <sup>33</sup> cm <sup>-2</sup> s <sup>-1</sup> , few fb <sup>-1</sup> delivered	
2013/14	LHC shut-down to prepare machine for design energy and nominal luminosity	
Ļ	Run 2: Ramp up luminosity to nominal (10 <sup>34</sup> cm <sup>-2</sup> s <sup>-1</sup> ), ~50 to 100 fb <sup>-1</sup>	
2017 or 18	Injector and LHC Phase-I upgrades to go to ultimate luminosity	pixel
	Run 3: Ramp up luminosity to 2.2 x nominal, reaching ~100 fb <sup>-1</sup> / year accumulate few hundred fb <sup>-1</sup>	upgrade needed
~2021/22	Phase-II: High-luminosity LHC. New focussing magnets and CRAB cavities for very high luminosity with levelling	
	Run 4: Collect data until > 3000 fb <sup>-1</sup>	new tracker poodod
2030	ILC, High energy LHC, ?	neeueu

## LHC 10 year plan as of June 2011





# **Event pile-up at high luminosity**



### **Simulation**



Data 2011: 7 pile-up events at 2·10<sup>33</sup> and 50 ns bunch spacing



### **Particle fluence**



- $3000 \text{ fb}^{-1} = 20 \text{ years.}$
- This decade: 300 fb<sup>-1</sup>.
- Pixel region: dominated by pions.
- Layer at R = 3 cm:
  - flux 500 MHz/cm<sup>2</sup>,
  - may need replacement every year (200 fb<sup>-1</sup>).

F. Hartmann, sensor testing, CMS Tracker Week Sep 2009 http://indico.cern.ch/conferenceDisplay.py?confId=47301

## **CMS Pixel Chip**



# radiation hard design operational after 130 kGy $\gamma$ irradiation 1.3 M transistors

### **Double column readout**



### **Data loss mechanisms**

Present PSI46 readout chip simulated at LHC design luminosity Pythia physics generator + detector and chip simulation:



### **Data loss mechanisms**

**Present** PSI46 readout chip simulated at **2**× LHC design luminosity



## **Data loss with extended buffering**



### **Data loss vs luminosity**

Pixel readout chip simulation with increased buffering



### **Radiation damage in silicon**

- Leakage current:
  - I / Vol =  $\alpha \Phi$  (fluence  $\Phi$  [particles/cm2])
  - all silicon materials (FZ, Cz, epi) have the same damage  $\alpha$ .
  - only cooling helps to reduce leakage current (factor 2 / 8°C).
- Space charge creation ('type inversion'):
  - leads to high depletion voltage at high fluence.
  - oxygenated silicon is better (DOFZ, mCz).
  - cooling reduces activation of defects.
- Charge trapping:
  - reduces charge collection efficiency
  - collecting electrons (n-in-p or n-in-n) is better than holes (p-in-n).
  - no 'defect engineering' method known to help.
  - Charge amplification at high bias, earlier in thin sensors or 3D.

### **Radiation damage effects in silicon**



## **Silicon charge collection vs fluence**



Detectors made from oxygenated Si and collecting electrons should operate up to a few  $10^{15}$  n<sub>eq</sub>/cm<sup>2</sup> with tolerable efficiency and resolution degradation: that's several 100 fb<sup>-1</sup> at R = 3 cm.

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### **Further upgrade considerations**

- Smaller beam pipe for improved impact parameter resolution:
  - ► B-tagging
- 4<sup>th</sup> layer for better track seeding efficiency and improved standalone tracking:
  - High Level Trigger
- Less material (mechanics, chips, cooling, cables):
  - Iess multiple scattering, photon conversions, nuclear interactions

### **CMS pixel upgrade: 4 layers**



Roland Horisberger, CMS Tracker week Dec 8, 2009 http://indico.cern.ch/conferenceDisplay.py?confId=75797 D. Pitzl (DESY): CMS Pixel Upgrade 27

Germany

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### **Pixel track impact parameter resolution**



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### **Radiation damage**



4-layer upgrade R<sub>BP</sub> = 22 mm



# **Tracking performance with pile-up 50**

- t-tbar simulation with pile-up of 50 minimum bias events  $(2 \cdot 10^{34} \text{ with } 25 \text{ ns spacing}).$
- Efficiency 6<sup>6</sup> Standard geom. a (b) Standard geom. Phase 1 geom. Phase 1 geom. Fake 0.8 0.7 0.6 0.8 0.5 0.4 0.7 0.3 0.2 0.6 0.1 -2.5 -2.5 -2 -1.5 -0.5 2.5 -1.5 0.5 -0.5 5 2.5 0 Ω **4-layer upgrade reduces 4-layer upgrade improves** fake rate. seeding efficiency. z-gaps remain

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Pixel-based track seeding.

# **b-tagging performance with pile-up 50**



- Detailed simulation of the physics performance on going:
  - at high level trigger,
  - at full analysis level.
- 4-layer upgrade is needed to maintain present performance at high luminosity
  - Expect improved pixel b-tagging in the HLT.

## **Pixel upgrade motivations**

- Prepare for  $2 \times$  higher luminosity than design:  $2 \cdot 10^{34}$ /cm<sup>2</sup>/s:
  - maintain pixel efficiency
- Less material (mechanics, chips, cooling, cables):
  - Iess multiple scattering, photon conversions, nuclear interactions
- 4<sup>th</sup> layer for better track seeding efficiency and improved standalone tracking:
  - High Level Trigger
- Smaller beam pipe for improved impact parameter resolution:
  - ► B-tagging
- Add redundancy in the tracking system:
  - independent of the luminosity evolution

# **Pixel upgrade implications**

- Prepare for  $2 \times$  higher luminosity than design:  $2 \cdot 10^{34}$ /cm<sup>2</sup>/s:
  - Requires a new readout chip with more buffering.
- Less material:
  - Low mass supports, CO<sub>2</sub> cooling, optical converters outside the tracking volume.
- 4<sup>th</sup> layer for better track seeding efficiency and improved standalone tracking:
  - Digital readout and DC-DC power converters (have to use the same outer power cables and optical fibers)
- Smaller beam pipe for improved impact parameter resolution:
  - Accepted by LHC machine group.
- Add redundancy in the tracking system:
  - Be ready early, almost independent of the luminosity evolution.

### **CMS pixel upgrade**





R. Horisberger June 2009

D. Pitzl (DESY): CMS Pixel Upgrade

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Sensor 225µ thick

Future bare module

weight = 0.89 gr

 $\rightarrow$  65% of present

### **Upgrade carbon fiber frame**



# Ultra-leight weight carbon fibre frame and airex end flange with pipes for CO2 cooling.

### **CMS pixel upgrade**


## **Upgrade: CO**<sub>2</sub> cooling



- operating at -35°C, good viscosity
- reduces Si leakage current
- reduces defect activation in Si

- Thin tubes, 50 bar
- material reduction

### **Barrel Pixel services**



#### Analog-Optical Digital-Optical

### Optical Fibers

### Moving readout material out of the tracking region



### **Barrel pixel material budget**



Up to 12% of all hadrons are lost due to nuclear interactions in the present pixel barrel. Upgrade will give up to factor 2 reduction.

### **Services**



- DC-DC converter developed in Aachen:
  - air-core coil,  $10V \rightarrow 3.3 V$ , 3 A,  $\eta = 75\%$
  - radiation resistant AMIS 2 chip (CERN), switching at 1.2 MHz,
  - optimized design for low noise.

- CMS tracker cable channels are full:
  - have to use the existing services.
- Optical fibers:
  - go from 40 MHz analog to 320 MHz digital readout.
- Power:
  - Use DC-DC converters at the detector.
- Sensor bias:
  - ▶ 600 V → 1000 V.
- CO2 cooling:
  - pipe-in-pipe for 100 bar.

### **CMS barrel pixel module**





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## **Bump bonding at PSI**



Indium pads deposited on the Si sensor.

Involves many steps: sputtering, photo lithography, etching... After re-flow at 150°C in  $N_2$  and  $CH_2O_2$  atmosphere. 15 µm diameter.

> Ch. Broennimann et al.: Development of an Indium bump bond process for silicon pixel detectors at PSI NIM A565(2006)303-8

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### Flip chip assembly at PSI



Precision *x-y-z* stage Computer controlled Commercially available. • Precision:  $1 \div 2 \,\mu m$ 

- Production rate:
  - 6 modules / day + tests
  - automated: 1 hr/module
- Bare module test:
  - IV-curve
  - ROC functionality
  - bump yield
  - rework: 80% success



## Alternative



Laser Jet



PAC TECH

- Start with highprecision balls.
- Drop through capillary towards pad.
- Melt by laser pulse during fall.
- Solidify on pad.
- Step-motor controlled.
- 5 ball / second.
- 40 µm balls at 80 µm pitch possible now.
- 30 µm balls under development.

http://www.pactech.de/index.php?option=com\_content&view=article&id=154&Itemid=21 68

### Laser reflow bonding

1) Pickup Die & Align (±5 µm)



2) Contact (10kgf)





Laser based assembly allows localized heating:



#### LaPlace Assembly System<sup>™</sup> PacTech



Placement accuracy: +/- 15um: 3000 - 5000 UPH Placement accuracy: +/- 10um: ~2000 UPH units Placement accuracy: +/- 5um: ~1000 UPH per Placement accuracy: +/- 2.5um: ~500 UPH hour

- Selective to individual die
- Energy localized to bumped areas
- Ability to differentiate between solder alloys
- Low stress
- Minimizes IMC (time/temp)



### **PacTech test structures**

#### Pac 2.7 Wafer from Pac Tech GmbH

- <sup>.</sup> Two 200-mm Wafers with 275 Chips each
- $\cdot$  5- $\mu$ m electroless Ni/Au UBM on both
- $\cdot$  40- $\mu m$  SAC305 Solder Jetting with SB2 on one
- · Wafer Sawing & Chip Singulation







### Available since Dec 2010. Used with 4 machines/vendors.

Karsten Hansen, DESY FEC

#### Pac Tech: SB2 Jet



Solder Ball Placer: pre-formed balls are placed sequentially at 6-7 Hz fused by laser heating 30 µm balls being certified, 40 µm ordered for test.

SET: FC 150 Flip-chip bonderIndustry standard, expensive, slow.For placing and re-flow heating. Used at IZM.







PSI design: cheapest, slow.no > 50 mm heating chuck available.Tacking Tests completed on small samples:

> 0.6 g/ball @ 155°C for chip & substrate.
Re-flow tests completed: OK.

Pac Tech: Laplace





### Finetech: FINEPLACER femto



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Novel Industry Standard: medium price laser-assisted, fast.

Tacking Tests completed:

low force with chip at 195°C for 1s.

Reflow Tests completed: OK.

Novel FC 150 competitor: medium price. Placing and re-flow heating, low-force, fast.

### Tacking / re-flow tests under way.

Karsten Hansen, DESY FEC DESY In

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### **Bare module test at PSI**



Semi-automatic probe station at PSI: load manually, step and measure automatically.

- Test bare module after flip-chip bump bonding:
- Sensor I-V curve.
- Test 16 readout chips.
- Determine bump yield.
- Rework bad modules:
  - replace individual chips.

### **Probe station at DESY FEC**

Süss Microtech PA 300 Probe Station



#### **Probe-Card Holder**



will order 42 needle probe card for testing ROCs after bump bonding.

up to 300 mm wafers Semi-Automatic Shielded Thermo chuck -40 .. +125°C

auctioned from Qimonda in Dec 2009

## **Barrel pixel module assembly line at PSI**



Production rate:

- 4 full + 2 half modules / day
- or 6 full modules / day
- Three glueing steps:
  - glue basestrips to raw module
  - underfill sensor with glue
  - glue HDI to complete assembly
- Important: custom-made tools



### Tools and assembly line being prepared at Uni Hamburg.

## **Pixel module cold calibration**

### Challenges

- Huge number of channels:  $5 \div 6 \times 10^7$
- Multy-dimensional parameter space: 29 DACs/ROC
- Temperature dependence: tests done at -10°C and +17°C upgrade: -20°C

### Test set up

- Programmable cooling box
- 4 modules at a time
- Castom built test-boards with FPGA

### Procedure

- Start-up adjustments
- Full Test at -10°C
- 10 thermal cycles
- Full Tests and IV at -10°C and +17°C

### Cold calibration set up will be set up at DESY.





### **Pixel gain calibration**



Fig. 8. Analog signal transmission.

H.C. Kästli et al., NIM A565 (2006) 188-194

- Ultimate position resolution comes from pulse height interpolation.
- Need pixel-to-pixel gain calibration.
- Large amplitudes:
  - internal test pulse.
- Close to threshold:
  - X-ray lines (Mo, Ag, Ba).
- X-ray stand being prepared at Uni HH.



### psi46 pixel readout chip



adjustable by programmable DAC, 26 per ROC

programmable register, 3 per pixel

## gain and linear range



- One pixel.
- 2 Vcal ranges (PSI Xray calibration):
  - CtrlReg 0 or 4,
  - ▶ 65±5 e/DAC,
  - ► 450 e/DAC.
- Linearity for small pulses important for spatial resolution using charge sharing.
- Saturation around 36'000 e (~1.6 MIP).

### Linear range vs Vsf



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hold del

VComp

### **Comparator threshold**



- One pixel
- Analog pulse height vs threshold and calibrate amplitude.
- White region:no signal.
- Colored bands are not vertical:
  - ► time walk.

### **Threshold curve**



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<samples>

### **Threshold variation**



threshold spread 290 e

# threshold spread 50 e

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### Source test setup



- <sup>106</sup>Ru source mounted above the chip:
  - ► Activity ~14 kHz,
  - electrons up to 3.5 MeV.
- FPGA:
  - data clock cycle stretched up to 1 ms,
  - trigger,
  - readout,
  - store in memory.
- Final readout by USB.

### Source test event display



- A single event integrating over 1 ms:
  - ► ~15 hits per trigger
- Low energy electrons:
  - Scattering in the source holder,
  - wide angles of incidence,
  - large clusters.
  - tracks visible.
- Clusters of pixels identified by software.

## hit map



- Ru source, 100s.
- Wire placed across the chip.
- Pixel map  $(\varphi$ -*z*):
  - shadow of the wire
  - ► 2 noisy pixels.
  - long and/or wide pixels at 3 edges.

## **Cluster multiplicity vs. bias voltage**



- Ru106 source.
- All scans with:
  - Internal trigger
  - Clock stretch 1 ms
  - 10s run for one Vbias value
- Cluster efficiency saturates below -50 V.
- Plateau variation:
  - ► Source position,
  - Thresholds.

### **DESY II**



### Beam test setup

crossed finger scintillators

> PSI46 test board

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beam

EUDet

telescope

**collimator** 

## **Pixel hit map**



- 2 GeV e<sup>+</sup> beam.
- After space and time alignment:
  - ▶ 4 kHz coincidence rate
  - Fill test board memory: 60MB in 3.5 min.
  - ► USB transfer takes another ~2 min.
- One chip fully illuminated.
- Border pixels have double size and rate
- Corner pixels have quadruple size and rate

### **Pixel hit map**



- the same run
- a few dead pixels
- non-uniformity:
  - beam profile,
  - misalignment
     between sensor and
     scintillator,
  - limited trigger region (~1 cm<sup>2</sup>) just enough to cover 0.8×0.8 cm<sup>2</sup> chip.

### **Cluster charge: Ru source vs beam**



- Chip 8, -90V bias, Vthr 100
- 2 GeV e+ test beam:
  - Minimum ionizing particles
- Ru 106 source:
  - long tail of stronger ionizing electrons (not fully relativistic).

### **Cluster multiplicity vs. bias voltage**



- 2 GeV e<sup>+</sup> beam.
- Cluster efficiency saturates below -80 V:
  - Need more bias voltage to reach full efficiency for minimum ionizing particles.

### **Project time line**

•	Produce assembly tools	since 2010
•	Develop assembly procedures	2011
•	Develop testing and calibration procedures	2011
•	Bump bonding tests	2010-2011
•	Decide on bump bonding technique	end 2011
•	Assembly and test procedures established	2012
•	Receive all components for series production	2013
•	Module assembly and calibration	2013-2015
•	4 <sup>th</sup> layer assembly and test	mid 2015
•	Full system test at CERN	2015-2016
•	Ready for installation in CMS	mid 2016

### Work packages in D-CMS

 $4^{\text{th}}$  layer: 512 modules + 100 spares + 88 rejects = 700

task	quantity	DESY	HH	Ka	Ac
sensors I-V	700		350	350	
bare module test	700	350		350	
bond TBM to HDI	700	350		350	
glue HDI to sensor	700		350	350	
bond ROCs to HDI	400k	200k		200k	
module testing	700	350		350	
cold calibration	700	350			350
X-ray calibration	700		350		350
layer assembly	1	1			
layer system test	1	1			
DC-DC converters	~2000				all
# **People at DESY and Uni Hamburg 2011**

• DESY:





- Günter Eckerlin, deputy CMS group leader, DPix coordinator
- Daniel Pitzl, pixel upgrade project leader
- Carsten Niebuhr, Doris Eckstein, staff
- Maria Aldaya, Jan Olzem, Alexey Petrukhin, Hanno Perrey, postdocs
- Karsten Hansen, Jan Hampe, staff FEC
- Carsten Muhl, Holger Maser, engineering
- Uni Hamburg:
  - Peter Schleper, professor
  - Georg Steinbrück, staff
  - Thomas Hermanns, postdoc
  - Lutz Berger, technical support



# **Summary**

- The present CMS pixel detector is working very well and is an essential tool for track reconstruction and vertexing.
- The LHC luminosity is expected to exceed  $10^{34}$  /cm<sup>2</sup>s in this decade:
  - the present pixel readout chip will become inefficient.
  - at least the inner pixel layer has to be exchanged after 250 fb<sup>-1</sup>.
- A 4-layer replacement with a new readout chip has further benefits:
  - Better resolution, efficiency, and purity for pixel-based tracking,
  - Reduced material in the tracker volume with CO2 cooling, low mass design, services moved out of the tracking region.
- The German CMS institutes have been asked to contribute:
  - Design optimization and physics evaluation,
  - module assembly and testing,
  - DC-DC converter development and production.
- Preparations are underway.

# **Acknowledgments summer 2011**

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  - 2011 summer student from Cracow
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  - for the source
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  - for the test board support frame
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  - for the finger scintillator and PM
- DESY Machine Group:
  - for the steady test beam

### **Backup slides**

PRC-2010-69-3

#### The CMS Tracker upgrade

– DESY contributions –

April 15, 2010

#### The DESY CMS Group

#### Abstract

A 4-layer low mass replacement of the CMS Barrel Pixel detector is planned for the middle of the decade. DESY is interested to contribute to the module production, in collaboration with the universities in Hamburg, Karlsruhe and Aachen. At a later stage, the entire silicon tracker needs replacement to cope at higher luminosity with increased track density and larger radiation dose while the material budget should be reduced. DESY R&D activities within the Central European Consortium involving the above mentioned universities and those in Barcelona, Louvin and Vilnius are described.

- DESY PRC document for the CMS Tracker upgrade.
- Pixel and Strips
- Hamburg and Zeuthen
- Submitted April 2010.
- Positive recommendation.



## **2011 data**





# **Pixel operation in 2010**



#### status end 2010

## **CMS tracker material**

#### **All trackers**

### **Barrel pixel**



## factor 2 less in center factor 4 less in endcaps

#### pixel note 2009

# **Pixel sensors**





- Planar sensors, CiS Erfurt.
- 111-oxygenated float zone.
- n-in-n, p-spray insulation.
- collecting faster electrons:
  - larger Lorentz angle,
  - less trapping.
- pn-junction on back side (initially):
  - edges at ground,
  - double sided processing.

### 100 $\mu m$ (rq) x 150 $\mu m$ (z)

Grounding grid for testing before bump bonding

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1 pixel

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T. Rohe, PSI

2.11.2009

pic73.jpg

# **CMS Barrel and Forward pixel sensors**



Figure 1.11. Sensor designs for the CMS barrel detector (left) and end-caps (right).

# **CMS Barrel pixel sensor design**



Figure 1.12. The masks for the p–spray design. Left: The mask layout of the pixel side. The distances are in μm. Right: The mask layout of the backside.

T. Rohe (PSI), from A. Dorokhov (Uni Zurich) 2005

## **Sensor radiation damage**

### **Signal collection in CMS pixel sensors**



T. Rohe, Pixel2010

• Inner barrel layer:

► 70 fb<sup>-1</sup> = 
$$4 \cdot 10^{14}$$
 n/cm<sup>2</sup>

• 250 fb<sup>-1</sup> =  $13 \cdot 10^{14} \text{ n/cm}^2$ 

- 50% signal loss after 250 fb-1.
- Also leads to factor 2 degradation of the hit resolution (less charge sharing and Lorentz angle
- Bias voltages above 600 V not possible with the present CMS HV system.
- MCz being considered.

# **Enlarged on-chip buffer**



- Dominant data loss mechanism → larger buffers needed
- Data loss simulations performed
  - Data buffer from 32 to 80 cells
  - Timestamp buffer from12 to 24 cells
- Simple scaling would increase ROC size by >1.1mm
- 800 µm more space allowed with new detector mechanics
  - → Need more compact buffer layout

# **Enlarged on-chip buffer**



**Figure 1**. Layout of the existing readout chip (ROC). A detailed view of the double column interface with size of the new chip compared to the old one.

# **Karlsruhe and Aachen**

- Karlsruhe:
  - Ulrich Husemann, Thomas Müller, professors
  - Marc Weber, professor, director IPE
  - Thomas Blank, staff AVT
  - Michele Caselle, Alexander Dierlamm, Frank Hartmann, Thomas Weiler, staff
  - Stefan Heindl, phd student
  - Tobias Barvich, technical support
- Aachen:
  - Lutz Feld, professor
  - Katja Klein, staff
  - Jan Sammet, phd student
  - technical support





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- Peter Robmann





